

## Usage Guideline

# Corporate Action Movement Confirmation seev.036.001.17

## DTCC - US - CA - Payment Processing - SR 2026

This document describes a usage guideline restricting the base message **MX seev.036.001.17**. You can also consult [this information online](#).

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## Message Functionality

### Overview

Used to notify of a payment made on a corporate action event. Separate messages will be sent for payments based on position capture date balance, stock loan balance, repurchase agreements balance (REPOs) and failed trades (Fails) balance. Also as an exception, in some scenarios a reversal is not possible and payment / withdrawal is made as an adjustment using this message.

### Collection Description

### Outline

The DTCC - US - CA - Payment Processing - SR 2026 / Corporate Action Movement Confirmation seev.036.001.17 message is composed of 17 building blocks

a. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

b. MovementConfirmationIdentification

Unique Identification of the group of corporate action movement confirmation messages when the movement confirmation is split in multiple (paginated) messages.

c. NotificationIdentification

Identification of a previously sent notification document.

d. MovementPreliminaryAdviceIdentification

Identification of a previously sent movement preliminary advice document.

e. InstructionIdentification

Identification of a related instruction document.

f. OtherDocumentIdentification

Identification of other documents as well as the document number.

g. EventsLinkage

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

h. TransactionIdentification

Transaction type and identification information.

i. CorporateActionGeneralInformation

General information about the corporate action event.

j. AccountDetails

General information about the safekeeping account, owner and account balance.

k. CorporateActionDetails

Information about the corporate action event.

l. CorporateActionConfirmationDetails

Information about the corporate action option.

m. AdditionalInformation

Provides additional information.

n. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.

o. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

p. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

q. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

# 1 Restriction summary

This Usage Guideline restricts the seev.036.001.17 message.

Restriction Type	See page
Removed elements	16, 17, 17, 18, 18, 21, 22, 22, 28, 30, 43, 43, 43, 43, 43, 43, 44, 44, 44, 44, 44, 44, 44, 44, 46, 46, 46, 46, 46, 46, 47, 47, 47, 47, 47, 47, 53, 53, 54, 54, 55, 55, 56, 56, 59, 60, 69, 72, 72, 73, 73, 74, 74, 74, 75, 75, 75, 76, 76, 76, 77, 77, 78, 78, 78, 79, 79, 79, 80, 80, 81, 81, 82, 82, 82, 83, 83, 83, 84, 84, 85, 85, 86, 86, 87, 87, 88, 88, 88, 89, 89, 90, 93, 98, 99, 100, 100, 101, 106, 107, 107, 110, 112, 114, 116, 117, 117, 118, 120, 120, 121, 122, 126, 127, 127, 129, 130, 132, 132, 132, 133, 133, 134, 137, 137, 138, 138, 139, 140, 140, 144, 144, 144, 147, 148, 165, 165, 165, 166, 166, 166, 166, 166, 166, 166, 166, 166, 166, 173, 194, 195, 199, 199, 200, 202, 207, 207, 207, 207, 207, 207, 208, 208, 208, 208, 208, 208, 208, 211, 211, 213, 216, 216, 222, 222, 222, 224, 224, 226, 228, 229, 237, 238, 239, 240, 240, 240, 240, 241, 241, 242, 242, 243, 252, 252, 252, 252, 252, 252, 252, 252, 252, 252, 253, 254, 256, 261, 262, 263, 263, 265, 266, 266, 267, 267, 268, 268, 268, 272, 273, 274, 276, 278, 282, 285, 292, 298, 299, 299, 300, 301, 301, 302, 302, 309, 309, 310, 310, 311, 312, 314, 315, 317, 317, 318, 318, 325, 329, 332, 333, 334, 336, 336, 337, 337, 337, 338, 338, 339, 340, 343, 343, 343, 343, 344, 344, 344, 344, 344, 344, 346, 346, 350, 351, 351, 352, 356, 357, 358, 359, 359, 360, 363, 365, 368, 369, 371, 374, 374, 376, 377, 378, 379, 380, 380, 380, 382, 384, 392, 392, 392, 397, 397, 398, 398, 398, 400, 411, 416, 416, 417, 417, 417, 418, 418, 418, 419, 419, 420, 420, 423, 424, 424, 424, 424, 425, 425, 425, 426, 426, 426, 427, 427, 427, 428, 439, 439, 439, 440, 440, 440, 441
Make mandatory	n.a.
Reduce Multiplicity	96, 97, 98
Ignore	n.a.
Text rules	15, 16, 23, 33, 33, 34, 34, 41, 41, 42, 42, 69, 70, 71, 85, 94, 94, 95, 96, 97, 97, 98, 113, 114, 117, 125, 131, 158, 158, 158, 158, 158, 158, 158, 158, 159,


























Restriction Type	See page
	163, 163, 163, 163, 163, 163, 163, 163, 163, 163, 163, 163, 163, 164, 164, 164, 166, 166, 166, 166, 166, 166, 167, 167, 167, 167, 167, 167, 167, 167, 167, 167, 167, 167, 167, 168, 168, 168, 168, 168, 168, 168, 168, 168, 168, 168, 168, 168, 169, 169, 169, 169, 169, 169, 169, 169, 169, 169, 169, 169, 170, 170, 170, 170, 170, 170, 170, 170, 170, 170, 170, 170, 170, 171, 171, 171, 172, 175, 175, 176, 176, 176, 176, 176, 176, 176, 176, 176, 176, 176, 176, 176, 177, 177, 177, 177, 177, 177, 177, 177, 177, 177, 177, 177, 177, 178, 178, 178, 178, 178, 178, 178, 178, 178, 178, 178, 178, 178, 179, 179, 179, 179, 179, 179, 179, 179, 179, 179, 179, 179, 179, 180, 180, 180, 180, 180, 180, 180, 180, 180, 180, 181, 181, 181, 181, 181, 181, 181, 181, 181, 181, 181, 181, 182, 182, 182, 182, 182, 182, 182, 182, 182, 182, 182, 182, 183, 183, 183, 183, 183, 183, 183, 183, 183, 183, 183, 183, 184, 184, 184, 184, 184, 184, 184, 184, 184, 184, 184, 184, 185, 185, 185, 185, 185, 185, 185, 185, 185, 185, 185, 185, 185, 186, 186, 186, 186, 187, 187, 188, 188, 188, 188, 188, 188, 188, 188, 188, 188, 188, 188, 189, 189, 189, 189, 189, 189, 189, 189, 189, 189, 189, 190, 190, 190, 190, 190, 190, 190, 190, 190, 190, 190, 191, 191, 191, 191, 191, 192, 192, 193, 194, 196, 196, 199, 199, 203, 206, 206, 206, 206, 206, 206, 206, 206, 206, 206, 206, 206, 206, 207, 209, 210, 213, 214, 214, 215, 215, 215, 224, 224, 226, 226, 226, 226, 226, 227, 227, 227, 227, 227, 227, 227, 228, 228, 228, 228, 228, 228, 230, 230, 232, 239, 239, 242, 242, 245, 245, 246, 246, 246, 246, 247, 247, 247, 247, 247, 247, 247, 247, 247, 247, 247, 247, 247, 248, 248, 248, 248, 248, 248, 248, 248, 248, 249, 249, 249, 249, 249, 249, 249, 249, 249, 249, 250, 250, 250, 250, 250, 250, 250, 250, 250, 250, 250, 250, 250, 256, 256, 256, 257, 257, 257, 268, 270, 270, 270, 270, 270, 270, 271, 271, 271, 271, 271, 272, 273, 274, 274, 284, 284, 286, 287, 289, 290, 291, 293, 303, 304, 321, 322, 328, 328, 334
Extensions	n.a.






















Restriction Type	See page
Synonyms	15, 27, 40, 40, 64, 65, 69, 69, 70, 70, 71, 71, 71, 71, 72, 85, 87, 92, 92, 93, 94, 94, 95, 95, 96, 97, 97, 99, 99, 101, 102, 103, 104, 106, 107, 113, 113, 114, 115, 115, 115, 119, 119, 123, 124, 210, 216, 216, 216, 217, 217, 217, 236, 236, 237, 262, 264, 264, 273, 284, 291, 307, 307, 307, 307, 308, 308, 311, 316, 319, 321, 321, 322, 322, 322, 338, 339, 339, 351, 352, 352, 352, 353, 353, 354, 354, 354, 354, 355, 355, 356, 356, 357, 358, 359, 360, 360, 361, 361, 362, 362, 362, 363, 364, 364, 365, 366, 366, 366, 366, 367, 367, 368, 369, 369, 370, 370, 371, 371, 372, 373, 373, 373, 373, 373, 374, 375, 375, 375, 376, 377, 377, 378, 378, 379, 380, 381, 381, 382, 383, 383, 383, 392, 393, 393, 393, 393, 393, 393, 393, 394, 394, 395, 395, 395, 396, 396, 397, 398, 399, 399, 400, 400, 400, 401, 401, 401, 402, 411, 411, 412, 412, 412, 413, 413, 437, 437, 437, 438










## 2 Structure

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	<i>CorporateActionMovementConfirmationV17</i>	<CorpActnMvmntConf>		[1..1]		15
	<b>Pagination</b>	<Pgntn>		[0..1]		15
	PageNumber	<PgNb>		[1..1]		217
	LastPageIndicator	<LastPgInd>		[1..1]		217
	<b>MovementConfirmationIdentification</b>	<MvmntConfld>		[0..1]		15
	<b>NotificationIdentification</b>	<NtfctnId>		[0..1]		16
	Identification	<Id>		[1..1]		147
	LinkageType	<LkgTp>		[0..1]		148
	<b>MovementPreliminaryAdviceIdentification</b>	<MvmntPrimryAdvclId>		[0..1]		16
	Identification	<Id>		[1..1]		147
	LinkageType	<LkgTp>		[0..1]		148
	<b>InstructionIdentification</b>	<InstrId>		[0..1]		17
	Identification	<Id>		[1..1]		151
	<b>OtherDocumentIdentification</b>	<OthrDocId>		[0..*]		17
	Identification	<Id>		[1..1]		148
	DocumentNumber	<DocNb>		[0..1]		149
	LinkageType	<LkgTp>		[0..1]		149
	<b>EventsLinkage</b>	<EvtsLkg>		[0..*]		17
	EventIdentification	<EvtId>		[1..1]		109
	LinkageType	<LkgTp>		[0..1]		109
	<b>TransactionIdentification</b>	<TxId>		[0..1]		18
	MarketInfrastructureTransactionIdentification	<MktlnfrstrctrTxId>		[1..1]		334
	<b>CorporateActionGeneralInformation</b>	<CorpActnGnlInf>		[1..1]		18
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]		112
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]		113
	ClassActionNumber	<ClsActnNb>		[0..1]	 	113

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventType	<EvtTp>		[1..1]		114
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		114
	FractionalQuantity	<FrctnQty>		[0..1]		115
	<b>AccountDetails</b>	<AcctDtls>		[1..1]		19
	SafekeepingAccount	<SfkpgAcct>		[0..1]		26
	BlockchainAddressOrWallet	<BlckChainAdrOrWlt>		[0..1]		27
	AccountOwner	<AcctOwnr>		[0..1]		27
	SafekeepingPlace	<SfkpgPlc>		[0..1]		28
	Balance	<Bal>		[1..1]		28
	<b>CorporateActionDetails</b>	<CorpActnDtls>		[0..1]		19
	DateDetails	<DtDtls>		[0..1]		63
	EventStage	<EvtStag>		[0..1]		63
	AdditionalBusinessProcessIndicator	<AddtlBizPrclnd>		[0..*]		64
	FollowingEventTypeIndicator	<FlwngEvtTpInd>		[0..1]		64
	LotteryType	<LtryTp>		[0..1]		65
	<b>CorporateActionConfirmationDetails</b>	<CorpActnConfDtls>		[1..1]		20
	OptionNumber	<OptnNb>		[1..1]		118
	OptionType	<OptnTp>		[1..1]		119
	OptionFeatures	<OptnFeatrs>		[0..*]		119
	FractionDisposition	<FrctnDspstn>		[0..1]		120
	CurrencyOption	<CcyOptn>		[0..1]		120
	DateDetails	<DtDtls>		[0..1]		120
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		121
	PriceDetails	<PricDtls>		[0..1]		122
	PlaceOfTrade	<PlcOfTrad>		[0..1]		122
	SecuritiesMovementDetails	<SctiesMvmntDtls>		[0..*]		122
	CashMovementDetails	<CshMvmntDtls>		[0..*]		123
	<b>AdditionalInformation</b>	<AddtlInf>		[0..1]		20
	AdditionalText	<AddtlTxt>		[0..*]		116
	NarrativeVersion	<NrrtvVrsn>		[0..*]	 	117
	PartyContactNarrative	<PtyCtctNrrtv>		[0..*]	 	117

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TaxationConditions	<TaxtnConds>		[0..*]	 	117
	<b>IssuerAgent</b>	<IssrAgt>		[0..*]		21
	AnyBIC	<AnyBIC>	{Or	[1..1]		218
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		218
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		218
	<b>PayingAgent</b>	<PngAgt>		[0..*]		21
	AnyBIC	<AnyBIC>	{Or	[1..1]		218
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		218
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		218
	<b>SubPayingAgent</b>	<SubPngAgt>		[0..*]		22
	AnyBIC	<AnyBIC>	{Or	[1..1]		218
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		218
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		218
	<b>SupplementaryData</b>	<SplmtryData>		[0..*]		22
	PlaceAndName	<PlcAndNm>		[0..1]		329
	Envelope	<Envlp>		[1..1]		329

## 3 ISO 20022 Rules

### R1 OptionNumberRule

The CorporateActionConfirmationDetails/OptionNumber value provided must be the same as the one provided in the related CorporateActionOptionDetails/OptionNumber element of the CorporateActionNotification or CorporateActionMovementPreliminaryAdvice messages previously sent.

Error handling: Undefined

### R2 ScripOrDividendReinvestment1Rule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashAmount-CarriedForward or CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashAmountBroughtForward is present, then CorporateActionGeneralInformation/EventType/Code value must be either DVSC (ScripDividend) or DRIP (DividendReinvestment).

Error handling: Undefined

### R3 ScripOrDividendReinvestment2Rule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NotionalDividend-PayableAmount or CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NotionalTaxAmount is present, then CorporateActionGeneralInformation/EventType/Code value must be DVSC (ScripDividend).

Error handling: Undefined

### R4 ScripOrDividendReinvestment3Rule

If CorporateActionConfirmationDetails/CashMovementDetails/TaxVoucherDetails/BargainDate or CorporateActionConfirmationDetails/CashMovementDetails/TaxVoucherDetails/BargainSettlementDate is present, then CorporateActionGeneralInformation/EventType/Code value must be DRIP (DividendReinvestment).

Error handling: Undefined

### R5 AdditionalInformationRule

All elements in the AdditionalInformation sequence must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in AdditionalInformation/NarrativeVersion.

Error handling: Undefined

### R6 IncentivePremiumEventType1Rule ✓

If Code value is equal to INCP (IncentivePremiumPayment) in CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 566 NVR C9)

Error handling:

– *Error severity:* Fatal

– *Error Code:* X00540

– *Error Text:* Invalid message contents for EventType code in CorporateActionGeneralInformation since an Incentive Premium Payment is processed.

**R7 IntermediateSecuritiesDistribution1Rule ✓**

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then CorporateActionDetails/

IntermediateSecuritiesDistributionType must be present.

(MT 566 NVR C5).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00549
- *Error Text:* Invalid message contents for IntermediateSecuritiesDistributionType in CorporateActionDetails. Element must be present.

**R8 IncentivePremiumEventTypeUG1Rule**

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

Error handling: Undefined

**R9 PaginationRule ✓**

If pagination is present, then MovementConfirmationIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00653
- *Error Text:* MovementConfirmationIdentification is absent.

## 4 Guidelines

### 1. IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

## 5 Message Building Blocks

**Note** The following chapter identifies the building blocks of the DTCC - US - CA - Payment Processing - SR 2026 / Corporate Action Movement Confirmation seev.036.001.17 message definition.

### Usage Guideline on Root Node

- on seev.036.001.17/

- **Market Practice Usage Rule:**

Used to notify of a payment made on a corporate action event. Separate messages will be sent for payments based on position capture date balance, stock loan balance, repurchase agreements balance (REPOs) and failed trades (Fails) balance. Also as an exception, in some scenarios a reversal is not possible and payment / withdrawal is made as an adjustment using this message.

- on seev.036.001.17/

**Synonym (DTCC):** Allocated Payment







### 5.1 Pagination

*XML Tag:* Pgntn

*Presence:* [0..1]

*Definition:* Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

The **Pgntn** block contains the following elements (see datatype "[Pagination1](#)" on page 217 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		217
	LastPageIndicator	<LastPgInd>		[1..1]		217

### 5.2 MovementConfirmationIdentification

*XML Tag:* MvmntConflD

*Presence:* [0..1]

*Definition:* Unique Identification of the group of corporate action movement confirmation messages when the movement confirmation is split in multiple (paginated) messages.

*Datatype:* "[RestrictedFINXMax16Text](#)" on page 454

## Usage Guideline details

- on [seev.036.001.17/MovementConfirmationIdentification](#)  
Type changed to: [RestrictedFINXMax16Text](#) on page 454







## 5.3 NotificationIdentification


XML Tag: NtfctnId

Presence: [0..1]

Definition: Identification of a previously sent notification document.

The **NtfctnId** block contains the following elements (see datatype "[DocumentIdentification31\\_1](#)" on page 147 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		147
	LinkageType	<LkgTp>		[0..1]		148

## Usage Guideline details

- This element([seev.036.001.17/NotificationIdentification](#)) is removed.







## 5.4 MovementPreliminaryAdviceIdentification



XML Tag: MvmntPrimryAdvclId

Presence: [0..1]

Definition: Identification of a previously sent movement preliminary advice document.

The **MvmntPrimryAdvclId** block contains the following elements (see datatype "[DocumentIdentification31\\_1](#)" on page 147 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		147
	LinkageType	<LkgTp>		[0..1]		148

## Usage Guideline details

- on [seev.036.001.17/MovementPreliminaryAdviceIdentification](#)  
– Market Practice Implementation Rule:



Business Message Identifier of a previously sent CAPA.

## 5.5 InstructionIdentification

*XML Tag:* InstrId

*Presence:* [0..1]

*Definition:* Identification of a related instruction document.

The **InstrId** block contains the following elements (see datatype "[DocumentIdentification9\\_1](#)" on [page 151](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	<span style="color: orange;">⚠</span>	151

### Usage Guideline details

- This element(seev.036.001.17/InstructionIdentification) is removed.

## 5.6 OtherDocumentIdentification

*XML Tag:* OthrDocId

*Presence:* [0..\*]

*Definition:* Identification of other documents as well as the document number.

The **OthrDocId** block contains the following elements (see datatype "[DocumentIdentification32\\_1](#)" on [page 148](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		148
	DocumentNumber	<DocNb>		[0..1]		149
	LinkageType	<LkgTp>		[0..1]		149

### Usage Guideline details

- This element(seev.036.001.17/OtherDocumentIdentification) is removed.







## 5.7 EventsLinkage

*XML Tag:* EvtsLkg

*Presence:* [0..\*]

*Definition:* Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

The **EvtsLkg** block contains the following elements (see datatype "[CorporateActionEventReference3\\_1](#)" on page 108 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<EvtId>		[1..1]		109
	LinkageType	<LkgTp>		[0..1]		109

#### Usage Guideline details

- This element(seev.036.001.17/EventsLinkage) is removed.







## 5.8 TransactionIdentification


*XML Tag:* TxId

*Presence:* [0..1]

*Definition:* Transaction type and identification information.

The **TxId** block contains the following elements (see datatype "[TransactionIdentification15\\_1](#)" on page 334 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketInfrastructureTransactionIdentification	<MktInfrstrctrTxId>		[1..1]		334

#### Usage Guideline details

- This element(seev.036.001.17/TransactionIdentification) is removed.

## 5.9 CorporateActionGeneralInformation

*XML Tag:* CorpActnGnllnf

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

The **CorpActnGnllnf** block contains the following elements (see datatype "[CorporateActionGeneralInformation179\\_1](#)" on page 112 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]		112
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]		113
	ClassActionNumber	<ClsActnNb>		[0..1]		113
	EventType	<EvtTp>		[1..1]		114
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		114
	FractionalQuantity	<FrctnlQty>		[0..1]		115

## 5.10 AccountDetails

XML Tag: AcctDtls

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

The **AcctDtls** block contains the following elements (see datatype "[AccountAndBalance58](#) 1" on page 25 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingAccount	<SfkpgAcct>		[0..1]		26
	BlockChainAddressOrWallet	<BlckChainAdrOrWlt>		[0..1]		27
	AccountOwner	<AcctOwnr>		[0..1]		27
	SafekeepingPlace	<SfkpgPlc>		[0..1]		28
	Balance	<Bal>		[1..1]		28

## 5.11 CorporateActionDetails

XML Tag: CorpActnDtls

Presence: [0..1]

Definition: Information about the corporate action event.

The **CorpActnDtls** block contains the following elements (see datatype "[CorporateAction92](#) 1" on page 63 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateDetails	<DtDtls>		[0..1]		63
	EventStage	<EvtStag>		[0..1]	⚠	63
	AdditionalBusinessProcessIndicator	<AddtlBizPrclnd>		[0..*]		64
	FollowingEventTypeIndicator	<FlwngEvtTpInd>		[0..1]		64
	LotteryType	<LtryTp>		[0..1]	⚠	65

## 5.12 CorporateActionConfirmationDetails

*XML Tag:* CorpActnConfDtls

*Presence:* [1..1]

*Definition:* Information about the corporate action option.

The **CorpActnConfDtls** block contains the following elements (see datatype "[CorporateActionOption253 1](#)" on page 118 for details)

🚫 Removed - 🚫 Partial Removed - 🔹 Ignored - 🔹 Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OptionNumber	<OptnNb>		[1..1]	⚠	118
	OptionType	<OptnTp>		[1..1]	⚠	119
	OptionFeatures	<OptnFeatrs>		[0..*]		119
	FractionDisposition	<FrctnDspstn>		[0..1]	🚫	120
	CurrencyOption	<CcyOptn>		[0..1]	🚫	120
	DateDetails	<DtDtls>		[0..1]	🚫	120
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		121
	PriceDetails	<PricDtls>		[0..1]		122
	PlaceOfTrade	<PlcOfTrad>		[0..1]	🚫	122
	SecuritiesMovementDetails	<SciesMvmntDtls>		[0..*]	⚠	122
	CashMovementDetails	<CshMvmntDtls>		[0..*]	⚠	123

## 5.13 AdditionalInformation

*XML Tag:* AddtlInf

*Presence:* [0..1]

*Definition:* Provides additional information.

The **AddtlInf** block contains the following elements (see datatype "[CorporateActionNarrative31\\_1](#)" on page 116 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalText	<AddtlTxt>		[0..*]	⚠	116
	NarrativeVersion	<NrrtvVrsn>		[0..*]	🚫 ⚠	117
	PartyContactNarrative	<PtyCtctNrrtv>		[0..*]	🚫 ⚠	117
	TaxationConditions	<TaxtnConds>		[0..*]	🚫 ⚠	117

## 5.14 IssuerAgent

XML Tag: IssrAgt

Presence: [0..\*]

*Definition:* Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

The **IssrAgt** block contains the following elements (see datatype "[PartyIdentification120Choice\\_1](#)" on page 217 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		218
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		218
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		218

### Usage Guideline details

- This element(seev.036.001.17/IssuerAgent) is removed.

## 5.15 PayingAgent

XML Tag: PngAgt

Presence: [0..\*]

*Definition:* Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

The **PngAgt** block contains the following elements (see datatype "[PartyIdentification120Choice\\_1](#)" on page 217 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		218
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		218
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		218

## Usage Guideline details

- This element(seev.036.001.17/PayingAgent) is removed.







## 5.16 SubPayingAgent

XML Tag: SubPngAgt

Presence: [0..\*]

**Definition:** Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

The **SubPngAgt** block contains the following elements (see datatype "PartyIdentification120Choice 1" on page 217 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		218
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		218
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		218

## Usage Guideline details

- This element(seev.036.001.17/SubPayingAgent) is removed.







## 5.17 SupplementaryData


XML Tag: SplmtryData

Presence: [0..\*]

**Definition:** Additional information that can not be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "SupplementaryData1" on page 328 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		329
	Envelope	<Envlp>		[1..1]		329

#### Usage Guideline details

- on seev.036.001.17/SupplementaryData

- Market Practice Implementation Rule:







Used to incorporate DTCC extensions: corporate action movement confirmation extension schema.

## 6 Message Components

**Note** The following chapter identifies the message components.

### 6.1 Account10Choice\_\_1

*Definition:* Choice between a cash account, a charges account or a tax account.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashAccount	<CshAcct>	{Or	[1..1]		24
	ChargesAccount	<ChrgsAcct>	Or	[1..1]		24
	TaxAccount	<TaxAcct>	Or}	[1..1]		25

Used in element(s)

"Account" on page 55







#### 6.1.1 CashAccount


*XML Tag:* CshAcct

*Presence:* [1..1]

*Definition:* Account in which cash is maintained.

The **CshAcct** block contains the following elements (see datatype "[CashAccountIdentification9Choice\\_\\_1](#)" on page 49 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		50
	BlockChainCashWallet	<BlckChainCshWllt>	Or	[1..1]		50
	Proprietary	<Prtry>	Or}	[1..1]		50

#### 6.1.2 ChargesAccount

*XML Tag:* ChrgsAcct


*Presence:* [1..1]

*Definition:* Account to be used for charges if different from the account for payment.

The **ChrgsAcct** block contains the following elements (see datatype "[CashAccountIdentification5Choice\\_\\_1](#)" on page 48 for details)



 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		49
	Proprietary	<Prtry>	Or}	[1..1]		49







## 6.1.3 TaxAccount


*XML Tag:* TaxAcct

*Presence:* [1..1]

*Definition:* Account to be used for taxes if different from the account for payment.







The **TaxAcct** block contains the following elements (see datatype "CashAccountIdentification5Choice 1" on page 48 for details)




 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		49
	Proprietary	<Prtry>	Or}	[1..1]		49

## 6.2 AccountAndBalance58\_\_1

*Definition:* Provides account and balance information.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingAccount	<SfkpgAcct>		[0..1]		26
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]		27
	AccountOwner	<AcctOwnr>		[0..1]		27
	SafekeepingPlace	<SfkpgPlc>		[0..1]		28
	Balance	<Bal>		[1..1]		28

### Rules

#### R20 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

Error handling: Undefined

**R21 SafekeepingAccountOrBlockChainAddress1Rule ✓**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Error handling:

- *Error severity:* Warning
- *Error Code:* X00579
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

**R22 SafekeepingAccountOrBlockChainAddress2Rule ✓**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00580
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

**R23 SafekeepingAccountOrBlockChainAddress3Rule ✓**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00581
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

**Used in element(s)**

"AccountDetails" on page 19

## 6.2.1 SafekeepingAccount

*XML Tag:* SfkpgAcct

*Presence:* [0..1]

*Definition:* Account where financial instruments are maintained.

*Datatype:* "RestrictedFINXMax35Text" on page 456

**Usage Guideline details**

- on **seev.036.001.17/AccountDetails/SafekeepingAccount**  
**Type changed to:** RestrictedFINXMax35Text on page 456
- on **seev.036.001.17/AccountDetails/SafekeepingAccount**
  - **Applicability:**  
true

- **REDEML Trace:**  
Participant Number
- **REDEMG Trace:**  
Participant Number
- **REDEMA Trace:**  
Participant Number
- **REDEMX Trace:**  
Participant Number
- **REDEMC Trace:**  
Participant Number
- **on seev.036.001.17/AccountDetails/SafekeepingAccount**  
**Synonym (DTCC):** Participant Number
- **on seev.036.001.17/AccountDetails/SafekeepingAccount**  
**Comment:**  
DTCC assigned internal participant identification number.

## 6.2.2 BlockChainAddressOrWallet

*XML Tag:* BlckChainAdrOrWllt

*Presence:* [0..1]

*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

*Datatype:* "RestrictedFINXMax140Text" on page 454

### Usage Guideline details

- **on seev.036.001.17/AccountDetails/BlockChainAddressOrWallet**  
**Type changed to:** RestrictedFINXMax140Text on page 454







## 6.2.3 AccountOwner

*XML Tag:* AcctOwnr

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

The **AcctOwnr** block contains the following elements (see datatype "PartyIdentification127Choice 1" on page 219 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		219

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		219

## Usage Guideline details

- This element(seev.036.001.17/AccountDetails/AccountOwner) is removed.







## 6.2.4 SafekeepingPlace





XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

The **SfkpgPlc** block contains the following elements (see datatype "SafekeepingPlaceFormat42Choice 1" on page 300 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		300
	Country	<Ctry>	Or	[1..1]		301
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		301
	TypeAndIdentification	<TpAndId>	Or	[1..1]		301
	Proprietary	<Prtry>	Or}	[1..1]		302







## 6.2.5 Balance





XML Tag: Bal

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "CorporateActionBalanceDetails41 1" on page 90 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ConfirmedBalance	<ConfdBal>		[1..1]		91
	TotalEligibleBalance	<TtlElgblBal>		[0..1]		92
	BlockedBalance	<BlckdBal>		[0..1]		93
	BorrowedBalance	<BrrwdBal>		[0..1]		93

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CollateralInBalance	<CollInBal>		[0..1]	⚠	94
	CollateralOutBalance	<CollOutBal>		[0..1]	⚠	95
	OnLoanBalance	<OnLnBal>		[0..1]	⚠	95
	PendingDeliveryBalance	<PdgDlvryBal>		[0..*]	⚠ R[0..1]	96
	PendingReceiptBalance	<PdgRctBal>		[0..*]	⚠ R[0..1]	97
	OutForRegistrationBalance	<OutForRegnBal>		[0..1]	🚫	97
	SettlementPositionBalance	<SttlmPosBal>		[0..*]	⚠ R[0..1]	98
	StreetPositionBalance	<StrtPosBal>		[0..1]	🚫	99
	TradeDatePositionBalance	<TradDtPosBal>		[0..1]	🚫	99
	InTransshipmentBalance	<InTrnsShipmntBal>		[0..1]	🚫	100
	RegisteredBalance	<RegdBal>		[0..1]	🚫	100
	AffectedBalance	<AfctdBal>		[0..1]	⚠	101
	UnaffectedBalance	<UafctdBal>		[0..1]	⚠	102

## 6.3 AdditionalBusinessProcessFormat23Choice\_\_1

*Definition:* Choice between a standard code or proprietary code to specify the type of the additional business process, that is, a tax refund.

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		29
	Proprietary	<Prtry>	Or}	[1..1]	🚫	29

Used in element(s)

"AdditionalBusinessProcessIndicator" on page 64

### 6.3.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Standard code to specify the additional business process "tax refund" linked to a corporate action event.

*Datatype:* "AdditionalBusinessProcess12Code" on page 336

### 6.3.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Proprietary identification of the additional business process "tax refund" linked to a corporate action event.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30\\_1](#)" on page 174 for details)

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Proprietary](#)) is removed.

## 6.4 AlternatePartyIdentification7\_\_1

*Definition:* Alternate identification for a party using an identification type, a country code and a text field.

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationType	<IdTp>		[1..1]		30
	Country	<Ctry>		[1..1]		31
	AlternateIdentification	<AltrnId>		[1..1]		31

#### Used in element(s)

"AlternateIdentification" on page 224, "AlternateIdentification" on page 228, "AlternateIdentification" on page 230, "AlternateIdentification" on page 232







### 6.4.1 IdentificationType

*XML Tag:* IdTp

*Presence:* [1..1]

*Definition:* Specifies the type of alternate identification of the party identified.

The **IdTp** block contains the following elements (see datatype "[IdentificationType42Choice\\_1](#)" on page 196 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		196
	Proprietary	<Prtry>	Or}	[1..1]		197

## 6.4.2 Country

XML Tag: Ctry

Presence: [1..1]

*Definition:* Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

*Datatype:* ["CountryCode" on page 404](#)

## 6.4.3 Alternateldentification

XML Tag: Altrnld

Presence: [1..1]

*Definition:* Alternate identification for a party.

*Datatype:* ["RestrictedFINXMax30Text" on page 455](#)







### Usage Guideline details



- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/Alternateldentification/Alternateldentification**  
 Type changed to: [RestrictedFINXMax30Text on page 455](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/Alternateldentification/Alternateldentification**  
 Type changed to: [RestrictedFINXMax30Text on page 455](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/Alternateldentification/Alternateldentification**  
 Type changed to: [RestrictedFINXMax30Text on page 455](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Depository/Alternateldentification/Alternateldentification**  
 Type changed to: [RestrictedFINXMax30Text on page 455](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/Alternateldentification/Alternateldentification**  
 Type changed to: [RestrictedFINXMax30Text on page 455](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/Alternateldentification/Alternateldentification**  
 Type changed to: [RestrictedFINXMax30Text on page 455](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/Alternateldidentification/Alternateldidentification](#)  
Type changed to: [RestrictedFINXMax30Text](#) on page 455
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Depository/Alternateldidentification/Alternateldidentification](#)  
Type changed to: [RestrictedFINXMax30Text](#) on page 455
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/Alternateldidentification/Alternateldidentification](#)  
Type changed to: [RestrictedFINXMax30Text](#) on page 455
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/Alternateldidentification/Alternateldidentification](#)  
Type changed to: [RestrictedFINXMax30Text](#) on page 455
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/Alternateldidentification/Alternateldidentification](#)  
Type changed to: [RestrictedFINXMax30Text](#) on page 455

## 6.5 AmountAndQuantityRatio4\_\_1

*Definition:* Ratio expressed as amount per quantity.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		32
	Quantity	<Qty>		[1..1]		33

Used in element(s)

"AmountToQuantity" on page 296, "AmountToQuantity" on page 328, "QuantityToAmount" on page 296

### 6.5.1 Amount

*XML Tag:* Amt

*Presence:* [1..1]

*Definition:* Cash amount.

*Datatype:* ["RestrictedFINActiveCurrencyAnd13DecimalAmount"](#) on page 451

Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity/Amount](#)  
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451



- on `seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate/AmountToQuantity/Amount`  
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451
- on `seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/AmountToQuantity/Amount`  
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451
- on `seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount/Amount`  
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451
- on `seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity/Amount`
  - Market Practice Rule:  
  
New amount. Reported in face value.
- on `seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount/Amount`
  - Market Practice rule:  
  
Old Amount

## 6.5.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: ["RestrictedFINDecimalNumber"](#) on page 452

### Usage Guideline details

- on `seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount/Quantity`  
Type changed to: [RestrictedFINDecimalNumber](#) on page 452
- on `seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity/Quantity`  
Type changed to: [RestrictedFINDecimalNumber](#) on page 452
- on `seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/AmountToQuantity/Quantity`  
Type changed to: [RestrictedFINDecimalNumber](#) on page 452
- on `seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate/AmountToQuantity/Quantity`  
Type changed to: [RestrictedFINDecimalNumber](#) on page 452

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount/Quantity](#)
  - **Market Practice Rule:**

New quantity reported in face value.
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity/Quantity](#)
  - **Market Practice Rule:**

Old quantity
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/AmountToQuantity/Quantity](#)
  - **Market Practice Implementation Rule:**

Establishes use of quantity for debt and non debt underlying securities.

**If**  
Underlying Security or "Event Security" is a debt security

**Then**  
Use the par value. 1000 unless it is a baby bond.

**Else**  
Use value of 1.

## 6.6 AmountAndRateStatus1\_\_1

*Definition:* Specifies an amount and a rate status.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]	<span style="color: orange;">⚠</span>	34
	RateStatus	<RateSts>		[1..1]		35

**Used in element(s)**

["AmountAndRateStatus" on page 193](#), ["AmountAndRateStatus" on page 209](#), ["AmountAndRateStatus" on page 194](#), ["AmountAndRateStatus" on page 210](#)

### 6.6.1 Amount

*XML Tag:* Amt

*Presence:* [1..1]

*Definition:* Value expressed as an amount.

*Datatype:* ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451](#)

## Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/AmountAndRateStatus/Amount](#)  
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451
- on [seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossDistributionRate/AmountAndRateStatus/Amount](#)  
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451
- on [seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/NetDistributionRate/AmountAndRateStatus/Amount](#)  
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/AmountAndRateStatus/Amount](#)  
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451

## 6.6.2 RateStatus

*XML Tag:* RateSts







*Presence:* [1..1]


*Definition:* Value expressed as a rate status.

*Datatype:* ["RateStatus1Code"](#) on page 447

## 6.7 AmountPrice2\_\_1

*Definition:* Price expressed as an actual amount.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		35
	PriceValue	<PricVal>		[1..1]		36

**Used in element(s)**

["CashValueForTax"](#) on page 127

### 6.7.1 AmountPriceType

*XML Tag:* AmtPricTp

*Presence:* [1..1]

*Definition:* Type of amount price.

*Datatype:* ["AmountPriceType2Code"](#) on page 347

## 6.7.2 PriceValue

*XML Tag:* PricVal

*Presence:* [1..1]

*Definition:* Value of the price.

*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451







### Usage Guideline details


- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/CashValueForTax/PriceValue](#)

**Type changed to:** RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451

## 6.8 AmountPrice3\_\_1

*Definition:* Price expressed as an amount.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		36
	PriceValue	<PricVal>		[1..1]		36

### Used in element(s)

"AmountPrice" on page 243, "AmountPrice" on page 238, "AmountPrice" on page 240

### 6.8.1 AmountPriceType

*XML Tag:* AmtPricTp

*Presence:* [1..1]

*Definition:* Type of amount price.

*Datatype:* "AmountPriceType1Code" on page 343

### 6.8.2 PriceValue

*XML Tag:* PricVal

*Presence:* [1..1]

*Definition:* Value of the price.

*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451

### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/PriceDetails/CashIn-LieuOfSharePrice/AmountPrice/PriceValue](#)

**Type changed to:** [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/PriceDetails/OverSubscriptionDepositPrice/AmountPrice/PriceValue](#)

**Type changed to:** [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPrice/PriceValue](#)

**Type changed to:** [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPrice/PriceValue](#)

**Type changed to:** [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice/AmountPrice/PriceValue](#)

**Type changed to:** [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/PriceValue](#)

**Type changed to:** [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/CashInLieuOfSharePrice/AmountPrice/PriceValue](#)

**Type changed to:** [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/IndicativeOrMarketPrice/MarketPrice/AmountPrice/PriceValue](#)

**Type changed to:** [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/IndicativeOrMarketPrice/IndicativePrice/AmountPrice/PriceValue](#)

**Type changed to:** [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/PriceValue](#)







**Type changed to:** [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)



## 6.9 AmountPricePerAmount2\_\_1

*Definition:* Specifies a ratio: Amount price per amount.

Example:

ISIN US629377AS17. Repurchase USD1087.17 cash for every USD1000 stock (NRG Energy Inc 8% Senior Notes 15/12/13).

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		38
	PriceValue	<PricVal>		[1..1]		38
	Amount	<Amt>		[1..1]		38

**Used in element(s)**

"AmountPricePerAmount" on page 241

## 6.9.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 343

## 6.9.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451

**Usage Guideline details**

- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/PriceValue  
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/PriceValue  
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)

## 6.9.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: The amount on which the price is based.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451

**Usage Guideline details**

- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/Amount







Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451



- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451

## 6.10 AmountPricePerFinancialInstrumentQuantity10\_\_1

*Definition:* Specifies a ratio: amount price per financial instrument quantity.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		39
	PriceValue	<PricVal>		[1..1]		39
	FinancialInstrumentQuantity	<FinInstrmQty>		[1..1]		40

Used in element(s)

["AmountPricePerFinancialInstrumentQuantity"](#) on page 241

### 6.10.1 AmountPriceType

*XML Tag:* AmtPricTp

*Presence:* [1..1]

*Definition:* Type of amount price.

*Datatype:* ["AmountPriceType1Code"](#) on page 343

Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/AmountPriceType](#)

**Comment:**

value 'ACTU' must be used

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/AmountPriceType](#)

**Comment:**

value 'ACTU' must be used

### 6.10.2 PriceValue

*XML Tag:* PricVal

*Presence:* [1..1]

*Definition:* Value of the price.

*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451

#### Usage Guideline details

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/PriceValue**  
**Type changed to:** RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/PriceValue**  
**Type changed to:** RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451
- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/PriceValue**  
**Synonym:** DTC Cash Rate
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/PriceValue**  
**Synonym (DTCC):** DTC Cash Rate

## 6.10.3 FinancialInstrumentQuantity

*XML Tag:* FinInstrmQty

*Presence:* [1..1]

*Definition:* Quantity of financial instrument.

The **FinInstrmQty** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice 1" on page 152 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	153
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	159
	AmortisedValue	<AmtsdVal>	Or	[1..1]	🚫 ⚠	165
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		171

## 6.11 AmountToAmountRatio2\_\_1

*Definition:* Ratio expressed as a quotient of amounts.



Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount1	<Amt1>		[1..1]		41
	Amount2	<Amt2>		[1..1]		41

Used in element(s)

"AmountToAmount" on page 297, "AmountToAmount" on page 296

## 6.11.1 Amount1

XML Tag: Amt1

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451

### Usage Guideline details

- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount/Amount1  
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount/Amount1  
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/AmountToAmount/Amount1  
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount/Amount1
  - Market Practice Rule:  
Used for new amount
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount/Amount1
  - Market Practice Rule:  
Additional Amount

## 6.11.2 Amount2

XML Tag: Amt2

Presence: [1..1]

*Definition:* Denominator of the quotient of amounts.

*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451

#### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount/Amount2](#)  
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount/Amount2](#)  
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/AmountToAmount/Amount2](#)  
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount/Amount2](#)
  - Market Practice Rule:  
  
Used for old amount
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount/Amount2](#)
  - Market Practice Rule:  
  
Existing Amount

## 6.12 BalanceFormat11Choice\_\_1

*Definition:* Choice between balance, eligible balance and not eligible balance formats.

🚫 Removed - 🚫 Partial Removed - 💡 Ignored - 💡 Partial Ignored - ⚠️ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	44

#### Used in element(s)

"AffectedBalance" on page 101, "BlockedBalance" on page 93, "BorrowedBalance" on page 93, "CollateralInBalance" on page 94, "CollateralOutBalance" on page 95, "ConfirmedBalance" on page 91, "InTransshipmentBalance" on page 100, "OnLoanBalance" on page 95, "OutFor-RegistrationBalance" on page 97, "RegisteredBalance" on page 100, "StreetPositionBalance" on page 99, "TradeDatePositionBalance" on page 99, "UnaffectedBalance" on page 102







## 6.12.1 Balance


*XML Tag:* Bal

*Presence:* [1..1]

*Definition:* Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "[SignedQuantityFormat11](#)" on page 326 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		326
	QuantityChoice	<QtyChc>		[1..1]		327







## 6.12.2 EligibleBalance

*XML Tag:* ElgblBal

*Presence:* [1..1]

*Definition:* Provide eligible balance information in different formats.

The **ElgblBal** block contains the following elements (see datatype "[SignedQuantityFormat10](#)" on page 325 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		325
	Quantity	<Qty>		[1..1]		325

### Usage Guideline details

- This element(seev.036.001.17/AccountDetails/Balance/AffectedBalance/EligibleBalance) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/ConfirmedBalance/EligibleBalance) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/OnLoanBalance/EligibleBalance) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/CollateralInBalance/EligibleBalance) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/BorrowedBalance/EligibleBalance) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/UnaffectedBalance/EligibleBalance) is removed.

- This element(seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/EligibleBalance) is removed.

## 6.12.3 NotEligibleBalance

*XML Tag:* NotElgblBal

*Presence:* [1..1]

*Definition:* Provide not eligible balance information in different formats.

The **NotElgblBal** block contains the following elements (see datatype "SignedQuantityFormat10\_\_1" on page 325 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		325
	Quantity	<Qty>		[1..1]		325

### Usage Guideline details

- This element(seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/NotEligibleBalance) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/OnLoanBalance/NotEligibleBalance) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/UnaffectedBalance/NotEligibleBalance) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/AffectedBalance/NotEligibleBalance) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/ConfirmedBalance/NotEligibleBalance) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/BorrowedBalance/NotEligibleBalance) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/CollateralInBalance/NotEligibleBalance) is removed.

## 6.13 BalanceFormat12Choice\_\_1

*Definition:* Choice between balance, eligible balance and not eligible balance formats.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		45
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	45
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]	🚫	46
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]	🚫	46
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]	🚫	47

**Used in element(s)**

"PendingDeliveryBalance" on page 96, "PendingReceiptBalance" on page 97, "SettlementPositionBalance" on page 98

## 6.13.1 Balance

*XML Tag:* Bal

*Presence:* [1..1]

*Definition:* Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "[SignedQuantityFormat11\\_1](#)" on page 326 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]	⚠	326
	QuantityChoice	<QtyChc>		[1..1]		327

## 6.13.2 EligibleBalance

*XML Tag:* ElgblBal

*Presence:* [1..1]

*Definition:* Provide eligible balance information in different formats.

The **ElgblBal** block contains the following elements (see datatype "[SignedQuantityFormat10\\_1](#)" on page 325 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		325
	Quantity	<Qty>		[1..1]		325

## Usage Guideline details

- This element(seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/EligibleBalance) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/EligibleBalance) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/EligibleBalance) is removed.







### 6.13.3 NotEligibleBalance

*XML Tag:* NotElgblBal

*Presence:* [1..1]

*Definition:* Provide not eligible balance information in different formats.

The **NotElgblBal** block contains the following elements (see datatype "SignedQuantityFormat10\_1" on page 325 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		325
	Quantity	<Qty>		[1..1]		325

## Usage Guideline details

- This element(seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/NotEligibleBalance) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/NotEligibleBalance) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/NotEligibleBalance) is removed.







### 6.13.4 FullPeriodUnits

*XML Tag:* FullPrdUnits

*Presence:* [1..1]

*Definition:* Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

The **FullPrdUnits** block contains the following elements (see datatype "SignedQuantityFormat10\_1" on page 325 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		325
	Quantity	<Qty>		[1..1]		325

## Usage Guideline details

- This element(seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/FullPeriodUnits) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/FullPeriodUnits) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/FullPeriodUnits) is removed.







## 6.13.5 PartWayPeriodUnits

XML Tag: PartWayPrdUnits

Presence: [1..1]

*Definition:* Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

The **PartWayPrdUnits** block contains the following elements (see datatype "SignedQuantityFormat10 1" on page 325 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		325
	Quantity	<Qty>		[1..1]		325

## Usage Guideline details

- This element(seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/PartWayPeriodUnits) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/PartWayPeriodUnits) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/PartWayPeriodUnits) is removed.

## 6.14 BlockchainAddressWallet3

*Definition:* Digital account where digital assets or digital tokens can be stored and where an entry is made.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		48
	Type	<Tp>		[0..1]		48
	Name	<Nm>		[0..1]		48

#### Used in element(s)

"BlockChainCashWallet" on page 50

## 6.14.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max140Text" on page 432







## 6.14.2 Type

XML Tag: Tp

Presence: [0..1]

Definition: Specifies the type of securities account.

The **Tp** block contains the following elements (see datatype "GenericIdentification30" on page 173 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		174
	Issuer	<Issr>		[1..1]		174
	SchemeName	<SchmeNm>		[0..1]		174

## 6.14.3 Name

XML Tag: Nm

Presence: [0..1]







Definition: Description of the account.


Datatype: "Max70Text" on page 434

## 6.15 CashAccountIdentification5Choice\_\_1



*Definition:* Unique identifier of an account, as assigned by the account servicer.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		49
	Proprietary	<Prtry>	Or}	[1..1]		49

Used in element(s)

"ChargesAccount" on page 24, "TaxAccount" on page 25

## 6.15.1 IBAN

*XML Tag:* IBAN

*Presence:* [1..1]

*Definition:* International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

*Datatype:* "IBAN2007Identifier" on page 420

## 6.15.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.







*Datatype:* "RestrictedFINX2Max34Text" on page 454


### Usage Guideline details

- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/Account/Tax-Account/Proprietary  
Type changed to: [RestrictedFINX2Max34Text on page 454](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/Account/ChargesAccount/Proprietary  
Type changed to: [RestrictedFINX2Max34Text on page 454](#)

## 6.16 CashAccountIdentification9Choice\_\_1

*Definition:* Unique identifier of an account, as assigned by the account servicer.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		50
	BlockChainCashWallet	<BlckChainCshWllt>	Or	[1..1]		50
	Proprietary	<Prtry>	Or}	[1..1]		50

**Used in element(s)**

"CashAccount" on page 24, "CashAccount" on page 230, "CashAccount" on page 232

## 6.16.1 IBAN

XML Tag: IBAN

Presence: [1..1]

**Definition:** International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

**Datatype:** "IBAN2007Identifier" on page 420







## 6.16.2 BlockChainCashWallet

XML Tag: BlckChainCshWllt

Presence: [1..1]

**Definition:** Block Chain Wallet where digital currencies are maintained. This is the equivalent of cash account for digital currencies.

The **BlckChainCshWllt** block contains the following elements (see datatype "BlockChainAddressWallet3" on page 47 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		48
	Type	<Tp>		[0..1]		48
	Name	<Nm>		[0..1]		48

## 6.16.3 Proprietary

XML Tag: Prtry

Presence: [1..1]

**Definition:** Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

**Datatype:** "RestrictedFINX2Max34Text" on page 454

## Usage Guideline details

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/Account/CashAccount/Proprietary**  
Type changed to: RestrictedFINX2Max34Text on page 454
- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/CashAccount/Proprietary**  
Type changed to: RestrictedFINX2Max34Text on page 454
- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/CashAccount/Proprietary**  
Type changed to: RestrictedFINX2Max34Text on page 454
- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/CashAccount/Proprietary**  
Type changed to: RestrictedFINX2Max34Text on page 454

## 6.17 CashOption118\_\_1

*Definition:* Provides information about the cash option.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CreditDebitIndicator	<CdtDbtInd>		[1..1]	<span style="color: orange;">⚠</span>	52
	ContractualPaymentIndicator	<CtrctlPmtInd>		[0..1]	<span style="color: red;">⊘</span>	53
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]	<span style="color: red;">⊘</span>	53
	IncomeType	<IncmTp>		[0..1]	<span style="color: red;">⊘</span>	53
	OtherIncomeType	<OthrIncmTp>		[0..*]	<span style="color: red;">⊘</span>	54
	ExemptionType	<XmptnTp>		[0..*]	<span style="color: red;">⊘</span>	54
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]	<span style="color: red;">⊘</span>	55
	Account	<Acct>		[0..1]	<span style="color: red;">⊘</span>	55
	CashParties	<CshPties>		[0..1]	<span style="color: red;">⊘</span>	56
	AmountDetails	<AmtDtls>		[1..1]		56
	DateDetails	<DtDtls>		[1..1]		58
	ForeignExchangeDetails	<FXDtls>		[0..*]	<span style="color: red;">⊘</span>	59
	TaxDocumentationDetails	<TaxDcmnttnDtls>		[0..1]	<span style="color: red;">⊘</span>	59
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		60
	PriceDetails	<PricDtls>		[0..1]		61

**Rules****R51 ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at [www.smpg.info](http://www.smpg.info).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

**R52 IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at [www.smpg.info](http://www.smpg.info).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

**R53 IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at [www.smpg.info](http://www.smpg.info).

Error handling: Undefined

**R54 IncomeType2Rule ✓**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00548
- *Error Text:* Invalid message contents for IncomeType. Element must be present.

**Used in element(s)**

"CashMovementDetails" on page 123

## 6.17.1 CreditDebitIndicator

*XML Tag:* CdtDbtInd

*Presence:* [1..1]

*Definition:* Indicates whether the value is a debit or credit.

*Datatype:* "CreditDebitCode" on page 404

## Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CreditDebitIndicator](#)
  - **Applicability:**  
true

## 6.17.2 ContractualPaymentIndicator

*XML Tag:* CtrctlPmtInd

*Presence:* [0..1]

*Definition:* Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

*Datatype:* "Payment1Code" on page 443

## Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/ContractualPaymentIndicator](#)) is removed.







## 6.17.3 IssuerOfferorTaxabilityIndicator

*XML Tag:* IssrOfferrTaxbltyInd

*Presence:* [0..1]

*Definition:* Proceeds are taxable according to the information provided by the issuer / offeror.

The **IssrOfferrTaxbltyInd** block contains the following elements (see datatype "[IssuerOfferorTaxabilityIndicator2Choice](#)" on page 200 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		201
	Proprietary	<Prtry>	Or}	[1..1]		201

## Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/IssuerOfferorTaxabilityIndicator](#)) is removed.

## 6.17.4 IncomeType

*XML Tag:* IncmTp

*Presence:* [0..1]

*Definition:* Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at [www.smpg.info](http://www.smpg.info).

The **IncmTp** block contains the following elements (see datatype "[GenericIdentification30\\_1](#)" on page 174 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]	⚠	175
	SchemeName	<SchmeNm>		[0..1]	⚠	180

#### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/IncomeType) is removed.

## 6.17.5 OtherIncomeType

*XML Tag:* OthrIncmTp

*Presence:* [0..\*]

*Definition:* Type of income to be used under another tax regime.

The **OthrIncmTp** block contains the following elements (see datatype "[GenericIdentification30\\_1](#)" on page 174 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]	⚠	175
	SchemeName	<SchmeNm>		[0..1]	⚠	180

#### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/OtherIncomeType) is removed.







## 6.17.6 ExemptionType



*XML Tag:* XmpnTp

*Presence:* [0..\*]

*Definition:* Specifies the basis for the reduced rate of withholding.

The **XmptnTp** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 174 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180

#### Usage Guideline details

- This element([see v.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/ExemptionType](#)) is removed.

## 6.17.7 CountryOfIncomeSource

*XML Tag:* CtryOfIncmSrc

*Presence:* [0..1]

*Definition:* Indicates the country from which the income originates.

*Datatype:* "[CountryCode](#)" on page 404

#### Usage Guideline details

- This element([see v.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CountryOfIncomeSource](#)) is removed.







## 6.17.8 Account

*XML Tag:* Acct

*Presence:* [0..1]

*Definition:* Choice between a cash account, a charges account or a tax account.

The **Acct** block contains the following elements (see datatype "[Account10Choice](#)" on page 24 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashAccount	<CshAcct>	{Or	[1..1]		24
	ChargesAccount	<ChrgsAcct>	Or	[1..1]		24

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TaxAccount	<TaxAcct>	Or	[1..1]		25

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/Account) is removed.







## 6.17.9 CashParties

XML Tag: CshPties

Presence: [0..1]

Definition: Provides information about cash parties.

The **CshPties** block contains the following elements (see datatype "[CashParties43 1](#)" on page 61 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Creditor	<Cdtr>		[0..1]		61
	CreditorAgent	<CdtrAgt>		[0..1]		62
	MarketClaimCounterparty	<MktClmCtrPty>		[0..1]		62

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties) is removed.







## 6.17.10 AmountDetails




XML Tag: AmtDtls

Presence: [1..1]























































Definition: Provides information about the amounts related to a cash movement.

The **AmtDtls** block contains the following elements (see datatype "[CorporateActionAmounts79 1](#)" on page 66 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PostingAmount	<PstngAmt>		[1..1]		68
	GrossAmount	<GrssAmt>		[0..1]	 	69



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NetAmount	<NetAmt>		[0..1]		70
	SolicitationFees	<SlctnFees>		[0..1]		70
	CashInLieuOfShare	<CshInLieuOfShr>		[0..1]		71
	CapitalGain	<CptlGn>		[0..1]		71
	InterestAmount	<IntrstAmt>		[0..1]		72
	MarketClaimAmount	<MktClmAmt>		[0..1]	 	72
	IndemnityAmount	<IndmntyAmt>		[0..1]	 	72
	ManufacturedDividendPaymentAmount	<ManfctrdDvddPmtAmt>		[0..1]	 	73
	ReinvestmentAmount	<RinvstmtAmt>		[0..1]	 	73
	FullyFrankedAmount	<FullyFrnkAmt>		[0..1]	 	73
	UnfrankedAmount	<UfrnkAmt>		[0..1]	 	74
	SundryOrOtherAmount	<SndryOrOthrAmt>		[0..1]	 	74
	TaxFreeAmount	<TaxFreeAmt>		[0..1]	 	74
	TaxDeferredAmount	<TaxDfrdAmt>		[0..1]	 	75
	ValueAddedTaxAmount	<ValAddedTaxAmt>		[0..1]	 	75
	StampDutyAmount	<StmpDtyAmt>		[0..1]	 	76
	TaxReclaimAmount	<TaxRclmAmt>		[0..1]	 	76
	TaxCreditAmount	<TaxCdtAmt>		[0..1]	 	76
	AdditionalTaxAmount	<AddtlTaxAmt>		[0..1]	 	77
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]	 	77
	SecondLevelTaxAmount	<ScndLvltaxAmt>		[0..1]	 	77
	FiscalStampAmount	<FscIStmpAmt>		[0..1]	 	78
	ExecutingBrokerAmount	<ExctgBrkrAmt>		[0..1]	 	78
	PayingAgentCommissionAmount	<PngAgtComssnAmt>		[0..1]	 	78
	LocalBrokerCommissionAmount	<LclBrkrComssnAmt>		[0..1]	 	79
	RegulatoryFeesAmount	<RgltryFeesAmt>		[0..1]	 	79
	ShippingFeesAmount	<ShppgFeesAmt>		[0..1]	 	79
	ChargesAmount	<ChrgsAmt>		[0..1]		80
	CashAmountBroughtForward	<CshAmtBrghtFwd>		[0..1]	 	80
	CashAmountCarriedForward	<CshAmtCrrdFwd>		[0..1]	 	81
	NotionalDividendPayableAmount	<NtnlDvddPyblAmt>		[0..1]	 	81

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotionalTaxAmount	<NtnlTaxAmt>		[0..1]	🚫 ⚠️	81
	TaxArrearsAmount	<TaxArrearsAmt>		[0..1]	🚫 ⚠️	82
	OriginalAmount	<OrgnlAmt>		[0..1]	🚫 ⚠️	82
	PrincipalOrCorpus	<PrncplOrCrps>		[0..1]	🚫 ⚠️	82
	RedemptionPremiumAmount	<RedPrmAmt>		[0..1]	🚫 ⚠️	83
	IncomePortion	<IncmPrtn>		[0..1]	🚫 ⚠️	83
	StockExchangeTax	<StockXchgTax>		[0..1]	🚫 ⚠️	84
	EUTaxRetentionAmount	<EUTaxRtntnAmt>		[0..1]	🚫 ⚠️	84
	AccruedInterestAmount	<AcrdIntrstAmt>		[0..1]	⚠️	84
	EqualisationAmount	<EqulstnAmt>		[0..1]	🚫 ⚠️	85
	FATCATaxAmount	<FATCATaxAmt>		[0..1]	🚫 ⚠️	85
	NRATaxAmount	<NRATaxAmt>		[0..1]	🚫 ⚠️	86
	BackUpWithholdingTaxAmount	<BckUpWhldgTaxAmt>		[0..1]	🚫 ⚠️	86
	TaxOnIncomeAmount	<TaxOnIncmAmt>		[0..1]	🚫 ⚠️	86
	TransactionTax	<TxTax>		[0..1]	🚫 ⚠️	87
	DeemedAmount	<DmdAmt>		[0..1]	⚠️	87
	ForeignIncomeAmount	<FrgnIncmAmt>		[0..1]	🚫 ⚠️	88
	DeemedDividendAmount	<DmdDvddAmt>		[0..1]	🚫 ⚠️	88
	DeemedFundAmount	<DmdFndAmt>		[0..1]	🚫 ⚠️	88
	DeemedInterestAmount	<DmdIntrstAmt>		[0..1]	🚫 ⚠️	89
	DeemedRoyaltiesAmount	<DmdRyltsAmt>		[0..1]	🚫 ⚠️	89
	AdjustedSubscriptionAmount	<AdjstdSbcptAmt>		[0..1]	⚠️	89
	RefundedSubscriptionAmount	<RfnddSbcptAmt>		[0..1]	⚠️	90
	BuyUpAmount	<BuyUpAmt>		[0..1]	🚫 ⚠️	90

## 6.17.11 DateDetails






XML Tag: DtDtls

Presence: [1..1]

Definition: Provides information about the dates related to a cash movement.

The **DtDtls** block contains the following elements (see datatype "CorporateActionDate99" on page 105 for details)

🚫 Removed - 🚫 Partial Removed - ⚠️ Ignored - ⚠️ Partial Ignored - ⚠️ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PostingDate	<PstngDt>		[1..1]		105
	ValueDate	<ValDt>		[0..1]		106
	ForeignExchangeRateFixingDate	<FXRateFxdDt>		[0..1]		106
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]		107
	PaymentDate	<PmtDt>		[0..1]		107







## 6.17.12 ForeignExchangeDetails


XML Tag: FXDtIs

Presence: [0..\*]

Definition: Exchange rate between the amount and the resulting amount.

The **FXDtIs** block contains the following elements (see datatype "ForeignExchangeTerms40\_1" on page 171 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	UnitCurrency	<UnitCcy>		[1..1]		171
	QuotedCurrency	<QtdCcy>		[1..1]		171
	ExchangeRate	<XchgRate>		[1..1]		172
	ResultingAmount	<RsltgtAmt>		[1..1]		172

### Usage Guideline details

- This element(see v.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/ForeignExchangeDetails) is removed.







## 6.17.13 TaxDocumentationDetails

XML Tag: TaxDcmnttnDtIs

Presence: [0..1]

Definition: Provides information about the tax voucher related to a cash movement.

The **TaxDcmnttnDtIs** block contains the following elements (see datatype "TaxVoucher6" on page 329 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TaxVoucherReference	<TaxVchrRef>		[0..1]		330
	TaxReclaimDocumentationReference	<TaxRclmDcmnttnRef>		[0..1]		331
	BeneficialOwnerReference	<BnfcIOwnrRef>		[0..1]		331

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/TaxDocumentationDetails) is removed.







## 6.17.14 RateAndAmountDetails















XML Tag: RateAndAmtDtls

Presence: [0..1]

Definition: Provides information about the corporate action option.

The **RateAndAmtDtls** block contains the following elements (see datatype "Rate51\_\_1" on page 257 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalTax	<AddtlTax>		[0..1]		260
	ChargesFees	<ChrgsFees>		[0..1]		261
	FiscalStamp	<FscIStmp>		[0..1]		261
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]		262
	EarlySolicitationFeeRate	<EarlySlctnFeeRate>		[0..1]		262
	ThirdPartyIncentiveRate	<ThrdPtyIncntivRate>		[0..1]		263
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]		263
	NetDistributionRate	<NetDstrbtnRate>		[0..*]		264
	ApplicableRate	<AplblRate>		[0..1]		265
	SolicitationFeeRate	<SlctnFeeRate>		[0..1]		265
	TaxCreditRate	<TaxCdtRate>		[0..1]		266
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]		266
	SecondLevelTax	<ScndLvITax>		[0..*]		266
	TaxOnIncome	<TaxOnIncm>		[0..1]		267
	TaxOnProfits	<TaxOnPrfts>		[0..1]		267

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TaxReclaimRate	<TaxRclmRate>		[0..1]		268
	EqualisationRate	<EqulstnRate>		[0..1]		268
	DeemedRate	<DmdRate>		[0..*]		268

## 6.17.15 PriceDetails

*XML Tag:* PricDtls

*Presence:* [0..1]

*Definition:* Provides information about the prices related to a corporate action option.

The **PricDtls** block contains the following elements (see datatype "[PriceDetails37\\_1](#)" on page 235 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]		236
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]		236
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		237

## 6.18 CashParties43\_\_1

*Definition:* Specifies cash parties in the framework of a corporate action event.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Creditor	<Cdtr>		[0..1]		61
	CreditorAgent	<CdtrAgt>		[0..1]		62
	MarketClaimCounterparty	<MktClmCtrPty>		[0..1]		62

**Used in element(s)**

"CashParties" on page 56

### 6.18.1 Creditor

*XML Tag:* Cdtr

*Presence:* [0..1]

*Definition:* Party to which an amount of money is due.

The **Cdtr** block contains the following elements (see datatype "PartyIdentificationAndAccount225 1" on page 229 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		229
	CashAccount	<CshAcct>		[0..1]		230
	ProcessingIdentification	<Prcgld>		[0..1]	⚠	230
	AlternateIdentification	<Altrnld>		[0..1]		230

## 6.18.2 CreditorAgent

XML Tag: CdtrAgt

Presence: [0..1]

Definition: Financial institution servicing an account for the creditor.

The **CdtrAgt** block contains the following elements (see datatype "PartyIdentificationAndAccount226 1" on page 231 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		231
	CashAccount	<CshAcct>		[0..1]		232
	ProcessingIdentification	<Prcgld>		[0..1]	⚠	232
	AlternateIdentification	<Altrnld>		[0..1]		232

## 6.18.3 MarketClaimCounterparty

XML Tag: MktClmCtrPty


Presence: [0..1]

Definition: Party that has reimbursed the account owner with funds to which they were legally entitled.

The **MktClmCtrPty** block contains the following elements (see datatype "PartyIdentificationAndAccount225 1" on page 229 for details)







🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		229
	CashAccount	<CshAcct>		[0..1]		230

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProcessingIdentification	<PrcgId>		[0..1]		230
	AlternateIdentification	<AltrnId>		[0..1]		230

## 6.19 CorporateAction92\_\_1

*Definition:* Provides information about the corporate action event.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateDetails	<DtDtIs>		[0..1]		63
	EventStage	<EvtStag>		[0..1]		63
	AdditionalBusinessProcessIndicator	<AddtlBizPrclnd>		[0..*]		64
	FollowingEventTypeIndicator	<FlwngEvtTpInd>		[0..1]		64
	LotteryType	<LtryTp>		[0..1]		65

Used in element(s)

"CorporateActionDetails" on page 19







### 6.19.1 DateDetails



*XML Tag:* DtDtIs

*Presence:* [0..1]

*Definition:* Provides information about the dates related to a corporate action event.

The **DtDtIs** block contains the following elements (see datatype "CorporateActionDate86\_\_1" on page 102 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RecordDate	<RcrdDt>		[0..1]		103
	ExDividendDate	<ExDvddDt>		[0..1]		103

### 6.19.2 EventStage

*XML Tag:* EvtStag

*Presence:* [0..1]

*Definition:* Stage in the corporate action event life cycle.

The **EvtStag** block contains the following elements (see datatype "CorporateActionEventStageFormat14Choice 1" on page 109 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		110
	Proprietary	<Prtry>	Or}	[1..1]	🚫	110

#### Usage Guideline details

- on seev.036.001.17/CorporateActionDetails/EventStage
  - **Applicability:**  
true
  - **Redemption Rule:**  
Mapped from DTCC Event Stage data element. Mapping is without conditions. The following codes are applicable: Cancellation and Re Run, Supplemental Cancellation and Supplemental Cancellation and Re Run. The Unconditional As to Acceptance field does not apply to Redemption based lottery events.
  - **REDEML Trace:**  
Lottery Type
- on seev.036.001.17/CorporateActionDetails/EventStage  
**Synonym (DTCC):** Event Stage

## 6.19.3 AdditionalBusinessProcessIndicator

*XML Tag:* AddtlBizPrclnd

*Presence:* [0..\*]

*Definition:* Indicates that the additional business process relates to a claim on the associated corporate action event.

The **AddtlBizPrclnd** block contains the following elements (see datatype "AdditionalBusinessProcessFormat23Choice 1" on page 29 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		29
	Proprietary	<Prtry>	Or}	[1..1]	🚫	29

## 6.19.4 FollowingEventTypeIndicator

*XML Tag:* FlwngEvtTpInd

*Presence:* [0..1]



*Definition:* Specifies the event type of the following event.

The **FillwngEvtTpInd** block contains the following elements (see datatype "[IntermediateSecuritiesDistributionTypeFormat19Choice](#)" on page 199 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		200
	Proprietary	<Prtry>	Or}	[1..1]	🚫	200

## 6.19.5 LotteryType

*XML Tag:* LtryTp

*Presence:* [0..1]

*Definition:* Specifies the type of lottery announced.

The **LtryTp** block contains the following elements (see datatype "[LotteryTypeFormat4Choice\\_1](#)" on page 201 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		202
	Proprietary	<Prtry>	Or}	[1..1]	🚫	202



### Usage Guideline details

- on [seev.036.001.17/CorporateActionDetails/LotteryType](#)
  - **Applicability:**  
true
  - **Redemption Rule:**  
Mapped from DTCC Lottery Type data element. Mapping is without conditions. The following codes are applicable: Original and Supplemental.
  - **REDEML Trace:**  
Lottery Type
- on [seev.036.001.17/CorporateActionDetails/LotteryType](#)  
**Synonym (DTCC):** Lottery Type

## 6.20 CorporateActionAmounts60\_\_1

*Definition:* Specifies amounts in the framework of a corporate action event.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]		66
	SecondLevelTaxAmount	<ScndLvITaxAmt>		[0..1]		66

Used in element(s)

"AmountDetails" on page 314

## 6.20.1 WithholdingTaxAmount

XML Tag: WhldgTaxAmt

Presence: [0..1]

*Definition:* Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

*Datatype:* "RestrictedFINActiveCurrencyAndAmount" on page 451

### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/AmountDetails/WithholdingTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.20.2 SecondLevelTaxAmount

XML Tag: ScndLvITaxAmt

Presence: [0..1]

*Definition:* Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

*Datatype:* "RestrictedFINActiveCurrencyAndAmount" on page 451







### Usage Guideline details



















































- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/AmountDetails/SecondLevelTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21 CorporateActionAmounts79\_\_1

*Definition:* Specifies amounts in the framework of a corporate action event.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PostingAmount	<PstngAmt>		[1..1]		68
	GrossAmount	<GrssAmt>		[0..1]	 	69
	NetAmount	<NetAmt>		[0..1]		70
	SolicitationFees	<SlctnFees>		[0..1]		70
	CashInLieuOfShare	<CshInLieuOfShr>		[0..1]		71
	CapitalGain	<CptlGn>		[0..1]		71
	InterestAmount	<IntrstAmt>		[0..1]		72
	MarketClaimAmount	<MktClmAmt>		[0..1]	 	72
	IndemnityAmount	<IndmntyAmt>		[0..1]	 	72
	ManufacturedDividendPaymentAmount	<ManfctrdDvddPmtAmt>		[0..1]	 	73
	ReinvestmentAmount	<RinvstmtAmt>		[0..1]	 	73
	FullyFrankedAmount	<FullyFrnkAmt>		[0..1]	 	73
	UnfrankedAmount	<UfrnkAmt>		[0..1]	 	74
	SundryOrOtherAmount	<SndryOrOthrAmt>		[0..1]	 	74
	TaxFreeAmount	<TaxFreeAmt>		[0..1]	 	74
	TaxDeferredAmount	<TaxDfrdAmt>		[0..1]	 	75
	ValueAddedTaxAmount	<ValAddedTaxAmt>		[0..1]	 	75
	StampDutyAmount	<StmpDtyAmt>		[0..1]	 	76
	TaxReclaimAmount	<TaxRclmAmt>		[0..1]	 	76
	TaxCreditAmount	<TaxCdtAmt>		[0..1]	 	76
	AdditionalTaxAmount	<AddtlTaxAmt>		[0..1]	 	77
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]	 	77
	SecondLevelTaxAmount	<ScndLvTaxAmt>		[0..1]	 	77
	FiscalStampAmount	<FscLStmpAmt>		[0..1]	 	78
	ExecutingBrokerAmount	<ExctgBrkrAmt>		[0..1]	 	78
	PayingAgentCommissionAmount	<PngAgtComssnAmt>		[0..1]	 	78
	LocalBrokerCommissionAmount	<LclBrkrComssnAmt>		[0..1]	 	79
	RegulatoryFeesAmount	<RgltryFeesAmt>		[0..1]	 	79
	ShippingFeesAmount	<ShppgFeesAmt>		[0..1]	 	79
	ChargesAmount	<ChrgsAmt>		[0..1]		80
	CashAmountBroughtForward	<CshAmtBrghFwd>		[0..1]	 	80

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashAmountCarriedForward	<CshAmtCrrdFwd>		[0..1]	 	81
	NotionalDividendPayableAmount	<NtnlDvddPyblAmt>		[0..1]	 	81
	NotionalTaxAmount	<NtnlTaxAmt>		[0..1]	 	81
	TaxArrearsAmount	<TaxArrearsAmt>		[0..1]	 	82
	OriginalAmount	<OrgnlAmt>		[0..1]	 	82
	PrincipalOrCorpus	<PrncplOrCrps>		[0..1]	 	82
	RedemptionPremiumAmount	<RedPrmAmt>		[0..1]	 	83
	IncomePortion	<IncmPrtn>		[0..1]	 	83
	StockExchangeTax	<StockXchgTax>		[0..1]	 	84
	EUTaxRetentionAmount	<EUTaxRtntnAmt>		[0..1]	 	84
	AccruedInterestAmount	<AcrdIntrstAmt>		[0..1]		84
	EqualisationAmount	<EqulstnAmt>		[0..1]	 	85
	FATCATaxAmount	<FATCATaxAmt>		[0..1]	 	85
	NRATaxAmount	<NRATaxAmt>		[0..1]	 	86
	BackUpWithholdingTaxAmount	<BckUpWhldgTaxAmt>		[0..1]	 	86
	TaxOnIncomeAmount	<TaxOnIncmAmt>		[0..1]	 	86
	TransactionTax	<TxTax>		[0..1]	 	87
	DeemedAmount	<DmdAmt>		[0..1]		87
	ForeignIncomeAmount	<FrgrnIncmAmt>		[0..1]	 	88
	DeemedDividendAmount	<DmdDvddAmt>		[0..1]	 	88
	DeemedFundAmount	<DmdFndAmt>		[0..1]	 	88
	DeemedInterestAmount	<DmdIntrstAmt>		[0..1]	 	89
	DeemedRoyaltiesAmount	<DmdRyltsAmt>		[0..1]	 	89
	AdjustedSubscriptionAmount	<AdjstdSbcptAmt>		[0..1]		89
	RefundedSubscriptionAmount	<RfnddSbcptAmt>		[0..1]		90
	BuyUpAmount	<BuyUpAmt>		[0..1]	 	90

Used in element(s)

"AmountDetails" on page 56

## 6.21.1 PostingAmount

XML Tag: PstngAmt

Presence: [1..1]

*Definition:* Amount of money that is to be/was posted to the account.

*Datatype:* "RestrictedFINActiveCurrencyAndAmount" on page 451

#### Usage Guideline details

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PostingAmount**

Type changed to: RestrictedFINActiveCurrencyAndAmount on page 451

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PostingAmount**

#### – Market Practice Usage Rule:

Used as a field for the total amount being allocated. To be used in conjunction with other amounts that specify the nature of the distribution (Payout Type. For an example: if NetCash amount is also populated – Payout Type is Dividend. Exception to this rule would be Payout Type: Cash or Principal where only this element will be used.

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PostingAmount**

#### – Applicability:

true

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PostingAmount**

Synonym (DTCC): Cash Amount (Payout: Cash)

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PostingAmount**

Synonym (DTCC): Cash Amount (Payout: Principal)

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PostingAmount**

#### Comment:

Total cash amount that is being allocated as a result of this movement.

## 6.21.2 GrossAmount

*XML Tag:* GrssAmt

*Presence:* [0..1]

*Definition:* Amount of money before any tax deductions have been made.

*Datatype:* "RestrictedFINActiveCurrencyAndAmount" on page 451

#### Usage Guideline details

- This element(**seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/GrossAmount**) is removed.

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/GrossAmount**

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.3 NetAmount

*XML Tag:* NetAmt

*Presence:* [0..1]

*Definition:* Amount of money after tax deductions have been made.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NetAmount](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NetAmount](#)

**Synonym (DTCC):** Cash Amount (Payout: Dividend)

## 6.21.4 SolicitationFees

*XML Tag:* SlctnFees

*Presence:* [0..1]

*Definition:* Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/SolicitationFees](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/SolicitationFees](#)

#### – Market Practice Implementation Rule:

Cash amount from Premium payouts will be used here with the exception of some events where Premium payout does not represent an incentive for participation or a consent fee.

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/SolicitationFees](#)

**Synonym (DTCC):** Cash Amount (Payout: Premium)

## 6.21.5 CashInLieuOfShare

*XML Tag:* CshInLieuOfShr

*Presence:* [0..1]

*Definition:* Cash disbursement in lieu of a fractional quantity of, for example, equity.

*Datatype:* "RestrictedFINActiveCurrencyAndAmount" on page 451

### Usage Guideline details

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashInLieuOfShare**

**Type changed to:** RestrictedFINActiveCurrencyAndAmount on page 451

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashInLieuOfShare**

– **DTCC Cash In Lieu Of Share Rule:**

Used when the message is sent for Cash In Lieu Payment.

## 6.21.6 CapitalGain

*XML Tag:* CptlGn

*Presence:* [0..1]

*Definition:* Amount of money distributed as the result of a capital gain.

*Datatype:* "RestrictedFINActiveCurrencyAndAmount" on page 451

### Usage Guideline details

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CapitalGain**

**Type changed to:** RestrictedFINActiveCurrencyAndAmount on page 451

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CapitalGain**

**Synonym (DTCC):** Cash Amount (Payout: Long Term Capital Gains)

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CapitalGain**

**Synonym (DTCC):** Cash Amount (Payout: Capital Gains)

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CapitalGain**

**Synonym (DTCC):** Cash Amount (Payout: Short Term Capital Gains)

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CapitalGain**

**Synonym (DTCC):** Cash Amount (Payout: Return of Capital)

## 6.21.7 InterestAmount

*XML Tag:* IntrstAmt

*Presence:* [0..1]

*Definition:* Amount of money representing a coupon payment.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/InterestAmount](#)  
**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/InterestAmount](#)  
**Synonym (DTCC):** Cash Amount (Payout: Interest)

## 6.21.8 MarketClaimAmount

*XML Tag:* MktClmAmt

*Presence:* [0..1]

*Definition:* Amount of money resulting from a market claim.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/MarketClaimAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/MarketClaimAmount](#)  
**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.9 IndemnityAmount

*XML Tag:* IndmntyAmt

*Presence:* [0..1]

*Definition:* Market claim/reverse market claim amount treated as an indemnity (whether net or gross).

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/IndemnityAmount](#)) is removed.



- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/IndemnityAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 451

## 6.21.10 ManufacturedDividendPaymentAmount

XML Tag: ManfctrdDvddPmtAmt

Presence: [0..1]

*Definition:* Any amount representative of a dividend/other income payment that is required to be paid by one person to another, under a contract or any other arrangement between the parties, for the transfer of the underlying securities. Manufactured payments are a compensation/substitute payment made by the holder of the securities to the original owner, to reflect the fact that the original owner does not receive the real dividend or interest.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount"](#) on page 451

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ManufacturedDividendPaymentAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ManufacturedDividendPaymentAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 451

## 6.21.11 ReinvestmentAmount

XML Tag: RinvstmtAmt

Presence: [0..1]

*Definition:* Amount of money reinvested in additional securities.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount"](#) on page 451

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ReinvestmentAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ReinvestmentAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 451

## 6.21.12 FullyFrankedAmount

XML Tag: FullyFrnkdAmt

Presence: [0..1]

*Definition:* Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/FullyFrankedAmount](#)) is removed.
  - on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/FullyFrankedAmount](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.13 UnfrankedAmount

*XML Tag:* UfrnkAmt

*Presence:* [0..1]

*Definition:* Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/UnfrankedAmount](#)) is removed.
  - on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/UnfrankedAmount](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.14 SundryOrOtherAmount

*XML Tag:* SndryOrOthrAmt

*Presence:* [0..1]

*Definition:* Amount of money related to taxable income that cannot be categorised.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/SundryOrOtherAmount](#)) is removed.
  - on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/SundryOrOtherAmount](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.15 TaxFreeAmount

*XML Tag:* TaxFreeAmt

*Presence:* [0..1]

*Definition:* Amount of money that has not been subject to taxation.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxFreeAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxFreeAmount](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.16 TaxDeferredAmount

*XML Tag:* TaxDfrrdAmt

*Presence:* [0..1]

*Definition:* Amount of income eligible for deferred taxation.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxDeferredAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxDeferredAmount](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.17 ValueAddedTaxAmount

*XML Tag:* ValAddedTaxAmt

*Presence:* [0..1]

*Definition:* Tax on value added.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ValueAddedTaxAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ValueAddedTaxAmount](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.18 StampDutyAmount

*XML Tag:* StmpDtyAmt

*Presence:* [0..1]

*Definition:* Amount of stamp duty.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/StampDutyAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/StampDutyAmount](#)  
Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.19 TaxReclaimAmount

*XML Tag:* TaxRclmAmt

*Presence:* [0..1]

*Definition:* Amount that was paid in excess of actual tax obligation and was reclaimed.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxReclaimAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxReclaimAmount](#)  
Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.20 TaxCreditAmount

*XML Tag:* TaxCdtAmt

*Presence:* [0..1]

*Definition:* Amount of taxes that have been previously paid in relation to the taxable event.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxCreditAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxCreditAmount](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.21 AdditionalTaxAmount

*XML Tag:* AddtlTaxAmt

*Presence:* [0..1]

*Definition:* Amount of additional taxes that cannot be categorised.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/AdditionalTaxAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/AdditionalTaxAmount](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.22 WithholdingTaxAmount

*XML Tag:* WhldgTaxAmt

*Presence:* [0..1]

*Definition:* Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/WithholdingTaxAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/WithholdingTaxAmount](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.23 SecondLevelTaxAmount

*XML Tag:* ScndLvITaxAmt

*Presence:* [0..1]

*Definition:* Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

**Usage Guideline details**

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/SecondLevelTaxAmount) is removed.
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/SecondLevelTaxAmount

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.24 FiscalStampAmount

*XML Tag:* FscIStmpAmt

*Presence:* [0..1]

*Definition:* Amount of fiscal tax to apply.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

**Usage Guideline details**

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/FiscalStampAmount) is removed.
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/FiscalStampAmount

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.25 ExecutingBrokerAmount

*XML Tag:* ExctgBrkrAmt

*Presence:* [0..1]

*Definition:* Amount of money paid to an executing broker as a commission.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

**Usage Guideline details**

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ExecutingBrokerAmount) is removed.
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ExecutingBrokerAmount

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.26 PayingAgentCommissionAmount

*XML Tag:* PngAgtComssnAmt

*Presence:* [0..1]

*Definition:* Amount of paying/sub-paying agent commission.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PayingAgentCommissionAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PayingAgentCommissionAmount](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.27 LocalBrokerCommissionAmount

*XML Tag:* LclBrkrComssnAmt

*Presence:* [0..1]

*Definition:* Local broker's commission.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/LocalBrokerCommissionAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/LocalBrokerCommissionAmount](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.28 RegulatoryFeesAmount

*XML Tag:* RgltryFeesAmt

*Presence:* [0..1]

*Definition:* Amount of money charged by a regulatory authority, for example, Securities and Exchange fees.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/RegulatoryFeesAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/RegulatoryFeesAmount](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.29 ShippingFeesAmount

*XML Tag:* ShppgFeesAmt

*Presence:* [0..1]

*Definition:* All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ShippingFeesAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ShippingFeesAmount](#)  
Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.30 ChargesAmount

*XML Tag:* ChrgsAmt

*Presence:* [0..1]

*Definition:* Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

#### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ChargesAmount](#)  
Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ChargesAmount](#)  
Comment:  
For Reorganization Events only

## 6.21.31 CashAmountBroughtForward

*XML Tag:* CshAmtBrghTfwd

*Presence:* [0..1]

*Definition:* Indicates cash retained from previous dividend.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashAmountBroughtForward](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashAmountBroughtForward](#)



**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.32 CashAmountCarriedForward

*XML Tag:* CshAmtCrrdFwd

*Presence:* [0..1]

*Definition:* Indicates the balance carried forward to next dividend.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashAmountCarriedForward](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashAmountCarriedForward](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.33 NotionalDividendPayableAmount

*XML Tag:* NtnlDvddPyblAmt

*Presence:* [0..1]

*Definition:* Amount of cash that would have been payable if the dividend had been taken in the form of cash rather than shares.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NotionalDividendPayableAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NotionalDividendPayableAmount](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.34 NotionalTaxAmount

*XML Tag:* NtnlTaxAmt

*Presence:* [0..1]

*Definition:* Where new securities are issued in lieu of a cash dividend, the notional tax is the tax on the amount of cash that would have been paid.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

**Usage Guideline details**

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NotionalTaxAmount) is removed.
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NotionalTaxAmount

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.35 TaxArrearsAmount

*XML Tag:* TaxArrearsAmt

*Presence:* [0..1]

*Definition:* Amount of money paid by the tax authorities in addition to the payment of the tax refund itself.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

**Usage Guideline details**

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxArrearsAmount) is removed.
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxArrearsAmount

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.36 OriginalAmount

*XML Tag:* OrgnlAmt

*Presence:* [0..1]

*Definition:* Posting/settlement Amount in its original currency when conversion from/into another currency has occurred.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

**Usage Guideline details**

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/OriginalAmount) is removed.
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/OriginalAmount

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.37 PrincipalOrCorpus

*XML Tag:* PrncplOrCrps

*Presence:* [0..1]

*Definition:* Amount of money representing a distribution of a bond's principal, for example, repayment of outstanding debt.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PrincipalOrCorpus](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/PrincipalOrCorpus](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.38 RedemptionPremiumAmount

*XML Tag:* RedPrmAmt

*Presence:* [0..1]

*Definition:* Amount of money (not interest) in addition to the principal at the redemption of a bond.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/RedemptionPremiumAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/RedemptionPremiumAmount](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.39 IncomePortion

*XML Tag:* IncmPrtn

*Presence:* [0..1]

*Definition:* Amount relating to the underlying security for which income is distributed.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/IncomePortion](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/IncomePortion](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.40 StockExchangeTax

*XML Tag:* StockXchgTax

*Presence:* [0..1]

*Definition:* Amount of stock exchange tax.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/StockExchangeTax](#)) is removed.
  - on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/StockExchangeTax](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.41 EUTaxRetentionAmount

*XML Tag:* EUTaxRtnAmt

*Presence:* [0..1]

*Definition:* Total amount of tax withheld at source in conformance with the EU Savings Directive.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/EUTaxRetentionAmount](#)) is removed.
  - on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/EUTaxRetentionAmount](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.42 AccruedInterestAmount

*XML Tag:* AcrdIntrstAmt

*Presence:* [0..1]

*Definition:* Amount of interest that has been accrued in between coupon payment periods.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/AccruedInterestAmount](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)

- on `seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/AccruedInterestAmount`
  - **Market Practice Usage Rule:**  
Used when event type is other than Interest.
- on `seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/AccruedInterestAmount`
  - **Applicability:**  
true
  - **Redemption Rule:**  
Mapped from Interest payout on a Redemption event.
- on `seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/AccruedInterestAmount`  
**Synonym (DTCC):** Cash Amount (Payout: Interest)

## 6.21.43 EqualisationAmount

*XML Tag:* `EqulstnAmt`

*Presence:* [0..1]

*Definition:* Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

*Datatype:* `"RestrictedFINActiveCurrencyAndAmount"` on page 451

### Usage Guideline details

- This element(`seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/EqualisationAmount`) is removed.
- on `seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/EqualisationAmount`  
**Type changed to:** `RestrictedFINActiveCurrencyAndAmount` on page 451

## 6.21.44 FATCATaxAmount

*XML Tag:* `FATCATaxAmt`

*Presence:* [0..1]

*Definition:* FATCA (Foreign Account Tax Compliance Act) related tax amount.

*Datatype:* `"RestrictedFINActiveCurrencyAndAmount"` on page 451

### Usage Guideline details

- This element(`seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/FATCATaxAmount`) is removed.

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/FATCATaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 451

## 6.21.45 NRATaxAmount

XML Tag: NRATaxAmt

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 451

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NRATaxAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NRATaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 451

## 6.21.46 BackUpWithholdingTaxAmount

XML Tag: BckUpWhldgTaxAmt

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 451

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/BackUpWithholdingTaxAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/BackUpWithholdingTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 451

## 6.21.47 TaxOnIncomeAmount

XML Tag: TaxOnIncmAmt

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 451

## Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxOnIncomeAmount](#)) is removed.
  - on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TaxOnIncomeAmount](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 451

## 6.21.48 TransactionTax

*XML Tag:* TxTax

*Presence:* [0..1]

*Definition:* Amount of transaction tax.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount"](#) on page 451

## Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TransactionTax](#)) is removed.
  - on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/TransactionTax](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 451

## 6.21.49 DeemedAmount

*XML Tag:* DmdAmt

*Presence:* [0..1]

*Definition:* Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount"](#) on page 451

## Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedAmount](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 451
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedAmount](#)
- Synonym (DTCC): Total Cash Due
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedAmount](#)
- Comment:**  
This should be considered gross taxable amount

## 6.21.50 ForeignIncomeAmount

*XML Tag:* FrgnIncmAmt

*Presence:* [0..1]

*Definition:* Amount relating to a foreign income such as a conduit foreign income.

*Datatype:* "RestrictedFINActiveCurrencyAndAmount" on page 451

### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ForeignIncomeAmount) is removed.
  - on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/ForeignIncomeAmount
- Type changed to: RestrictedFINActiveCurrencyAndAmount on page 451

## 6.21.51 DeemedDividendAmount

*XML Tag:* DmdDvddAmt

*Presence:* [0..1]

*Definition:* Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

*Datatype:* "RestrictedFINActiveCurrencyAndAmount" on page 451

### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedDividendAmount) is removed.
  - on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedDividendAmount
- Type changed to: RestrictedFINActiveCurrencyAndAmount on page 451

## 6.21.52 DeemedFundAmount

*XML Tag:* DmdFndAmt

*Presence:* [0..1]

*Definition:* Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

*Datatype:* "RestrictedFINActiveCurrencyAndAmount" on page 451

### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedFundAmount) is removed.



- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedFundAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 451

## 6.21.53 DeemedInterestAmount

*XML Tag:* DmdIntrstAmt

*Presence:* [0..1]

*Definition:* Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount"](#) on page 451

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedInterestAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedInterestAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 451

## 6.21.54 DeemedRoyaltiesAmount

*XML Tag:* DmdRyltsAmt

*Presence:* [0..1]

*Definition:* Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount"](#) on page 451

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedRoyaltiesAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/DeemedRoyaltiesAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 451

## 6.21.55 AdjustedSubscriptionAmount

*XML Tag:* AdjstdSbcptAmt

*Presence:* [0..1]

*Definition:* Amount of money debited or credited to adjust for the difference between the estimated and the final subscription rate for Rights Exercise events.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

#### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/AdjustedSubscriptionAmount](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.56 RefundedSubscriptionAmount

*XML Tag:* RfnddSbcptAmt

*Presence:* [0..1]

*Definition:* Amount of money refunded from the original subscription cost for a Rights Exercise event. This occurs when the event is prorated and the subscription cost relating to the unaffected (unaccepted) balance is returned to the holder.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)

#### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/RefundedSubscriptionAmount](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.21.57 BuyUpAmount

*XML Tag:* BuyUpAmt

*Presence:* [0..1]

*Definition:* Amount of cash debited in the context of a buy up option.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)







#### Usage Guideline details


















- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/BuyUpAmount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/BuyUpAmount](#)

**Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.22 CorporateActionBalanceDetails41\_\_1

*Definition:* Eligible and not eligible balance of securities for a corporate action event.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ConfirmedBalance	<ConfdBal>		[1..1]		91
	TotalEligibleBalance	<TtlElgblBal>		[0..1]		92
	BlockedBalance	<BlckdBal>		[0..1]		93
	BorrowedBalance	<BrrwdBal>		[0..1]		93
	CollateralInBalance	<CollInBal>		[0..1]		94
	CollateralOutBalance	<CollOutBal>		[0..1]		95
	OnLoanBalance	<OnLnBal>		[0..1]		95
	PendingDeliveryBalance	<PdgDlvryBal>		[0..*]	 R[0..1]	96
	PendingReceiptBalance	<PdgRctBal>		[0..*]	 R[0..1]	97
	OutForRegistrationBalance	<OutForRegnBal>		[0..1]		97
	SettlementPositionBalance	<SttlmPosBal>		[0..*]	 R[0..1]	98
	StreetPositionBalance	<StrtPosBal>		[0..1]		99
	TradeDatePositionBalance	<TradDtPosBal>		[0..1]		99
	InTransshipmentBalance	<InTrnsShipmntBal>		[0..1]		100
	RegisteredBalance	<RegdBal>		[0..1]		100
	AffectedBalance	<AfctdBal>		[0..1]		101
	UnaffectedBalance	<UafctdBal>		[0..1]		102

## Rules

### R26 AffectedAndUnaffectedBalanceRule

Unaffected balance and Affected balances should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

Error handling: Undefined

### Used in element(s)

"Balance" on page 28







## 6.22.1 ConfirmedBalance

XML Tag: ConfdBal

Presence: [1..1]

Definition: Balance to which the payment applies (less or equal to the total eligible balance).

The **ConfdBal** block contains the following elements (see datatype "[BalanceFormat11Choice\\_1](#)" on page 42 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	44

## Usage Guideline details

- on seev.036.001.17/AccountDetails/Balance/ConfirmedBalance
  - **REDEML Trace:**  
Called Quantity
  - **Applicability:**  
true
  - **Redemption Rule:**  
Balance to which the payment applies (less or equal to the total eligible balance).
- on seev.036.001.17/AccountDetails/Balance/ConfirmedBalance  
**Synonym (DTCC (Redemptions)):** Confirmed Balance
- on seev.036.001.17/AccountDetails/Balance/ConfirmedBalance  
**Synonym (DTCC (Distributions)):** Position
- on seev.036.001.17/AccountDetails/Balance/ConfirmedBalance  
**Comment:**  
Represents confirmed eligible [for elections, allocations] balance for which a payment was made.

## 6.22.2 TotalEligibleBalance

*XML Tag:* TtlElgblBal

*Presence:* [0..1]

*Definition:* Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

The **TtlElgblBal** block contains the following elements (see datatype "TotalEligibleBalanceFormat10\_1" on page 332 for details)

🚫 Removed - 🚫 Partial Removed - ✦ Ignored - ✦ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[0..1]		332
	FullPeriodUnits	<FullPrdUnits>		[0..1]	🚫	333
	PartWayPeriodUnits	<PartWayPrdUnits>		[0..1]	🚫	333

## Usage Guideline details

- on **seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance**
  - **Applicability:**  
true
  - **Redemption Rule:**  
The summary of the subaccounts as of the day prior to Publication Date.
  - **REDEML Trace:**  
Total Position
- on **seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance**  
**Synonym (DTCC (Redemptions)):** Total Eligible Balance







## 6.22.3 BlockedBalance

*XML Tag:* BkcdBal

*Presence:* [0..1]

*Definition:* Balance of financial instruments that are blocked.

The **BkcdBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 42 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]		43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		44

## Usage Guideline details

- This element(**seev.036.001.17/AccountDetails/Balance/BlockedBalance**) is removed.







## 6.22.4 BorrowedBalance

*XML Tag:* BrrwdBal

*Presence:* [0..1]

*Definition:* Balance of financial instruments that have been borrowed from another party.

The **BrrwdBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 42 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	44

## Usage Guideline details

- on **seev.036.001.17/AccountDetails/Balance/BorrowedBalance**

- **Market Practice Usage Rule:**

Represents the negative net balance of the stock loans.

- on **seev.036.001.17/AccountDetails/Balance/BorrowedBalance**

**Synonym (DTCC):** Stock Loan (negative balance)

## 6.22.5 CollateralInBalance

*XML Tag:* CollInBal

*Presence:* [0..1]

*Definition:* Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

The **CollInBal** block contains the following elements (see datatype "BalanceFormat11Choice\_1" on page 42 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	44

## Usage Guideline details

- on **seev.036.001.17/AccountDetails/Balance/CollateralInBalance**

- **Market Practice Usage Rule:**

Represents the positive net balance of the repurchase agreements (REPO).

- on **seev.036.001.17/AccountDetails/Balance/CollateralInBalance**

**Synonym (DTCC):** REPO (positive balance)

## 6.22.6 CollateralOutBalance

*XML Tag:* CollOutBal

*Presence:* [0..1]

*Definition:* Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

The **CollOutBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 42 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	44

### Usage Guideline details

- on seev.036.001.17/AccountDetails/Balance/CollateralOutBalance
  - **Market Practice Usage Rule:**

Represents the negative net balance of the repurchase agreements (REPO).
- on seev.036.001.17/AccountDetails/Balance/CollateralOutBalance
  - **Applicability:**

true
  - **Redemption Rule:**

Portion of the Original Balance held in DTC pledged account as of day prior to publication date. Positon held in this account is subject to redemption lottery call. However, pledged units must be released to allow allocation.
  - **REDEML Trace:**

Pledged Position
- on seev.036.001.17/AccountDetails/Balance/CollateralOutBalance
 

**Synonym (DTCC (Redemptions)):** Pledged Balance
- on seev.036.001.17/AccountDetails/Balance/CollateralOutBalance
 

**Synonym (DTCC (Distributions)):** REPO (negative balance)

## 6.22.7 OnLoanBalance

*XML Tag:* OnLnBal

*Presence:* [0..1]

*Definition:* Balance of financial instruments that have been loaned to a third party.

The **OnLnBal** block contains the following elements (see datatype "[BalanceFormat11Choice\\_1](#)" on page 42 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	44

#### Usage Guideline details

- on [seev.036.001.17/AccountDetails/Balance/OnLoanBalance](#)

– **Market Practice Implementation Rule:**

Represents the positive net balance of the stock loans.

- on [seev.036.001.17/AccountDetails/Balance/OnLoanBalance](#)

**Synonym (DTCC):** Stock Loan (positive balance)

## 6.22.8 PendingDeliveryBalance

XML Tag: PdgDlvryBal

Presence: [0..\*]

Definition: Balance of financial instruments that are pending delivery.

The **PdgDlvryBal** block contains the following elements (see datatype "[BalanceFormat12Choice\\_1](#)" on page 44 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		45
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	45
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]	🚫	46
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]	🚫	46
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]	🚫	47

#### Usage Guideline details

- on [seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance](#)

*This element has change repeatability, the maximum occurrence has been changed to : 1*



- on **seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance**
  - **Market Practice Usage Rule:**  
Represents the negative net balance of the fail trades.
- on **seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance**  
**Synonym (DTCC):** FAILs (negative balance)

## 6.22.9 PendingReceiptBalance

*XML Tag:* PdgRctBal

*Presence:* [0..\*]

*Definition:* Balance of financial instruments that are pending receipt.

The **PdgRctBal** block contains the following elements (see datatype "BalanceFormat12Choice 1" on page 44 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		45
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	45
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]	🚫	46
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]	🚫	46
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]	🚫	47

### Usage Guideline details

- on **seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance**  
*This element has change repeatability, the maximum occurrence has been changed to : 1*
- on **seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance**
  - **Market Practice Usage Rule:**  
Represents the positive net balance of the fail trades.
- on **seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance**  
**Synonym (DTCC):** FAILs (positive balance)

## 6.22.10 OutForRegistrationBalance

*XML Tag:* OutForRegnBal

*Presence:* [0..1]

**Definition:** Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

The **OutForRegnBal** block contains the following elements (see datatype "[BalanceFormat11Choice 1](#)" on page 42 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]		43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		44

#### Usage Guideline details

- This element(seev.036.001.17/AccountDetails/Balance/OutForRegistrationBalance) is removed.

## 6.22.11 SettlementPositionBalance

**XML Tag:** SttlmPosBal

**Presence:** [0..\*]

**Definition:** Balance of securities representing only settled transactions; pending transactions not included.

The **SttlmPosBal** block contains the following elements (see datatype "[BalanceFormat12Choice 1](#)" on page 44 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		45
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	45
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]	🚫	46
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]	🚫	46
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]	🚫	47

#### Usage Guideline details

- on seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance  
*This element has change repeatability, the maximum occurrence has been changed to : 1*
- on seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance  
 – **Settlement Position Balance Distributions Rule:**

Represents current eligible [for elections, allocations] balance.

- on **seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance**
  - **Applicability:**  
true
  - **Redemption Rule:**  
Current position held in a redemption security as of prior close of business.
  - **REDEML Trace:**  
Current Position
- on **seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance**  
**Synonym (DTCC (Distributions)):** Positions
- on **seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance**  
**Synonym (DTCC (Redemptions)):** Settlement Position Balance
- on **seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance**  
**Comment:**  
In Distributions it is balance eligible for allocations, elections.







## 6.22.12 StreetPositionBalance

*XML Tag:* StrtPosBal

*Presence:* [0..1]

*Definition:* Balance of financial instruments that remain registered in the name of the prior beneficial owner.

The **StrtPosBal** block contains the following elements (see datatype "BalanceFormat11Choice\_\_1" on page 42 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]		43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		44

### Usage Guideline details

- This element(seev.036.001.17/AccountDetails/Balance/StreetPositionBalance) is removed.

## 6.22.13 TradeDatePositionBalance

*XML Tag:* TradDtPosBal

*Presence:* [0..1]

*Definition:* Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

The **TradDtPosBal** block contains the following elements (see datatype "[BalanceFormat11Choice 1](#)" on page 42 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]		43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		44

#### Usage Guideline details

- This element(seev.036.001.17/AccountDetails/Balance/TradeDatePositionBalance) is removed.

## 6.22.14 InTransshipmentBalance

*XML Tag:* InTrnsShipmntBal

*Presence:* [0..1]

*Definition:* Balance of physical securities that are in the process of being transferred from one depository/agent to another.

The **InTrnsShipmntBal** block contains the following elements (see datatype "[BalanceFormat11Choice 1](#)" on page 42 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]		43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		44

#### Usage Guideline details

- This element(seev.036.001.17/AccountDetails/Balance/InTransshipmentBalance) is removed.

## 6.22.15 RegisteredBalance

*XML Tag:* RegdBal

*Presence:* [0..1]

*Definition:* Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

The **RegdBal** block contains the following elements (see datatype "[BalanceFormat11Choice 1](#)" on [page 42](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]		43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		44

#### Usage Guideline details

- This element([seev.036.001.17/AccountDetails/Balance/RegisteredBalance](#)) is removed.

## 6.22.16 AffectedBalance

*XML Tag:* AfctdBal

*Presence:* [0..1]

*Definition:* Balance that has been affected by the process run through the event.

The **AfctdBal** block contains the following elements (see datatype "[BalanceFormat11Choice 1](#)" on [page 42](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	44

#### Usage Guideline details

- on [seev.036.001.17/AccountDetails/Balance/AffectedBalance](#)
  - **Applicability:**  
true
  - **Redemption Rule:**  
For lottery events (DRAW, PDEF), the called portion of the eligible balance.
  - **REDEML Trace:**  
Called Quantity
- on [seev.036.001.17/AccountDetails/Balance/AffectedBalance](#)

**Synonym (DTCC (Redemptions)):** Affected Balance

## 6.22.17 UnaffectedBalance

*XML Tag:* UafctdBal

*Presence:* [0..1]

*Definition:* Balance that has not been affected by the process run through the event.

The **UafctdBal** block contains the following elements (see datatype "BalanceFormat11Choice\_1" on page 42 for details)

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		43
	EligibleBalance	<ElgblBal>	Or	[1..1]		43
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		44

### Usage Guideline details

- on seev.036.001.17/AccountDetails/Balance/UnaffectedBalance
  - **Applicability:**  
true
  - **Redemption Rule:**  
Postion balance remaining after lottery is run.
  - **REDEML Trace:**  
Postion Uncalled
- on seev.036.001.17/AccountDetails/Balance/UnaffectedBalance  
**Synonym (DTCC (Redemptions)):** Unaffected Balance

## 6.23 CorporateActionDate86\_\_1

*Definition:* Specifies corporate action dates.

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RecordDate	<RcrdDt>		[0..1]		103
	ExDividendDate	<ExDvddDt>		[0..1]		103

Used in element(s)

"DateDetails" on page 63

## 6.23.1 RecordDate

XML Tag: RcrdDt

Presence: [0..1]

**Definition:** Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

The **RcrdDt** block contains the following elements (see datatype "DateFormat30Choice\_1" on page 143 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		143
	DateCode	<DtCd>	Or}	[1..1]	<span style="color: red;">⊘</span>	143

### Usage Guideline details

- on seev.036.001.17/CorporateActionDetails/DateDetails/RecordDate
  - **Applicability:**  
true
  - **REDEML Trace:**  
As of Date
  - **Redemption Rule:**  
Calculated as the business day prior to Publication Date.
- on seev.036.001.17/CorporateActionDetails/DateDetails/RecordDate  
**Synonym (DTCC):** Record Date

## 6.23.2 ExDividendDate

XML Tag: ExDvddDt

Presence: [0..1]

**Definition:** Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

The **ExDvddDt** block contains the following elements (see datatype "DateFormat30Choice\_1" on page 143 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		143
	DateCode	<DtCd>	Or}	[1..1]	🚫	143

## Usage Guideline details

- on [seev.036.001.17/CorporateActionDetails/DateDetails/ExDividendDate](#)  
**Synonym (DTCC):** Ex Date

## 6.24 CorporateActionDate88\_\_1

*Definition:* Specifies corporate action date.

🚫 Removed - 🚫 Partial Removed - ✦ Ignored - ✦ Partial Ignored - ⚠ Details below -  
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CoverExpirationDeadline	<CoverXprtnDdln>		[0..1]		104
	TradingDate	<TradgDt>		[0..1]		104

## Used in element(s)

"DateDetails" on page 120

### 6.24.1 CoverExpirationDeadline

*XML Tag:* CoverXprtnDdln

*Presence:* [0..1]

*Definition:* Last day a holder can deliver the securities that it had elected on and/or previously protected.

The **CoverXprtnDdln** block contains the following elements (see datatype "[DateFormat43Choice](#)" on page 144 for details)

🚫 Removed - 🚫 Partial Removed - ✦ Ignored - ✦ Partial Ignored - ⚠ Details below -  
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		144
	DateCode	<DtCd>	Or}	[1..1]		145

### 6.24.2 TradingDate

*XML Tag:* TradgDt

*Presence:* [0..1]

*Definition:* Date/time at which the deal (rights) was agreed.



The **TradgDt** block contains the following elements (see datatype "[DateFormat43Choice](#)" on page 145 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		145
	DateCode	<DtCd>	Or}	[1..1]		146

## 6.25 CorporateActionDate99

*Definition:* Specifies corporate action dates.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PostingDate	<PstngDt>		[1..1]	⚠	105
	ValueDate	<ValDt>		[0..1]	🚫	106
	ForeignExchangeRateFixingDate	<FXRateFxdDt>		[0..1]	🚫	106
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]	🚫	107
	PaymentDate	<PmtDt>		[0..1]	⚠	107

Used in element(s)

["DateDetails"](#) on page 58

### 6.25.1 PostingDate

*XML Tag:* PstngDt

*Presence:* [1..1]

*Definition:* Date/time of the posting (credit or debit) to the account.

The **PstngDt** block contains the following elements (see datatype "[DateAndDateTime2Choice](#)" on page 141 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		141
	DateTime	<DtTm>	Or}	[1..1]		141

## Usage Guideline details

- on `seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/DateDetails/PostingDate`
  - **Applicability:**  
`true`
- on `seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/DateDetails/PostingDate`  
**Synonym (DTCC):** DTC Allocation Date and Time
- on `seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/DateDetails/PostingDate`  
**Comment:**  
Date and Time when allocation was made by DTC (The Depository Trust Company).

## 6.25.2 ValueDate

*XML Tag:* ValDt

*Presence:* [0..1]

*Definition:* Date/time when calculating economic benefit for a cash amount.

*Datatype:* "ISODate" on page 421

## Usage Guideline details

- This element(`seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/DateDetails/ValueDate`) is removed.







## 6.25.3 ForeignExchangeRateFixingDate

*XML Tag:* FXRateFxdDt

*Presence:* [0..1]

*Definition:* Date/time at which a foreign exchange rate will be determined.

The **FXRateFxdDt** block contains the following elements (see datatype "DateAndDateTime2Choice" on page 141 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		141
	DateTime	<DtTm>	Or}	[1..1]		141

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/DateDetails/ForeignExchangeRateFixingDate) is removed.

## 6.25.4 EarliestPaymentDate

*XML Tag:* EarlstPmtDt

*Presence:* [0..1]

*Definition:* Date/time on which a payment can be made, for example, if the payment date is a non-business day or to indicate the first payment date of an offer.

*Datatype:* "ISODate" on page 421

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/DateDetails/EarliestPaymentDate) is removed.

## 6.25.5 PaymentDate

*XML Tag:* PmtDt

*Presence:* [0..1]

*Definition:* Date on which the distribution is due to take place (cash and/or securities).







*Datatype:* "ISODate" on page 421



## Usage Guideline details

- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/DateDetails/PaymentDate
  - **Applicability:**  
true
  - **REDEML Trace:**  
Redemption Date
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/DateDetails/PaymentDate  
**Synonym (DTCC):** Declared Payable Date
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/DateDetails/PaymentDate  
**Comment:**  
 Payable date as announced by the issuer or offeror.

## 6.26 CorporateActionEventReference3Choice\_\_1

*Definition:* Choice between a corporate action identification or a corporate action official identification.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedOfficialCorporateAction-EventIdentification	<LkdOffclCorpActnEvtld>	{Or	[1..1]		108
	LinkedCorporateActionIdentification	<LkdCorpActnId>	Or}	[1..1]		108

Used in element(s)

["EventIdentification" on page 109](#)

## 6.26.1 LinkedOfficialCorporateActionEventIdentification

XML Tag: LkdOffclCorpActnEvtld

Presence: [1..1]

**Definition:** Official and unique reference assigned by the official central body/ entity within each market at the beginning of a corporate action event.

**Datatype:** ["RestrictedFINXMax16Text" on page 454](#)

Usage Guideline details

- on [seev.036.001.17/EventsLinkage/EventIdentification/LinkedOfficialCorporateActionEventIdentification](#)  
**Type changed to:** [RestrictedFINXMax16Text on page 454](#)

## 6.26.2 LinkedCorporateActionIdentification

XML Tag: LkdCorpActnId

Presence: [1..1]

**Definition:** Reference assigned by the account servicer to unambiguously identify a related corporate action event.







**Datatype:** ["RestrictedFINXMax16Text" on page 454](#)

Usage Guideline details

- on [seev.036.001.17/EventsLinkage/EventIdentification/LinkedCorporateActionIdentification](#)  
**Type changed to:** [RestrictedFINXMax16Text on page 454](#)

## 6.27 CorporateActionEventReference3\_\_1

**Definition:** Identification of a linked corporate action event.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<EvtId>		[1..1]		109
	LinkageType	<LkgTp>		[0..1]		109

Used in element(s)

"EventsLinkage" on page 17







## 6.27.1 EventIdentification



XML Tag: EvtId

Presence: [1..1]

Definition: Identification of the linked corporate action event.

The **EvtId** block contains the following elements (see datatype "[CorporateActionEventReference3Choice\\_\\_1](#)" on page 107 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedOfficialCorporateAction-EventIdentification	<LkdOffclCorpActnEvtId>	{Or}	[1..1]		108
	LinkedCorporateActionIdentification	<LkdCorpActnId>	Or}	[1..1]		108







## 6.27.2 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this corporate action event is to be processed relative to a linked corporate action event.

The **LkgTp** block contains the following elements (see datatype "[ProcessingPosition7Choice\\_\\_1](#)" on page 243 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		244
	Proprietary	<Prtry>	Or}	[1..1]		244

## 6.28 CorporateActionEventStageFormat14Choice\_\_1

Definition: Choice between a standard code or proprietary code to specify the event stage type.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		110
	Proprietary	<Prtry>	Or}	[1..1]		110

Used in element(s)

"EventStage" on page 63

## 6.28.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the stage of the corporate action event.

Datatype: "CorporateActionEventStage4Code" on page 349

## 6.28.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the stage of the corporate action event.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30\_1" on page 174 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180


Usage Guideline details

- This element(seev.036.001.17/CorporateActionDetails/EventStage/Proprietary) is removed.

## 6.29 CorporateActionEventType109Choice\_\_1

Definition: Choice between formats to express the corporate event type.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		111
	Proprietary	<Prtry>	Or}	[1..1]		111

## Rules

### R13 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

Error handling: Undefined

## Used in element(s)

["EventType" on page 114](#)

## 6.29.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: ["CorporateActionEventType37Code" on page 350](#)







## 6.29.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30\\_1" on page 174](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180








## Usage Guideline details

- This element(seev.036.001.17/CorporateActionGeneralInformation/EventType/Proprietary) is removed.

## 6.30 CorporateActionGeneralInformation179\_\_1

*Definition:* General information about the corporate action event.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]		112
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]		113
	ClassActionNumber	<ClsActnNb>		[0..1]	 	113
	EventType	<EvtTp>		[1..1]		114
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		114
	FractionalQuantity	<FrctnlQty>		[0..1]		115

## Rules

### R12 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on [www.smpg.info](http://www.smpg.info).

Error handling: Undefined

## Used in element(s)

"CorporateActionGeneralInformation" on page 18

### 6.30.1 CorporateActionEventIdentification

*XML Tag:* CorpActnEvtId

*Presence:* [1..1]

*Definition:* Reference assigned by the account servicer to unambiguously identify a corporate action event.

*Datatype:* "RestrictedFINXMax16Text" on page 454

## Usage Guideline details

- on seev.036.001.17/CorporateActionGeneralInformation/CorporateActionEventIdentification



**Type changed to:** [RestrictedFINXMax16Text on page 454](#)

- on [seev.036.001.17/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)  
Synonym (DTCC): CA ID
- on [seev.036.001.17/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)

**Comment:**

DTC unique identifier of the event.

## 6.30.2 OfficialCorporateActionEventIdentification

*XML Tag:* OffclCorpActnEvtId

*Presence:* [0..1]

*Definition:* Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

*Datatype:* ["RestrictedFINXMax16Text" on page 454](#)

### Usage Guideline details

- on [seev.036.001.17/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)

**Type changed to:** [RestrictedFINXMax16Text on page 454](#)

- on [seev.036.001.17/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)

– **Market Practice Usage Rule:**

Provided for DTC Eligible securities only.

- on [seev.036.001.17/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)

Synonym (DTCC): Official Reference CA ID

- on [seev.036.001.17/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)

**Comment:**

Official corporate action unique identifier.

## 6.30.3 ClassActionNumber

*XML Tag:* ClssActnNb

*Presence:* [0..1]

*Definition:* Reference assigned by a court to a class action.

*Datatype:* ["RestrictedFINXMax16Text" on page 454](#)

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionGeneralInformation/ClassActionNumber) is removed.
- on seev.036.001.17/CorporateActionGeneralInformation/ClassActionNumber  
Type changed to: RestrictedFINXMax16Text on page 454

## 6.30.4 EventType

XML Tag: EvtTp

Presence: [1..1]

Definition: Type of corporate action event.

The **EvtTp** block contains the following elements (see datatype "CorporateActionEventType109Choice 1" on page 110 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		111
	Proprietary	<Prtry>	Or}	[1..1]	<span style="color: red;">⊘</span>	111

## Usage Guideline details

- on seev.036.001.17/CorporateActionGeneralInformation/EventType

– Market Practice Usage Rule:

Identifies type of the corporate action event.  
 ISO EventType Other (OTHR) is used when no other corporate action code is appropriate. DTCC event type set is more granular than ISO, so it is possible for two or more events to use same ISO Event Type code. Other tags within the message will help to uniquely identify the event scenario. For complete rules please refer to DTCC Corporate Action Event Dictionary.

- on seev.036.001.17/CorporateActionGeneralInformation/EventType

**Synonym (DTCC):** Event Type

- on seev.036.001.17/CorporateActionGeneralInformation/EventType

**Comment:**

Type of corporate action event. (e.g., Exchange Offer, Final Paydown).







## 6.30.5 FinancialInstrumentIdentification



XML Tag: FinInstrmId

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

The **FinInstrmId** block contains the following elements (see datatype "[SecurityIdentification19\\_1](#)" on [page 319](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		320
	OtherIdentification	<OthrId>		[0..*]		320
	Description	<Desc>		[0..1]		321

#### Usage Guideline details

- on [seev.036.001.17/CorporateActionGeneralInformation/FinancialInstrumentIdentification](#)
  - **Applicability:**  
true
  - **REDEML Trace:**  
Redemption Contra-CUSIP
  - **Redemption Rule:**  
Redemption Contra-CUSIP can also be mapped here if the event is announced by DTC 'on' the Contra CUSIP.
  - **REDEMG Trace:**  
Redemption CUSIP Number
  - **REDEMA Trace:**  
CUSIP
  - **REDEMX Trace:**  
CUSIP
  - **REDEMC Trace:**  
CUSIP
- on [seev.036.001.17/CorporateActionGeneralInformation/FinancialInstrumentIdentification](#)  
Synonym (DTCC): Event Security ID
- on [seev.036.001.17/CorporateActionGeneralInformation/FinancialInstrumentIdentification](#)  
Synonym (DTCC): Event Security Description
- on [seev.036.001.17/CorporateActionGeneralInformation/FinancialInstrumentIdentification](#)  
Synonym (DTCC): Event Security ID Type

## 6.30.6 FractionalQuantity

*XML Tag:* FrctnlQty

*Presence:* [0..1]

*Definition:* Fractional quantity resulting from an event which will be paid with cash in lieu.

The **FrctnlQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice\\_1](#)" on page 152 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	153
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	159
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	165
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		171

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionGeneralInformation/FractionalQuantity](#)) is removed.

## 6.31 CorporateActionNarrative31\_\_1

*Definition:* Provides additional information such as the taxation conditions.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalText	<AddtlTxt>		[0..*]	⚠	116
	NarrativeVersion	<NrrtvVrsn>		[0..*]	🚫 ⚠	117
	PartyContactNarrative	<PtyCtctNrrtv>		[0..*]	🚫 ⚠	117
	TaxationConditions	<TaxtnConds>		[0..*]	🚫 ⚠	117

#### Used in element(s)

["AdditionalInformation"](#) on page 20

### 6.31.1 AdditionalText

*XML Tag:* AddtlTxt

*Presence:* [0..\*]

*Definition:* Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

*Datatype:* ["RestrictedFINXMax350Text"](#) on page 456

#### Usage Guideline details

- on [seev.036.001.17/AdditionalInformation/AdditionalText](#)

**Type changed to:** [RestrictedFINXMax350Text on page 456](#)

- **on seev.036.001.17/AdditionalInformation/AdditionalText**
  - **DTCC OTHR Event Type Rule:**

When the ISO event type is OTHR. TExt will be populated with clarification.

## 6.31.2 NarrativeVersion

*XML Tag:* NrrtvVrsn

*Presence:* [0..\*]

*Definition:* Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

*Datatype:* ["RestrictedFINXMax350Text" on page 456](#)

### Usage Guideline details

- **This element(seev.036.001.17/AdditionalInformation/NarrativeVersion) is removed.**
- **on seev.036.001.17/AdditionalInformation/NarrativeVersion**

**Type changed to:** [RestrictedFINXMax350Text on page 456](#)

## 6.31.3 PartyContactNarrative

*XML Tag:* PtyCtctNrrtv

*Presence:* [0..\*]

*Definition:* Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

*Datatype:* ["RestrictedFINXMax350Text" on page 456](#)

### Usage Guideline details

- **This element(seev.036.001.17/AdditionalInformation/PartyContactNarrative) is removed.**
- **on seev.036.001.17/AdditionalInformation/PartyContactNarrative**

**Type changed to:** [RestrictedFINXMax350Text on page 456](#)

## 6.31.4 TaxationConditions

*XML Tag:* TaxtnConds

*Presence:* [0..\*]

*Definition:* Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).







*Datatype:* ["RestrictedFINXMax350Text" on page 456](#)









## Usage Guideline details

- This element(seev.036.001.17/AdditionalInformation/TaxationConditions) is removed.
- on seev.036.001.17/AdditionalInformation/TaxationConditions  
Type changed to: RestrictedFINXMax350Text on page 456

## 6.32 CorporateActionOption253\_\_1

*Definition:* Provides information about the corporate action option.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OptionNumber	<OptnNb>		[1..1]		118
	OptionType	<OptnTp>		[1..1]		119
	OptionFeatures	<OptnFeatrs>		[0..*]		119
	FractionDisposition	<FrctnDspstn>		[0..1]		120
	CurrencyOption	<CcyOptn>		[0..1]		120
	DateDetails	<DtDtls>		[0..1]		120
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		121
	PriceDetails	<PricDtls>		[0..1]		122
	PlaceOfTrade	<PlcOfTrad>		[0..1]		122
	SecuritiesMovementDetails	<ScitiesMvmntDtls>		[0..*]		122
	CashMovementDetails	<CshMvmntDtls>		[0..*]		123

### Used in element(s)

"CorporateActionConfirmationDetails" on page 20







### 6.32.1 OptionNumber


*XML Tag:* OptnNb

*Presence:* [1..1]

*Definition:* Number identifying the available corporate action options.

The **OptnNb** block contains the following elements (see datatype "OptionNumber1Choice" on page 212 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Number	<Nb>	{Or	[1..1]		212
	Code	<Cd>	Or}	[1..1]		212

#### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/OptionNumber](#)  
Synonym (DTCC): Option Number
- on [seev.036.001.17/CorporateActionConfirmationDetails/OptionNumber](#)  
Comment:  
Number that uniquely identifies an option within an event.







## 6.32.2 OptionType


XML Tag: OptnTp

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

The **OptnTp** block contains the following elements (see datatype "[CorporateActionOption33Choice\\_\\_1](#)" on page 124 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		124
	Proprietary	<Prtry>	Or}	[1..1]		125

#### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/OptionType](#)  
Synonym (DTCC): Option Type
- on [seev.036.001.17/CorporateActionConfirmationDetails/OptionType](#)  
Comment:  
Describes the type of option associated with the event (e.g., retain, sell).







## 6.32.3 OptionFeatures

XML Tag: OptnFeatr

Presence: [0..\*]

Definition: Specifies the features that may apply to a corporate action option.

The **OptnFeatrs** block contains the following elements (see datatype "[OptionFeaturesFormat29Choice 1](#)" on page 211 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		211
	Proprietary	<Prtry>	Or}	[1..1]		212







## 6.32.4 FractionDisposition

XML Tag: FrctnDspstn

Presence: [0..1]

**Definition:** Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

The **FrctnDspstn** block contains the following elements (see datatype "[FractionDispositionType27Choice 1](#)" on page 172 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		173
	Proprietary	<Prtry>	Or}	[1..1]		173

### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/FractionDisposition) is removed.

## 6.32.5 CurrencyOption

XML Tag: CcyOptn

Presence: [0..1]

**Definition:** Currency in which cash proceeds are to be credited or debited.

**Datatype:** "[ActiveCurrencyCode](#)" on page 335

### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CurrencyOption) is removed.

## 6.32.6 DateDetails



*XML Tag:* DtDtls

*Presence:* [0..1]

*Definition:* Provides information about the dates related to a corporate action option.

The **DtDtls** block contains the following elements (see datatype "CorporateActionCode88 1" on page 104 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CoverExpirationDeadline	<CoverXprtnDdln>		[0..1]		104
	TradingDate	<TradgDt>		[0..1]		104

#### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/DateDetails) is removed.

## 6.32.7 RateAndAmountDetails

*XML Tag:* RateAndAmtDtls

*Presence:* [0..1]

*Definition:* Provides information about rates and amounts related to a corporate action option.

The **RateAndAmtDtls** block contains the following elements (see datatype "CorporateActionCode141 1" on page 134 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]	🚫	136
	NetDistributionRate	<NetDstrbtnRate>		[0..*]	🚫	137
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]	🚫	137
	MaximumAllowedOversubscriptionRate	<MaxAllwdOvrscptRate>		[0..1]	🚫	138
	ProrationRate	<PrratnRate>		[0..1]	⚠	138
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]	🚫	139
	SecondLevelTax	<ScndLvITax>		[0..*]	🚫	139
	AdditionalTax	<AddtlTax>		[0..1]	🚫	140
	TaxableIncomePerDividendShare	<TaxblIncmPerDvddShr>		[0..*]		140







## 6.32.8 PriceDetails


XML Tag: PricDtls

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

The **PricDtls** block contains the following elements (see datatype "[CorporateActionPrice84\\_1](#)" on [page 128](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		128
	OverSubscriptionDepositPrice	<OverSbcptDpstPric>		[0..1]		129







## 6.32.9 PlaceOfTrade

XML Tag: PlcOfTrad

Presence: [0..1]

Definition: Place where the trade was executed.

The **PlcOfTrad** block contains the following elements (see datatype "[MarketIdentification84\\_1](#)" on [page 203](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[0..1]		204
	Type	<Tp>		[1..1]		204

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/PlaceOfTrade](#)) is removed.







## 6.32.10 SecuritiesMovementDetails

XML Tag: SctiesMvmntDtls

Presence: [0..\*]

Definition: Provides information about the securities movement linked to the corporate action option.

The **SctiesMvmntDtls** block contains the following elements (see datatype "[SecuritiesOption112\\_1](#)" on [page 304](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]	⚠	306
	CreditDebitIndicator	<CdtDbtInd>		[1..1]	⚠	307
	TemporaryFinancialInstrumen- tIndicator	<TempFinInstrmInd>		[0..1]	⚠	307
	NewSecuritiesIssuanceIndicator	<NewSctiesIssncInd>		[0..1]	⚠	308
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]	🚫	308
	IncomeType	<IncmTp>		[0..1]	🚫	309
	OtherIncomeType	<OthrIncmTp>		[0..*]	🚫	309
	ExemptionType	<XmptnTp>		[0..*]	🚫	310
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]	🚫	310
	PostingQuantity	<PstngQty>		[1..1]	⚠	311
	SafekeepingPlace	<SfkpgPlc>		[0..1]		311
	FractionDisposition	<FrctnDspstn>		[0..1]	⚠	312
	CurrencyOption	<CcyOptn>		[0..1]	🚫	312
	DateDetails	<DtDtls>		[1..1]		313
	RateDetails	<RateDtls>		[0..1]		313
	PriceDetails	<PricDtls>		[0..1]		314
	AmountDetails	<AmtDtls>		[0..1]	🚫	314
	ReceivingSettlementParties	<RcvgSttlmPties>		[0..1]		315
	DeliveringSettlementParties	<DlvrgSttlmPties>		[0..1]	🚫	315

#### Usage Guideline details

- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails  
Synonym (DTCC): Payout (Securities)

## 6.32.11 CashMovementDetails

XML Tag: CshMvmntDtls

Presence: [0..\*]

Definition: Provides information about the cash movement related to a corporate action option.

The **CshMvmntDtls** block contains the following elements (see datatype "CashOption118\_1" on page 51 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CreditDebitIndicator	<CdtDbtInd>		[1..1]	⚠	52
	ContractualPaymentIndicator	<CtrctlPmtInd>		[0..1]	🚫	53
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]	🚫	53
	IncomeType	<IncmTp>		[0..1]	🚫	53
	OtherIncomeType	<OthrIncmTp>		[0..*]	🚫	54
	ExemptionType	<XmptnTp>		[0..*]	🚫	54
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]	🚫	55
	Account	<Acct>		[0..1]	🚫	55
	CashParties	<CshPties>		[0..1]	🚫	56
	AmountDetails	<AmtDtls>		[1..1]		56
	DateDetails	<DtDtls>		[1..1]		58
	ForeignExchangeDetails	<FXDtls>		[0..*]	🚫	59
	TaxDocumentationDetails	<TaxDcmnttnDtls>		[0..1]	🚫	59
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		60
	PriceDetails	<PricDtls>		[0..1]		61

## Usage Guideline details

- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails  
Synonym (DTCC): Payout (Cash)

## 6.33 CorporateActionOption33Choice\_\_1

*Definition:* Choice between a standard code or a proprietary code.

🚫 Removed - 🚫 Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		124
	Proprietary	<Prtry>	Or}	[1..1]	⚠	125

## Used in element(s)

"OptionType" on page 119

### 6.33.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Option type expressed as a code.

*Datatype:* "CorporateActionOption12Code" on page 391

## 6.33.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Option type expressed as a proprietary identification.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30\_1" on page 174 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	<span style="color: orange;">⚠</span>	175
	Issuer	<Issr>		[1..1]	<span style="color: orange;">⚠</span>	175
	SchemeName	<SchmeNm>		[0..1]	<span style="color: orange;">⚠</span>	180

### Usage Guideline details

- on seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Proprietary
  - **Market Practice Usage Rule:**

Use for VOTE events only.

## 6.34 CorporateActionPrice83\_\_1

*Definition:* Specifies various prices of a corporate action.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		126
	IndicativeOrMarketPrice	<IndctvOrMktPric>		[0..1]	<span style="color: red;">❌</span>	126
	CashValueForTax	<CshValForTax>		[0..1]	<span style="color: red;">❌</span>	127
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]	<span style="color: red;">❌</span>	127
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]		127

**Guidelines****1. GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

**Used in element(s)**

"PriceDetails" on page 314

**6.34.1 CashInLieuOfSharePrice**

*XML Tag:* CshInLieuOfShrPric

*Presence:* [0..1]

*Definition:* Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

The **CshInLieuOfShrPric** block contains the following elements (see datatype "PriceFormat77Choice 1" on page 242 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		242
	AmountPrice	<AmtPric>	Or}	[1..1]		243

**6.34.2 IndicativeOrMarketPrice**

*XML Tag:* IndctvOrMktPric

*Presence:* [0..1]

*Definition:* Indicates whether the price is an indicative price or a market price.

The **IndctvOrMktPric** block contains the following elements (see datatype "IndicativeOrMarketPrice13Choice 1" on page 197 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativePrice	<IndctvPric>	{Or	[1..1]		197
	MarketPrice	<MktPric>	Or}	[1..1]		198

**Usage Guideline details**

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/IndicativeOrMarketPrice) is removed.







### 6.34.3 CashValueForTax


*XML Tag:* CshValForTax

*Presence:* [0..1]

*Definition:* Cash value of resulting securities proceeds for tax calculation and/or reporting.

The **CshValForTax** block contains the following elements (see datatype "AmountPrice2\_1" on page 35 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		35
	PriceValue	<PricVal>		[1..1]		36

#### Usage Guideline details

- This element(see v.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/CashValueForTax) is removed.







### 6.34.4 GenericCashPricePaidPerProduct


*XML Tag:* GncCshPricPdPerPdct

*Presence:* [0..1]

*Definition:* Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

The **GncCshPricPdPerPdct** block contains the following elements (see datatype "PriceFormat75Choice\_1" on page 238 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		238
	AmountPrice	<AmtPric>	Or	[1..1]		238
	IndexPoints	<IndxPts>	Or}	[1..1]		239

#### Usage Guideline details

- This element(see v.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPerProduct) is removed.

### 6.34.5 GenericCashPriceReceivedPerProduct

**XML Tag:** GncCshPricRcvdPerPdct

**Presence:** [0..1]

**Definition:** Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

The **GncCshPricRcvdPerPdct** block contains the following elements (see datatype "PriceFormat76Choice 1" on page 239 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	🚫	240
	AmountPrice	<AmtPric>	Or	[1..1]	🚫	240
	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	Or	[1..1]		241
	AmountPricePerAmount	<AmtPricPerAmt>	Or	[1..1]	🚫	241
	IndexPoints	<IndxPts>	Or}	[1..1]	🚫 ⚠	241

## 6.35 CorporateActionPrice84\_\_1

**Definition:** Specifies prices.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]	🚫	128
	OverSubscriptionDepositPrice	<OverSbcptDpstPric>		[0..1]		129

### Rules

#### R37 GenericCashPriceReceivedPerProductGuideline

GenericCashPriceReceivedPerProduct is the price received in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

Error handling: Undefined

### Used in element(s)

"PriceDetails" on page 122

## 6.35.1 CashInLieuOfSharePrice

**XML Tag:** CshInLieuOfShrPric

**Presence:** [0..1]

**Definition:** Cash disbursement in lieu of equities; usually in lieu of fractional quantity.



The **CshInLieuOfShrPric** block contains the following elements (see datatype "[PriceFormat77Choice\\_1](#)" on page 242 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		242
	AmountPrice	<AmtPric>	Or}	[1..1]		243

#### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/PriceDetails/CashIn-LieuOfSharePrice) is removed.

## 6.35.2 OverSubscriptionDepositPrice

XML Tag: OverSbcptDpstPric

Presence: [0..1]

Definition: Amount of money required per over-subscribed equity as defined by the issuer.

The **OverSbcptDpstPric** block contains the following elements (see datatype "[PriceFormat77Choice\\_1](#)" on page 242 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	🚫	242
	AmountPrice	<AmtPric>	Or}	[1..1]		243

## 6.36 CorporateActionRate125\_\_1

Definition: Specifies rate details.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalQuantityForSubscribedResultantSecurities	<AddtlQtyForSbcdbdRslt-ntScties>		[0..1]	🚫	130
	AdditionalQuantityForExistingSecurities	<AddtlQtyForExstgScties>		[0..1]		130
	NewToOld	<NewToOd>		[0..1]	⚠	131
	ChargesFees	<ChrgsFees>		[0..1]	🚫	131

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FiscalStamp	<FscIStmp>		[0..1]	🚫	132
	ApplicableRate	<ApIblRate>		[0..1]	🚫	132
	TaxCreditRate	<TaxCdtRate>		[0..1]		132
	FinancialTransactionTaxRate	<FinTxTaxRate>		[0..1]	🚫	133
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]	🚫	133
	SecondLevelTax	<ScndLvITax>		[0..*]	🚫	133

**Used in element(s)**

"RateDetails" on page 313

## 6.36.1 AdditionalQuantityForSubscribedResultantSecurities

*XML Tag:* AddtlQtyForSbcdbRsltntScies

*Presence:* [0..1]

*Definition:* Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

The **AddtlQtyForSbcdbRsltntScies** block contains the following elements (see datatype "RatioFormat20Choice 1" on page 297 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		297
	AmountToAmount	<AmtToAmt>	Or}	[1..1]		297

**Usage Guideline details**

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities) is removed.







## 6.36.2 AdditionalQuantityForExistingSecurities

*XML Tag:* AddtlQtyForExstgScies

*Presence:* [0..1]

*Definition:* Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

The **AddtlQtyForExstgScies** block contains the following elements (see datatype "RatioFormat20Choice 1" on page 297 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		297
	AmountToAmount	<AmtToAmt>	Or}	[1..1]		297







## 6.36.3 NewToOld

*XML Tag:* NewToOd

*Presence:* [0..1]

*Definition:* Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

The **NewToOd** block contains the following elements (see datatype "[RatioFormat19Choice 1](#)" on page 295 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		295
	AmountToAmount	<AmtToAmt>	Or	[1..1]		296
	AmountToQuantity	<AmtToQty>	Or	[1..1]		296
	QuantityToAmount	<QtyToAmt>	Or}	[1..1]		296

### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld](#)
  - **Text rule:** (empty)







## 6.36.4 ChargesFees


*XML Tag:* ChrgsFees

*Presence:* [0..1]

*Definition:* Rate used to calculate the amount of the charges/fees that cannot be categorised.

The **ChrgsFees** block contains the following elements (see datatype "[RateAndAmountFormat59Choice 1](#)" on page 270 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		271
	Amount	<Amt>	Or}	[1..1]		271

**Usage Guideline details**

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/ChargesFees) is removed.

## 6.36.5 FiscalStamp

*XML Tag:* FscIStmp

*Presence:* [0..1]

*Definition:* Percentage of fiscal tax to apply.

*Datatype:* "Percentage14Rate" on page 444

**Usage Guideline details**

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/FiscalStamp) is removed.

## 6.36.6 ApplicableRate

*XML Tag:* AplblRate

*Presence:* [0..1]

*Definition:* Rate applicable to the event announced, for example, redemption rate for a redemption event.

*Datatype:* "Percentage14Rate" on page 444

**Usage Guideline details**

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/ApplicableRate) is removed.







## 6.36.7 TaxCreditRate


*XML Tag:* TaxCdtRate

*Presence:* [0..1]

*Definition:* Amount of money per equity allocated as the result of a tax credit.

The **TaxCdtRate** block contains the following elements (see datatype "RateFormat27Choice 1" on page 274 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		274
	Amount	<Amt>	Or}	[1..1]		274

## 6.36.8 FinancialTransactionTaxRate

*XML Tag:* FinTxTaxRate

*Presence:* [0..1]

*Definition:* Rate of financial transaction tax.

*Datatype:* "Percentage14Rate" on page 444

### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/FinancialTransactionTaxRate) is removed.







## 6.36.9 WithholdingTaxRate


*XML Tag:* WhldgTaxRate

*Presence:* [0..\*]

*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

The **WhldgTaxRate** block contains the following elements (see datatype "RateAndAmountFormat55Choice 1" on page 269 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		269
	Amount	<Amt>	Or	[1..1]		269
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		270

### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/WithholdingTaxRate) is removed.

## 6.36.10 SecondLevelTax

*XML Tag:* ScndLvlTax

*Presence:* [0..\*]

**Definition:** Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

The **ScndLvITax** block contains the following elements (see datatype "[RateAndAmountFormat55Choice\\_1](#)" on page 269 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		269
	Amount	<Amt>	Or	[1..1]	⚠	269
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		270

#### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/SecondLevelTax) is removed.

## 6.37 CorporateActionRate141\_\_1

**Definition:** Specifies rates.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]	🚫	136
	NetDistributionRate	<NetDstrbtnRate>		[0..*]	🚫	137
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]	🚫	137
	MaximumAllowedOversubscriptionRate	<MaxAllwdOvrsbcptRate>		[0..1]	🚫	138
	ProrationRate	<PrratnRate>		[0..1]	⚠	138
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]	🚫	139
	SecondLevelTax	<ScndLvITax>		[0..*]	🚫	139
	AdditionalTax	<AddtlTax>		[0..1]	🚫	140
	TaxableIncomePerDividendShare	<TaxblIncmPerDvddShr>		[0..*]		140

#### Rules

##### R28 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 566 NVR C4 ).

Error handling: Undefined

#### **R29 GrossDistributionRate3Rule**

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

Error handling: Undefined

#### **R30 NetDistributionRate1Rule**

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 566 NVR C4 ).

Error handling: Undefined

#### **R31 NetDistributionRate2Rule**

Only a single occurrence of either NetDistributionRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

Error handling: Undefined

#### **R32 SecondLevelTax3Rule ✓**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00387
- *Error Text:* Invalid message contents for WithholdingTaxRate. It should be present.

#### **R33 SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

#### **R34 SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

**R35 WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/Rate-Type/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

**R36 WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

Used in element(s)

"RateAndAmountDetails" on page 121

## 6.37.1 GrossDistributionRate

*XML Tag:* GrssDstrbtnRate

*Presence:* [0..\*]

*Definition:* Distribution rate before tax has been deducted.

The **GrssDstrbtnRate** block contains the following elements (see datatype "GrossDividendRateFormat35Choice\_1" on page 192 for details)

🚫 Removed - 🚫 Partial Removed - 💡 Ignored - 💡 Partial Ignored - ⚠️ Details below -  
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	⚠️	192
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		193



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		193

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossDistributionRate) is removed.







## 6.37.2 NetDistributionRate


XML Tag: NetDstrbtnRate

Presence: [0..\*]

Definition: Distribution rate after tax has been deducted.

The **NetDstrbtnRate** block contains the following elements (see datatype "NetDividendRateFormat37Choice 1" on page 208 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		208
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		209
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		209

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/NetDistributionRate) is removed.







## 6.37.3 GrossInterestRateUsedForPayment


XML Tag: GrssIntrstRateUsdForPmt

Presence: [0..\*]

Definition: Actual interest rate before tax used for the payment of the interest for the specified interest period.

The **GrssIntrstRateUsdForPmt** block contains the following elements (see datatype "InterestRateUsedForPaymentFormat12Choice 1" on page 198 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		198
	Amount	<Amt>	Or	[1..1]		199
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		199

**Usage Guideline details**

- This element(seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment) is removed.

## 6.37.4 MaximumAllowedOversubscriptionRate

*XML Tag:* MaxAllwdOvrsbcptRate

*Presence:* [0..1]

*Definition:* A maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.

*Datatype:* "Percentage14Rate" on page 444

**Usage Guideline details**

- This element(seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/MaximumAllowedOversubscriptionRate) is removed.







## 6.37.5 ProrationRate


*XML Tag:* PrratnRate

*Presence:* [0..1]

*Definition:* Percentage of securities accepted by the offeror/issuer.

The **PrratnRate** block contains the following elements (see datatype "RateFormat32Choice" on page 275 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		276
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		276

## Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/ProrationRate](#)

**Comment:**

For Reorganization Events Only

## 6.37.6 WithholdingTaxRate

XML Tag: WhldgTaxRate

Presence: [0..\*]

*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

The **WhldgTaxRate** block contains the following elements (see datatype "[RateAndAmountFormat55Choice\\_1](#)" on page 269 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		269
	Amount	<Amt>	Or	[1..1]	<span style="color: orange;">⚠</span>	269
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		270

## Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/WithholdingTaxRate](#)) is removed.

## 6.37.7 SecondLevelTax

XML Tag: ScndLvITax


Presence: [0..\*]

*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

The **ScndLvITax** block contains the following elements (see datatype "[RateAndAmountFormat55Choice\\_1](#)" on page 269 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		269

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	Or	[1..1]		269
	RateTypeAndRate	<RateTpAndRate>	Or	[1..1]		270

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/SecondLevelTax) is removed.







## 6.37.8 AdditionalTax


XML Tag: AddtITax

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

The **AddtITax** block contains the following elements (see datatype "RateAndAmountFormat59Choice 1" on page 270 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		271
	Amount	<Amt>	Or}	[1..1]		271

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/AdditionalTax) is removed.







## 6.37.9 TaxableIncomePerDividendShare

XML Tag: TaxblIncmPerDvddShr


Presence: [0..\*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

The **TaxblIncmPerDvddShr** block contains the following elements (see datatype "RateTypeAndAmountAndStatus26 1" on page 285 for details)







 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		285

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		286
	RateStatus	<RateSts>		[0..1]		286

## 6.38 DateAndDateTime2Choice

*Definition:* Choice between a date or a date and time format.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		141
	DateTime	<DtTm>	Or}	[1..1]		141

**Used in element(s)**

"Date" on page 145, "Date" on page 144, "ForeignExchangeRateFixingDate" on page 106, "PostingDate" on page 316, "PostingDate" on page 105

### 6.38.1 Date

*XML Tag:* Dt

*Presence:* [1..1]

*Definition:* Specified date.

*Datatype:* "ISODate" on page 421

### 6.38.2 DateTime

*XML Tag:* DtTm







*Presence:* [1..1]

*Definition:* Specified date and time.

*Datatype:* "ISODateTime" on page 422

## 6.39 DateCode19Choice

*Definition:* Choice between a code or a proprietary code for a date code.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		142
	Proprietary	<Prtry>	Or}	[1..1]		142

**Used in element(s)**"DateCode" on page 145







## 6.39.1 Code

*XML Tag:* Cd*Presence:* [1..1]*Definition:* Standard code to specify the type of date.*Datatype:* "DateType8Code" on page 405

## 6.39.2 Proprietary

*XML Tag:* Prtry*Presence:* [1..1]*Definition:* Proprietary identification of the type of date.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 173 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		174
	Issuer	<Issr>		[1..1]		174
	SchemeName	<SchmeNm>		[0..1]		174

## 6.40 DateCode19Choice\_\_1

*Definition:* Choice between a code or a proprietary code for a date code.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		142
	Proprietary	<Prtry>	Or}	[1..1]		143

**Used in element(s)**"DateCode" on page 143, "DateCode" on page 146

## 6.40.1 Code

*XML Tag:* Cd*Presence:* [1..1]

*Definition:* Standard code to specify the type of date.

*Datatype:* ["DateType8Code" on page 405](#)

## 6.40.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of date.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30\\_1" on page 174](#) for details)

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180

## 6.41 DateFormat30Choice\_\_1

*Definition:* Choice between an ISODate format or a date code.

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		143
	DateCode	<DtCd>	Or}	[1..1]		143

**Used in element(s)**

["AvailableDate" on page 317](#), 
 ["DividendRankingDate" on page 317](#), 
 ["EarliestPaymentDate" on page 318](#), 
 ["ExDividendDate" on page 103](#), 
 ["PariPassuDate" on page 317](#), 
 ["PaymentDate" on page 318](#), 
 ["RecordDate" on page 103](#)

### 6.41.1 Date

*XML Tag:* Dt

*Presence:* [1..1]

*Definition:* Date expressed as a calendar date.

*Datatype:* ["ISODate" on page 421](#)







### 6.41.2 DateCode

*XML Tag:* DtCd

*Presence:* [1..1]

*Definition:* Specifies the type of date.

The **DtCd** block contains the following elements (see datatype "[DateCode19Choice 1](#)" on page 142 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		142
	Proprietary	<Prtry>	Or}	[1..1]		143

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionDetails/DateDetails/ExDividendDate/DateCode](#)) is removed.
- This element([seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PaymentDate/DateCode](#)) is removed.
- This element([seev.036.001.17/CorporateActionDetails/DateDetails/RecordDate/DateCode](#)) is removed.

## 6.42 DateFormat43Choice

*Definition:* Choice between an ISODate or ISODateTime format or a date code.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		144
	DateCode	<DtCd>	Or}	[1..1]		145

Used in element(s)

["CoverExpirationDeadline"](#) on page 104







### 6.42.1 Date

*XML Tag:* Dt

*Presence:* [1..1]

*Definition:* Date expressed as a calendar date.

The **Dt** block contains the following elements (see datatype "[DateAndDateTime2Choice](#)" on page 141 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		141
	DateTime	<DtTm>	Or}	[1..1]		141







## 6.42.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.







The **DtCd** block contains the following elements (see datatype "DateCode19Choice" on page 141 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		142
	Proprietary	<Prtry>	Or}	[1..1]		142

## 6.43 DateFormat43Choice\_\_1

Definition: Choice between an ISODate or ISODateTime format or a date code.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		145
	DateCode	<DtCd>	Or}	[1..1]		146

Used in element(s)

"TradingDate" on page 104







### 6.43.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

The **Dt** block contains the following elements (see datatype "DateAndDateTime2Choice" on page 141 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		141
	DateTime	<DtTm>	Or}	[1..1]		141







## 6.43.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.







The **DtCd** block contains the following elements (see datatype "DateCode19Choice\_\_1" on page 142 for details)


 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		142
	Proprietary	<Prtry>	Or}	[1..1]		143

## 6.44 DeemedRateType1Choice\_\_1

Definition: Choice between a standard code or proprietary code to specify a deemed rate type.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		146
	Proprietary	<Prtry>	Or}	[1..1]		147

Used in element(s)

"RateType" on page 287, "RateType" on page 294

### 6.44.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 406

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndRate/RateType/Code) is removed.







## 6.44.2 Proprietary



*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of deemed rate.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30\_\_1" on page 174 for details)



 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180

## 6.45 DocumentIdentification31\_\_1

*Definition:* Identification of a document and type of link.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		147
	LinkageType	<LkgTp>		[0..1]		148

### Used in element(s)

"MovementPreliminaryAdviceIdentification" on page 16, "NotificationIdentification" on page 16

### 6.45.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Identifies the document.

*Datatype:* "RestrictedFINXMax16Text" on page 454

## Usage Guideline details

- on [seev.036.001.17/MovementPreliminaryAdviceIdentification/Identification](#)  
Type changed to: [RestrictedFINXMax16Text](#) on page 454
- on [seev.036.001.17/NotificationIdentification/Identification](#)  
Type changed to: [RestrictedFINXMax16Text](#) on page 454







## 6.45.2 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

The **LkgTp** block contains the following elements (see datatype "[ProcessingPosition7Choice\\_1](#)" on page 243 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		244
	Proprietary	<Prtry>	Or}	[1..1]		244

## Usage Guideline details

- This element([seev.036.001.17/MovementPreliminaryAdviceIdentification/LinkageType](#)) is removed.

## 6.46 DocumentIdentification32\_\_1

Definition: Identification of a document as well as the document number and type of link.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		148
	DocumentNumber	<DocNb>		[0..1]		149
	LinkageType	<LkgTp>		[0..1]		149

Used in element(s)

"[OtherDocumentIdentification](#)" on page 17







### 6.46.1 Identification



*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Unique identifier of the document (message) assigned either by the account servicer or the account owner.

The **Id** block contains the following elements (see datatype "[DocumentIdentification3Choice\\_1](#)" on page 150 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountServicerDocumentIdentification	<AcctSvcrDocId>	{Or}	[1..1]		150
	AccountOwnerDocumentIdentification	<AcctOwnrDocId>	Or}	[1..1]		150







## 6.46.2 DocumentNumber

*XML Tag:* DocNb

*Presence:* [0..1]

*Definition:* Identification of the type of document.

The **DocNb** block contains the following elements (see datatype "[DocumentNumber5Choice\\_1](#)" on page 151 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortNumber	<ShrtNb>	{Or}	[1..1]		152
	LongNumber	<LngNb>	Or	[1..1]		152
	ProprietaryNumber	<PrtryNb>	Or}	[1..1]		152







## 6.46.3 LinkageType

*XML Tag:* LkgTp

*Presence:* [0..1]

*Definition:* Specifies when this document is to be processed relative to another referred document.

The **LkgTp** block contains the following elements (see datatype "[ProcessingPosition7Choice\\_1](#)" on page 243 for details)







 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		244

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proprietary	<Prtry>	Or}	[1..1]		244

## 6.47 DocumentIdentification3Choice\_\_1

*Definition:* Choice between a document identification provided either by the account owner or by the account servicer.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountServicerDocumentIdentification	<AcctSvcrDocId>	{Or	[1..1]		150
	AccountOwnerDocumentIdentification	<AcctOwnrDocId>	Or}	[1..1]		150

Used in element(s)

"Identification" on page 148

### 6.47.1 AccountServicerDocumentIdentification

*XML Tag:* AcctSvcrDocId

*Presence:* [1..1]

*Definition:* Identification of the document assigned by the account servicer.

*Datatype:* "RestrictedFINXMax16Text" on page 454

Usage Guideline details

- on [seev.036.001.17/OtherDocumentIdentification/Identification/AccountServicerDocumentIdentification](#)

Type changed to: [RestrictedFINXMax16Text on page 454](#)

### 6.47.2 AccountOwnerDocumentIdentification

*XML Tag:* AcctOwnrDocId

*Presence:* [1..1]

*Definition:* Identification of the document assigned by the account owner.

*Datatype:* "RestrictedFINXMax16Text" on page 454







Usage Guideline details


- on [seev.036.001.17/OtherDocumentIdentification/Identification/AccountOwnerDocumentIdentification](#)

Type changed to: [RestrictedFINXMax16Text on page 454](#)

## 6.48 DocumentIdentification9\_\_1

*Definition:* Identifies a document by a unique identification.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		151

Used in element(s)

["InstructionIdentification" on page 17](#)

### 6.48.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Identifies the document.

*Datatype:* ["RestrictedFINXMax16Text" on page 454](#)







Usage Guideline details

- on [seev.036.001.17/InstructionIdentification/Identification](#)

Type changed to: [RestrictedFINXMax16Text on page 454](#)

## 6.49 DocumentNumber5Choice\_\_1

*Definition:* Choice between a short document number, a long document number or a proprietary document number.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortNumber	<ShrtNb>	{Or	[1..1]		152
	LongNumber	<LngNb>	Or	[1..1]		152
	ProprietaryNumber	<PrtryNb>	Or}	[1..1]		152

Rules

**R11 ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

Error handling: Undefined

**Used in element(s)**

"DocumentNumber" on page 149

## 6.49.1 ShortNumber

XML Tag: ShrtNb

Presence: [1..1]

Definition: Message type number of the document referenced.

Datatype: "Exact3NumericText" on page 409

## 6.49.2 LongNumber

XML Tag: LngNb

Presence: [1..1]

Definition: MX Message identifier of the referenced document.

Datatype: "ISO20022MessageIdentificationText" on page 421







## 6.49.3 ProprietaryNumber




XML Tag: PrtryNb

Presence: [1..1]

Definition: Proprietary document identification.







The **PrtryNb** block contains the following elements (see datatype "GenericIdentification36\_1" on page 186 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]





Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		186
	Issuer	<Issr>		[1..1]		187
	SchemeName	<SchmeNm>		[0..1]		187

## 6.50 FinancialInstrumentQuantity33Choice\_\_1

Definition: Choice between formats for the quantity of security.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		153
	FaceAmount	<FaceAmt>	Or	[1..1]		159
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	165
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		171

## Rules

### R19 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

### Used in element(s)

"FinancialInstrumentQuantity" on page 40, "FractionalQuantity" on page 115, "Quantity" on page 251, "Quantity" on page 325, "Quantity" on page 255

## 6.50.1 Unit

*XML Tag:* Unit

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* "RestrictedFINDecimalNumber" on page 452

### Usage Guideline details

- on **seev.036.001.17/AccountDetails/Balance/CollateralInBalance/EligibleBalance/Quantity/Unit**  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on **seev.036.001.17/AccountDetails/Balance/AffectedBalance/Balance/QuantityChoice/Quantity/Unit**  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on **seev.036.001.17/AccountDetails/Balance/OnLoanBalance/NotEligibleBalance/Quantity/Unit**  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on **seev.036.001.17/AccountDetails/Balance/BlockedBalance/Balance/QuantityChoice/Quantity/Unit**  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/Unit**  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on **seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/Unit**

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/StreetPositionBalance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/PartWayPeriodUnits/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/ConfirmedBalance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/CorporateActionGeneralInformation/FractionalQuantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/FullPeriodUnits/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/RegisteredBalance/Balance/QuantityChoice/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/EligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/PartWayPeriodUnits/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/RegisteredBalance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/InTransshipmentBalance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/BorrowedBalance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/BlockedBalance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/PartWayPeriodUnits/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/EligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/CollateralInBalance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/TradeDatePositionBalance/NotEligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/InTransshipmentBalance/EligibleBalance/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/FullPeriodUnits/Quantity/Unit

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/Balance/Quantity-Choice/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/UnaffectedBalance/Balance/Quantity-Choice/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/EligibleBalance/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/PartWayPeriodUnits/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/StreetPositionBalance/EligibleBalance/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/AffectedBalance/EligibleBalance/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/StreetPositionBalance/Balance/Quantity-Choice/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/Balance/Quantity-Choice/SignedQuantity/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/EligibleBalance/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/NotEligibleBalance/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/FullPeriodUnits/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/NotEligibleBalance/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/OutForRegistrationBalance/EligibleBalance/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/NotEligibleBalance/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/NotEligibleBalance/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/RegisteredBalance/EligibleBalance/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/TradeDatePositionBalance/EligibleBalance/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/UnaffectedBalance/NotEligibleBalance/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/UnaffectedBalance/EligibleBalance/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/FullPeriodUnits/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/BlockedBalance/EligibleBalance/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/BorrowedBalance/EligibleBalance/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/OnLoanBalance/EligibleBalance/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/ConfirmedBalance/EligibleBalance/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/TradeDatePositionBalance/Balance/Quantity-Choice/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/ConfirmedBalance/Balance/Quantity-Choice/Quantity/Unit  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/InTransshipmentBalance/Balance/Quantity-Choice/Quantity/Unit  
Type changed to: RestrictedFINDecimalNumber on page 452
- on seev.036.001.17/AccountDetails/Balance/AffectedBalance/NotEligibleBalance/Quantity/Unit  
Type changed to: RestrictedFINDecimalNumber on page 452
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/Unit
  - Market Practice Implementation Rule:  
  
Used for non debt securities.
- on seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/Balance/Quantity-Choice/Quantity/Unit
  - Market Practice Implementation Rule:  
  
Used for non debt securities.
- on seev.036.001.17/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/Unit
  - Market Practice Implementation Rule:  
  
Used for non debt securities.
- on seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/Balance/Quantity-Choice/Quantity/Unit
  - Market Practice Implementation Rule:  
  
Used for non debt securities.
- on seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/Balance/Quantity-Choice/Quantity/Unit
  - Market Practice Implementation Rule:  
  
Used for non debt securities.
- on seev.036.001.17/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/Unit
  - Market Practice Implementation Rule:  
  
Used for non debt securities.
- on seev.036.001.17/AccountDetails/Balance/CollateralInBalance/Balance/Quantity-Choice/Quantity/Unit
  - Market Practice Usage Rule:  
  
Used for non debt securities.
- on seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/Balance/Quantity-Choice/Quantity/Unit
  - Market Practice Implementation Rule:

Used for non debt securities.

- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/Unit**

– **Market Practice Implementation Rule:**

Used when the cash rate distribution is not on debt security. Rate reported per unit of 1.

- on **seev.036.001.17/AccountDetails/Balance/ConfirmedBalance/Balance/Quantity-Choice/Quantity/Unit**

– **Market Practice Implementation Rule:**

Used for non debt securities.

## 6.50.2 FaceAmount

*XML Tag:* FaceAmt

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* ["RestrictedFINImpliedCurrencyAndAmount" on page 453](#)

### Usage Guideline details

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/FaceAmount**

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on **seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/NotEligibleBalance/Quantity/FaceAmount**

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on **seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/NotEligibleBalance/Quantity/FaceAmount**

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on **seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/PartWayPeriodUnits/Quantity/FaceAmount**

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on **seev.036.001.17/AccountDetails/Balance/UnaffectedBalance/NotEligibleBalance/Quantity/FaceAmount**

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on **seev.036.001.17/AccountDetails/Balance/StreetPositionBalance/NotEligibleBalance/Quantity/FaceAmount**



**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/OutForRegistrationBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/CollateralInBalance/EligibleBalance/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/InTransshipmentBalance/NotEligibleBalance/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/RegisteredBalance/NotEligibleBalance/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/ConfirmedBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/RegisteredBalance/EligibleBalance/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/PartWayPeriodUnits/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/AffectedBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/StreetPositionBalance/EligibleBalance/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/NotEligibleBalance/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/UnaffectedBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)



Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/RegisteredBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/BorrowedBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/TradeDatePositionBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/CollateralInBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/CollateralInBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/AffectedBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/PartWayPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/OutForRegistrationBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/InTransshipmentBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/BlockedBalance/NotEligibleBalance/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/FullPeriodUnits/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/NotEligibleBalance/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/EligibleBalance/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/InTransshipmentBalance/EligibleBalance/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/OnLoanBalance/EligibleBalance/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/AffectedBalance/NotEligibleBalance/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/Balance/Quantity-Choice/SignedQuantity/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/OnLoanBalance/NotEligibleBalance/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/StreetPositionBalance/Balance/Quantity-Choice/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/BlockedBalance/EligibleBalance/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/FullPeriodUnits/Quantity/FaceAmount](#)

**Type changed to:** [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/TradeDatePositionBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/ConfirmedBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/BorrowedBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/FullPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/CorporateActionGeneralInformation/FractionalQuantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/BlockedBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/FullPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/PartWayPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on [seev.036.001.17/AccountDetails/Balance/TradeDatePositionBalance/EligibleBalance/Quantity/FaceAmount](#)

**Type changed to:** RestrictedFINImpliedCurrencyAndAmount on page 453

- on **seev.036.001.17/AccountDetails/Balance/UnaffectedBalance/EligibleBalance/Quantity/FaceAmount**

**Type changed to:** RestrictedFINImpliedCurrencyAndAmount on page 453

- on **seev.036.001.17/AccountDetails/Balance/ConfirmedBalance/NotEligibleBalance/Quantity/FaceAmount**

**Type changed to:** RestrictedFINImpliedCurrencyAndAmount on page 453

- on **seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/Balance/Quantity-Choice/Quantity/FaceAmount**

**Type changed to:** RestrictedFINImpliedCurrencyAndAmount on page 453

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/FaceAmount**

– **Market Practice Implementation Rule:**

Used when the cash rate distribution is on a debt security. Rate reported on face value 1000.

- on **seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/Balance/Quantity-Choice/Quantity/FaceAmount**

– **Market Practice Implementation Rule:**

Used for debt securities.

- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/FaceAmount**

– **Market Practice Implementation Rule:**

Used for debt securities.

- on **seev.036.001.17/AccountDetails/Balance/ConfirmedBalance/Balance/Quantity-Choice/Quantity/FaceAmount**

– **Market Practice Implementation Rule:**

Used for debt securities.

- on **seev.036.001.17/AccountDetails/Balance/CollateralInBalance/Balance/Quantity-Choice/Quantity/FaceAmount**

– **Market Practice Usage Rule:**

Used for debt securities.

- on **seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/Balance/Quantity-Choice/Quantity/FaceAmount**

– **Market Practice Implementation Rule:**

Used for debt securities.

- on `seev.036.001.17/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/FaceAmount`
  - **Market Practice Implementation Rule:**

Used for debt securities.
- on `seev.036.001.17/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/FaceAmount`
  - **Market Practice Implementation Rule:**

Used for debt securities.
- on `seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/FaceAmount`
  - **Market Practice Implementation Rule:**

Used for debt securities.
- on `seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/FaceAmount`
  - **Market Practice Implementation Rule:**

Used when the cash rate distribution is on a debt security. Rate reported on face value 1000.
- on `seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/Quantity/FaceAmount`
  - **Market Practice Implementation Rule:**

Used for debt securities.

## 6.50.3 AmortisedValue

*XML Tag:* `AmtsdVal`

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

*Datatype:* `"RestrictedFINImpliedCurrencyAndAmount"` on page 453

### Usage Guideline details

- This element(`seev.036.001.17/AccountDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/AmortisedValue`) is removed.
- This element(`seev.036.001.17/AccountDetails/Balance/AffectedBalance/Balance/QuantityChoice/Quantity/AmortisedValue`) is removed.
- This element(`seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/AmortisedValue`) is removed.

- This element(seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/AmortisedValue) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/AmortisedValue) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/ConfirmedBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/SignedQuantity/Quantity/AmortisedValue) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- on seev.036.001.17/AccountDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/FullPeriodUnits/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/AffectedBalance/Balance/QuantityChoice/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/BlockedBalance/NotEligibleBalance/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/CorporateActionGeneralInformation/FractionalQuantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/PartWayPeriodUnits/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)



- on seev.036.001.17/AccountDetails/Balance/OnLoanBalance/EligibleBalance/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/NotEligibleBalance/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/EligibleBalance/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/UnaffectedBalance/EligibleBalance/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/InTransshipmentBalance/Balance/Quantity-Choice/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/CollateralInBalance/EligibleBalance/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/Balance/Quantity-Choice/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/PartWayPeriodUnits/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/TradeDatePositionBalance/Balance/Quantity-Choice/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/OnLoanBalance/NotEligibleBalance/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/OutForRegistrationBalance/EligibleBalance/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on **seev.036.001.17/AccountDetails/Balance/UnaffectedBalance/NotEligibleBalance/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/NotEligibleBalance/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/Balance/Quantity-Choice/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/AffectedBalance/EligibleBalance/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/BlockedBalance/EligibleBalance/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/NotEligibleBalance/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/BorrowedBalance/NotEligibleBalance/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/ConfirmedBalance/NotEligibleBalance/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/OutForRegistrationBalance/Balance/Quantity-Choice/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/RegisteredBalance/Balance/Quantity-Choice/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/Balance/Quantity-Choice/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)



- on seev.036.001.17/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/PartWayPeriodUnits/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/TradeDatePositionBalance/NotEligibleBalance/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/FullPeriodUnits/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/BlockedBalance/Balance/QuantityChoice/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/RegisteredBalance/EligibleBalance/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/NotEligibleBalance/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/FullPeriodUnits/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/InTransshipmentBalance/NotEligibleBalance/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/BorrowedBalance/EligibleBalance/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/ConfirmedBalance/EligibleBalance/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/EligibleBalance/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on **seev.036.001.17/AccountDetails/Balance/InTransshipmentBalance/EligibleBalance/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/FullPeriodUnits/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/StreetPositionBalance/Balance/Quantity-Choice/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/ConfirmedBalance/Balance/Quantity-Choice/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/AffectedBalance/NotEligibleBalance/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/CollateralInBalance/NotEligibleBalance/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/PartWayPeriodUnits/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/Balance/Quantity-Choice/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/StreetPositionBalance/EligibleBalance/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/TradeDatePositionBalance/EligibleBalance/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/Balance/Quantity-Choice/SignedQuantity/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/RegisteredBalance/NotEligibleBalance/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on **seev.036.001.17/AccountDetails/Balance/UnaffectedBalance/Balance/Quantity-Choice/Quantity/AmortisedValue**  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

- on seev.036.001.17/AccountDetails/Balance/StreetPositionBalance/NotEligibleBalance/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/EligibleBalance/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)
- on seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/EligibleBalance/Quantity/AmortisedValue  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

## 6.50.4 DigitalTokenUnit

XML Tag: DgtlTknUnit

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: ["Max30DecimalNumber" on page 433](#)

## 6.51 ForeignExchangeTerms40\_\_1

Definition: Provides information about the terms of the foreign exchange transaction.

⊖ Removed - ⊖ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	UnitCurrency	<UnitCcy>		[1..1]		171
	QuotedCurrency	<QtdCcy>		[1..1]		171
	ExchangeRate	<XchgRate>		[1..1]		172
	ResultingAmount	<RsltAmt>		[1..1]	⚠	172

Used in element(s)

["ForeignExchangeDetails" on page 59](#)

### 6.51.1 UnitCurrency

XML Tag: UnitCcy

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Datatype: ["ActiveCurrencyCode" on page 335](#)

### 6.51.2 QuotedCurrency

*XML Tag:* QtdCcy

*Presence:* [1..1]

*Definition:* Currency into which the base currency is converted, in a currency exchange.

*Datatype:* ["ActiveCurrencyCode" on page 335](#)

## 6.51.3 ExchangeRate

*XML Tag:* XchgRate

*Presence:* [1..1]

*Definition:* Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

*Datatype:* ["BaseOne14Rate" on page 348](#)

## 6.51.4 ResultingAmount

*XML Tag:* RsltgAmt

*Presence:* [1..1]

*Definition:* Counter value of a foreign exchange conversion.

*Datatype:* ["RestrictedFINActiveCurrencyAndAmount" on page 451](#)







### Usage Guideline details



- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/ForeignExchangeDetails/ResultingAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 451](#)

## 6.52 FractionDispositionType27Choice\_\_1

*Definition:* Choice between a standard code or a proprietary code to specify the type of fraction disposition.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		173
	Proprietary	<Prtry>	Or}	[1..1]		173

### Used in element(s)

["FractionDisposition" on page 120](#), ["FractionDisposition" on page 312](#)

## 6.52.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

*Datatype:* "FractionDispositionType11Code" on page 411

### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code](#)

#### Comment:

- Cash in Lieu of Fractional Share (CL) = CINL
- Drop (DF) = RDDN
- Allocate Fractional Share (EX) = DIST
- Round to Nearest Whole Number if 0.5 or above (NW) = STAN
- Other (OT) = UKWN
- Round Down (RD) = RDDN
- Round Up (RU) = RDUP
- Beneficial Owner Round Up (BU) = RDUP
- Beneficial Owner Cash in Lieu (BC) = CINL
- Beneficial Owner Round up 0.5 and above (BF) = STAN







## 6.52.2 Proprietary



*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of fraction disposition.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30\_\_1" on page 174 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Proprietary](#)) is removed.

## 6.53 GenericIdentification30

*Definition:* Information related to an identification, for example, party identification or account identification.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		174
	Issuer	<Issr>		[1..1]		174
	SchemeName	<SchmeNm>		[0..1]		174

#### Used in element(s)

"Proprietary" on page 142, "Proprietary" on page 200, "Proprietary" on page 201, "Type" on page 48

## 6.53.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* "Exact4AlphaNumericText" on page 410

## 6.53.2 Issuer

*XML Tag:* Issr

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 433

## 6.53.3 SchemeName

*XML Tag:* SchmeNm







*Presence:* [0..1]




*Definition:* Short textual description of the scheme.

*Datatype:* "Max35Text" on page 433

## 6.54 GenericIdentification30\_\_1

*Definition:* Information related to an identification, for example, party identification or account identification.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180

**Used in element(s)**

"ExemptionType" on page 310, "ExemptionType" on page 54, "IncomeType" on page 309, "IncomeType" on page 53, "OtherIncomeType" on page 309, "OtherIncomeType" on page 54, "Proprietary" on page 244, "Proprietary" on page 111, "Proprietary" on page 143, "Proprietary" on page 110, "Proprietary" on page 29, "Proprietary" on page 202, "Proprietary" on page 125, "Proprietary" on page 212, "Proprietary" on page 173, "Proprietary" on page 280, "Proprietary" on page 277, "Proprietary" on page 281, "Proprietary" on page 278, "Proprietary" on page 279, "Proprietary" on page 279, "Proprietary" on page 205, "Proprietary" on page 331, "Proprietary" on page 197, "Proprietary" on page 282, "Proprietary" on page 283, "Proprietary" on page 147, "Type" on page 191

## 6.54.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* "Exact4AlphaNumericText" on page 410

**Usage Guideline details**

- on [seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Proprietary/Identification](#)
  - **Market Practice Usage Rule:**

Use for VOTE events only. See Data Dictionary for list of code values.

## 6.54.2 Issuer

*XML Tag:* Issr

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max4AlphaNumericText" on page 434

**Usage Guideline details**

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/AlternateIdentification/IdentificationType/Proprietary/Issuer](#)  
**Type changed to:** [Max4AlphaNumericText](#) on page 434
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/PlaceOfTrade/Type/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/IncomeType/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/FractionDisposition/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Depository/AlternateIdentification/IdentificationType/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/ExemptionType/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/CorporateActionDetails/LotteryType/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/CorporateActionDetails/EventStage/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PariPassuDate/DateCode/Proprietary/Issuer](#)



Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/CorporateActionConfirmationDetails/OptionFeatures/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/AlternateIdentification/IdentificationType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/AvailableDate/DateCode/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndRate/RateType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/MovementPreliminaryAdviceIdentification/LinkageType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/AlternateIdentification/IdentificationType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/DateDetails/TradingDate/DateCode/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionDetails/DateDetails/ExDividendDate/DateCode/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/AccountDetails/SafekeepingPlace/Proprietary/Type/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/AlternateIdentification/IdentificationType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/AlternateIdentification/IdentificationType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionGeneralInformation/EventType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ExemptionType/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Depository/AlternateIdentification/IdentificationType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/EventsLinkage/LinkageType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/IncomeType/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/OtherDocumentIdentification/LinkageType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/RateStatus/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/AlternateIdentification/IdentificationType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/DividendRankingDate/DateCode/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/SafekeepingPlace/Proprietary/Type/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/CorporateActionDetails/DateDetails/RecordDate/DateCode/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/OtherIncomeType/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/OtherIncomeType/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/AlternateIdentification/IdentificationType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/AlternateIdentification/IdentificationType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/AlternateIdentification/IdentificationType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/RateType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/NotificationIdentification/LinkageType/Proprietary/Issuer  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Proprietary/Issuer  
– **Market Practice Usage Rule:**  
  
Use for VOTE events only. Populated with "DTCC".

### 6.54.3 SchemeName

*XML Tag:* SchmeNm

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

*Datatype:* ["Max4AlphaNumericText" on page 434](#)

## Usage Guideline details

- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/DividendRankingDate/DateCode/Proprietary/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Proprietary/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PariPassuDate/DateCode/Proprietary/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/AlternateIdentification/IdentificationType/Proprietary/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator/Proprietary/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/EventsLinkage/LinkageType/Proprietary/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/CorporateActionConfirmationDetails/OptionFeatures/Proprietary/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/AlternateIdentification/IdentificationType/Proprietary/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/Exemption-Type/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434

- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionDetails/EventStage/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionDetails/DateDetails/ExDividendDate/DateCode/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/AlternateIdentification/IdentificationType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/AlternateIdentification/IdentificationType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/AlternateIdentification/IdentificationType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionDetails/DateDetails/RecordDate/DateCode/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)



- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ExemptionType/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/IncomeType/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/RateStatus/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Depository/AlternateIdentification/IdentificationType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionDetails/LotteryType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/AlternateIdentification/IdentificationType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/AlternateIdentification/IdentificationType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/AlternateIdentification/IdentificationType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/AlternateIdentification/IdentificationType/Proprietary/SchemeName

**Type changed to:** [Max4AlphaNumericText on page 434](#)

- on **seev.036.001.17/AccountDetails/SafekeepingPlace/Proprietary/Type/SchemeName**

**Type changed to:** [Max4AlphaNumericText on page 434](#)

- on **seev.036.001.17/CorporateActionConfirmationDetails/FractionDisposition/Proprietary/SchemeName**

**Type changed to:** [Max4AlphaNumericText on page 434](#)

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/OtherIncome-Type/SchemeName**

**Type changed to:** [Max4AlphaNumericText on page 434](#)

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName**

**Type changed to:** [Max4AlphaNumericText on page 434](#)

- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Proprietary/SchemeName**

**Type changed to:** [Max4AlphaNumericText on page 434](#)

- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/AvailableDate/DateCode/Proprietary/SchemeName**

**Type changed to:** [Max4AlphaNumericText on page 434](#)

- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/SafekeepingPlace/Proprietary/Type/SchemeName**

**Type changed to:** [Max4AlphaNumericText on page 434](#)

- on **seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/SchemeName**

**Type changed to:** [Max4AlphaNumericText on page 434](#)

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName**

**Type changed to:** [Max4AlphaNumericText on page 434](#)

- on **seev.036.001.17/CorporateActionConfirmationDetails/PlaceOfTrade/Type/Proprietary/SchemeName**

**Type changed to:** [Max4AlphaNumericText on page 434](#)

- on **seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/SchemeName**

**Type changed to:** [Max4AlphaNumericText on page 434](#)

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName**

**Type changed to:** [Max4AlphaNumericText on page 434](#)



- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Depository/AlternateIdentification/IdentificationType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/DateDetails/TradingDate/Date-Code/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/OtherIncomeType/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionGeneralInformation/EventType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/Income-Type/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/NotificationIdentification/LinkageType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/RateType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndRate/RateType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/SchemeName  
Type changed to: [Max4AlphaNumericText on page 434](#)

- on **seev.036.001.17/OtherDocumentIdentification/LinkageType/Proprietary/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/MovementPreliminaryAdviceIdentification/LinkageType/Proprietary/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Proprietary/SchemeName**  
– **Market Practice Usage Rule:**  
  
Use for VOTE events only. Populated with "VOTE".

## 6.55 GenericIdentification36\_\_1

*Definition:* Identification using a proprietary scheme.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	186
	Issuer	<Issr>		[1..1]	⚠	187
	SchemeName	<SchmeNm>		[0..1]	⚠	187

Used in element(s)

"ProprietaryNumber" on page 152

### 6.55.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* "RestrictedFINXMax30Text" on page 455

**Usage Guideline details**

- on **seev.036.001.17/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/Identification**  
Type changed to: RestrictedFINXMax30Text on page 455

## 6.55.2 Issuer

*XML Tag:* Issr

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* ["Max4AlphaNumericText" on page 434](#)

### Usage Guideline details

- on [seev.036.001.17/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/Issuer](#)  
Type changed to: [Max4AlphaNumericText on page 434](#)

## 6.55.3 SchemeName

*XML Tag:* SchmeNm

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.







*Datatype:* ["Max4AlphaNumericText" on page 434](#)




### Usage Guideline details

- on [seev.036.001.17/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/SchemeName](#)  
Type changed to: [Max4AlphaNumericText on page 434](#)

## 6.56 GenericIdentification36\_\_2

*Definition:* Identification using a proprietary scheme.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		187
	Issuer	<Issr>		[1..1]		189
	SchemeName	<SchmeNm>		[0..1]		190

### Used in element(s)

["ProprietaryIdentification" on page 219](#), ["ProprietaryIdentification" on page 218](#), ["ProprietaryIdentification" on page 221](#)

## 6.56.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* ["RestrictedFINXMax34Text" on page 456](#)

#### Usage Guideline details

- **on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/Identification/ProprietaryIdentification/Identification**  
Type changed to: [RestrictedFINXMax34Text on page 456](#)
- **on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/Identification/ProprietaryIdentification/Identification**  
Type changed to: [RestrictedFINXMax34Text on page 456](#)
- **on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/Identification/ProprietaryIdentification/Identification**  
Type changed to: [RestrictedFINXMax34Text on page 456](#)
- **on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/Identification/ProprietaryIdentification/Identification**  
Type changed to: [RestrictedFINXMax34Text on page 456](#)
- **on seev.036.001.17/PayingAgent/ProprietaryIdentification/Identification**  
Type changed to: [RestrictedFINXMax34Text on page 456](#)
- **on seev.036.001.17/IssuerAgent/ProprietaryIdentification/Identification**  
Type changed to: [RestrictedFINXMax34Text on page 456](#)
- **on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/Identification/ProprietaryIdentification/Identification**  
Type changed to: [RestrictedFINXMax34Text on page 456](#)
- **on seev.036.001.17/AccountDetails/AccountOwner/ProprietaryIdentification/Identification**  
Type changed to: [RestrictedFINXMax34Text on page 456](#)
- **on seev.036.001.17/SubPayingAgent/ProprietaryIdentification/Identification**  
Type changed to: [RestrictedFINXMax34Text on page 456](#)
- **on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/Identification/ProprietaryIdentification/Identification**  
Type changed to: [RestrictedFINXMax34Text on page 456](#)
- **on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/Identification/ProprietaryIdentification/Identification**  
Type changed to: [RestrictedFINXMax34Text on page 456](#)
- **on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/Identification/ProprietaryIdentification/Identification**  
Type changed to: [RestrictedFINXMax34Text on page 456](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/Identification/ProprietaryIdentification/Identification](#)  
Type changed to: [RestrictedFINXMax34Text](#) on page 456

## 6.56.2 Issuer

*XML Tag:* Issr

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* ["Max4AlphaNumericText"](#) on page 434

### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/Identification/ProprietaryIdentification/Issuer](#)  
Type changed to: [Max4AlphaNumericText](#) on page 434
- on [seev.036.001.17/SubPayingAgent/ProprietaryIdentification/Issuer](#)  
Type changed to: [Max4AlphaNumericText](#) on page 434
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/Identification/ProprietaryIdentification/Issuer](#)  
Type changed to: [Max4AlphaNumericText](#) on page 434
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/Identification/ProprietaryIdentification/Issuer](#)  
Type changed to: [Max4AlphaNumericText](#) on page 434
- on [seev.036.001.17/IssuerAgent/ProprietaryIdentification/Issuer](#)  
Type changed to: [Max4AlphaNumericText](#) on page 434
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/Identification/ProprietaryIdentification/Issuer](#)  
Type changed to: [Max4AlphaNumericText](#) on page 434
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/Identification/ProprietaryIdentification/Issuer](#)  
Type changed to: [Max4AlphaNumericText](#) on page 434
- on [seev.036.001.17/PayingAgent/ProprietaryIdentification/Issuer](#)  
Type changed to: [Max4AlphaNumericText](#) on page 434
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/Identification/ProprietaryIdentification/Issuer](#)  
Type changed to: [Max4AlphaNumericText](#) on page 434
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/Identification/ProprietaryIdentification/Issuer](#)  
Type changed to: [Max4AlphaNumericText](#) on page 434

- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/Identification/ProprietaryIdentification/Issuer**  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/Identification/ProprietaryIdentification/Issuer**  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on **seev.036.001.17/AccountDetails/AccountOwner/ProprietaryIdentification/Issuer**  
Type changed to: [Max4AlphaNumericText on page 434](#)

### 6.56.3 SchemeName

*XML Tag:* SchmeNm

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

*Datatype:* ["Max4AlphaNumericText" on page 434](#)







#### Usage Guideline details


- on **seev.036.001.17/IssuerAgent/ProprietaryIdentification/SchemeName**  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on **seev.036.001.17/SubPayingAgent/ProprietaryIdentification/SchemeName**  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/Identification/ProprietaryIdentification/SchemeName**  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/Identification/ProprietaryIdentification/SchemeName**  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/Identification/ProprietaryIdentification/SchemeName**  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/Identification/ProprietaryIdentification/SchemeName**  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/Identification/ProprietaryIdentification/SchemeName**  
Type changed to: [Max4AlphaNumericText on page 434](#)
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/Identification/ProprietaryIdentification/SchemeName**  
Type changed to: [Max4AlphaNumericText on page 434](#)

- on **seev.036.001.17/PayingAgent/ProprietaryIdentification/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/Identification/ProprietaryIdentification/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/Identification/ProprietaryIdentification/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/AccountDetails/AccountOwner/ProprietaryIdentification/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/Identification/ProprietaryIdentification/SchemeName**  
Type changed to: Max4AlphaNumericText on page 434

## 6.57 GenericIdentification78\_\_1

*Definition:* Identification expressed as a proprietary type and narrative description.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		191
	Identification	<Id>		[0..1]		192

Used in element(s)

"Proprietary" on page 302, "Proprietary" on page 299







### 6.57.1 Type


XML Tag: Tp


Presence: [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

The **Tp** block contains the following elements (see datatype "GenericIdentification30\_\_1" on page 174 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SchemeName	<SchmeNm>		[0..1]		180

## 6.57.2 Identification

*XML Tag:* Id

*Presence:* [0..1]

*Definition:* Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.







*Datatype:* "RestrictedFINXMax30Text" on page 455


### Usage Guideline details

- on seev.036.001.17/AccountDetails/SafekeepingPlace/Proprietary/Identification  
Type changed to: RestrictedFINXMax30Text on page 455
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/SafekeepingPlace/Proprietary/Identification  
Type changed to: RestrictedFINXMax30Text on page 455

## 6.58 GrossDividendRateFormat35Choice\_\_1

*Definition:* Choice of format to express a gross dividend.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		192
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		193
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		193

### Used in element(s)

"GrossDistributionRate" on page 136

### 6.58.1 Amount

*XML Tag:* Amt

*Presence:* [1..1]

*Definition:* Value expressed as an amount.

*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451



## Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossDistributionRate/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451







## 6.58.2 AmountAndRateStatus


XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "[AmountAndRateStatus1\\_\\_1](#)" on page 34 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		34
	RateStatus	<RateSts>		[1..1]		35







## 6.58.3 RateTypeAndAmountAndRateStatus


XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.







The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "[RateTypeAndAmountAndStatus55\\_\\_1](#)" on page 288 for details)




 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		288
	Amount	<Amt>		[1..1]		288
	RateStatus	<RateSts>		[0..1]		289

## 6.59 GrossDividendRateFormat37Choice\_\_1

Definition: Choice of format to express a gross dividend.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	 	194
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		194
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		195

**Used in element(s)**

"GrossDistributionRate" on page 262

## 6.59.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451

**Usage Guideline details**

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/Amount) is removed.
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/Amount

Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451







## 6.59.2 AmountAndRateStatus


XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "AmountAndRateStatus1" on page 34 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		34
	RateStatus	<RateSts>		[1..1]		35

## Usage Guideline details

- This element (see v.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/AmountAndRateStatus) is removed.







## 6.59.3 RateTypeAndAmountAndRateStatus



XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.







The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "RateTypeAndAmountAndStatus57" on page 290 for details)


 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		291
	Amount	<Amt>		[1..1]		291
	RateStatus	<RateSts>		[0..1]		291

## 6.60 IdentificationSource3Choice\_\_1

Definition: Choice between source of identification of a financial instrument.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		195
	Proprietary	<Prtry>	Or}	[1..1]		195

Used in element(s)

"Type" on page 216

### 6.60.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "ExternalFinancialInstrumentIdentificationType1Code" on page 410

### 6.60.2 Proprietary

XML Tag: Prtry

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification source using a proprietary identification scheme.







*Datatype:* ["RestrictedFINExact2Text" on page 453](#)

#### Usage Guideline details

- on [seev.036.001.17/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)  
Type changed to: [RestrictedFINExact2Text on page 453](#)
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)  
Type changed to: [RestrictedFINExact2Text on page 453](#)
- on [seev.036.001.17/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)
  - **Market Practice Implementation Rule:**  
  
Used when the security identifier type is a Contra CUSIP. Populated with "XX".
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)
  - **Market Practice Implementation Rule:**  
  
Used when the security identifier type is a Contra CUSIP or unknown populated with "XX".

## 6.61 IdentificationType42Choice\_\_1

*Definition:* Choice between a code and a data source scheme to specify the type of alternate identification.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		196
	Proprietary	<Prtry>	Or}	[1..1]		197

Used in element(s)

["IdentificationType" on page 30](#)

### 6.61.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Type of identification is defined using a code.

Datatype: "TypeOfIdentification1Code" on page 459







## 6.61.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Type of identification is defined using a data source scheme.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30\_1" on page 174 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180

## 6.62 IndicativeOrMarketPrice13Choice\_\_1

Definition: Choice between an indicative price or a market price.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativePrice	<IndctvPric>	{Or	[1..1]		197
	MarketPrice	<MktPric>	Or}	[1..1]		198

Used in element(s)

"IndicativeOrMarketPrice" on page 126







### 6.62.1 IndicativePrice

XML Tag: IndctvPric

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

The **IndctvPric** block contains the following elements (see datatype "PriceFormat77Choice\_1" on page 242 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		242

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	Or}	[1..1]		243







## 6.62.2 MarketPrice

XML Tag: MktPric

Presence: [1..1]

Definition: Last reported/known price of a financial instrument in a market.







The **MktPric** block contains the following elements (see datatype "PriceFormat77Choice\_1" on page 242 for details)




 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		242
	AmountPrice	<AmtPric>	Or}	[1..1]		243

## 6.63 InterestRateUsedForPaymentFormat12Choice\_\_1

Definition: Choice between an amount or a rate.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		198
	Amount	<Amt>	Or	[1..1]	 	199
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		199

Used in element(s)

"GrossInterestRateUsedForPayment" on page 137, "GrossInterestRateUsedForPayment" on page 263

### 6.63.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 444

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/Rate) is removed.

## 6.63.2 Amount

*XML Tag:* Amt

*Presence:* [1..1]

*Definition:* Number of monetary units specified in a currency.

*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/Amount) is removed.
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/Amount  
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451
- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/Amount  
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451







## 6.63.3 RateTypeAndAmountAndRateStatus



*XML Tag:* RateTpAndAmtAndRateSts

*Presence:* [1..1]

*Definition:* Specifies different formats for interest rate used for payment.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "RateTypeAndAmountAndStatus24 1" on page 283 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		284
	Amount	<Amt>		[1..1]		284
	RateStatus	<RateSts>		[0..1]		285

## 6.64 IntermediateSecuritiesDistributionTypeFormat19Choice

*Definition:* Choice between a standard code or proprietary code to specify the type of intermediate securities distribution.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		200
	Proprietary	<Prtry>	Or}	[1..1]	🚫	200

**Used in element(s)**

"FollowingEventTypeIndicator" on page 64

## 6.64.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Standard code to specify the type of intermediate security distribution.

*Datatype:* "IntermediateSecurityDistributionType6Code" on page 423

## 6.64.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of intermediate security distribution.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 173 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		174
	Issuer	<Issr>		[1..1]		174
	SchemeName	<SchmeNm>		[0..1]		174

**Usage Guideline details**

- This element(seev.036.001.17/CorporateActionDetails/FollowingEventTypeIndicator/Proprietary) is removed.

## 6.65 IssuerOfferorTaxabilityIndicator2Choice

*Definition:* Choice between a standard code or proprietary code to specify the issuer / offeror taxability status.



 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		201
	Proprietary	<Prtry>	Or}	[1..1]		201

Used in element(s)

"IssuerOfferorTaxabilityIndicator" on page 308, "IssuerOfferorTaxabilityIndicator" on page 53

## 6.65.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify information regarding the issuer / offeror taxability status.

Datatype: "IssuerTaxability2Code" on page 430







## 6.65.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the issuer / offeror taxability status.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 173 for details)


 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		174
	Issuer	<Issr>		[1..1]		174
	SchemeName	<SchmeNm>		[0..1]		174

## 6.66 LotteryTypeFormat4Choice\_\_1

Definition: Choice between a standard code or proprietary code to specify the type of lottery.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		202
	Proprietary	<Prtry>	Or}	[1..1]		202

**Used in element(s)**

["LotteryType" on page 65](#)

## 6.66.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Standard code to specify the type of lottery announced.

*Datatype:* ["LotteryType1Code" on page 430](#)

## 6.66.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of lottery announced.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30\\_1" on page 174](#) for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]	<span style="color: orange;">⚠</span>	175
	SchemeName	<SchmeNm>		[0..1]	<span style="color: orange;">⚠</span>	180

### Usage Guideline details

- This element(seev.036.001.17/CorporateActionDetails/LotteryType/Proprietary) is removed.

## 6.67 MarketIdentification1Choice\_\_1

*Definition:* Choice of market identification.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketIdentifierCode	<MktIdrCd>	{Or	[1..1]		203
	Description	<Desc>	Or}	[1..1]	<span style="color: orange;">⚠</span>	203

**Used in element(s)**

["Identification" on page 204](#)

## 6.67.1 MarketIdentifierCode

*XML Tag:* MktldrCd

*Presence:* [1..1]

*Definition:* ISO 10383 Market Identification Code.

*Datatype:* ["MICIdentifier" on page 431](#)

## 6.67.2 Description

*XML Tag:* Desc

*Presence:* [1..1]

*Definition:* Description of the market when no Market Identifier Code is available.

*Datatype:* ["RestrictedFINXMax30Text" on page 455](#)







### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/PlaceOfTrade/Identification/Description](#)

Type changed to: [RestrictedFINXMax30Text on page 455](#)

## 6.68 MarketIdentification84\_\_1

*Definition:* Context, or geographic environment, in which trading parties may meet in order to negotiate and execute trades among themselves.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[0..1]		204
	Type	<Tp>		[1..1]		204

### Rules

#### R38 MarketTypeAndIdentificationRule

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

Error handling: Undefined

### Used in element(s)

["PlaceOfTrade" on page 122](#)







## 6.68.1 Identification


*XML Tag:* Id

*Presence:* [0..1]

*Definition:* Code allocated to places of trade, that is, stock exchanges, regulated markets, for example, Electronic Trading Platforms (ECN), and unregulated markets, for example, Automated Trading Systems (ATS), as sources of prices and related information, in order to facilitate automated processing.

The **Id** block contains the following elements (see datatype "[MarketIdentification1Choice\\_\\_1](#)" on page 202 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketIdentifierCode	<MktIdrCd>	{Or}	[1..1]		203
	Description	<Desc>	Or}	[1..1]		203







## 6.68.2 Type

*XML Tag:* Tp

*Presence:* [1..1]

*Definition:* Nature of a market in which transactions take place.







The **Tp** block contains the following elements (see datatype "[MarketType8Choice\\_\\_1](#)" on page 204 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		205
	Proprietary	<Prtry>	Or}	[1..1]		205

## 6.69 MarketType8Choice\_\_1

*Definition:* Choice of format for the market type.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		205
	Proprietary	<Prtry>	Or}	[1..1]		205

**Used in element(s)**

"[Type](#)" on page 204

## 6.69.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Market type expressed as an ISO 20022 code.

*Datatype:* ["MarketType2Code" on page 431](#)







## 6.69.2 Proprietary



*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Market type expressed as a proprietary code.







The **Prtry** block contains the following elements (see datatype ["GenericIdentification30\\_1" on page 174](#) for details)



 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180

## 6.70 NameAndAddress5\_\_1

*Definition:* Information that locates and identifies a party.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		205
	Address	<Adr>		[0..1]		207

**Used in element(s)**

["NameAndAddress" on page 222](#), ["NameAndAddress" on page 218](#), ["NameAndAddress" on page 220](#)

### 6.70.1 Name

*XML Tag:* Nm

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* ["RestrictedFINXMax140Text" on page 454](#)

## Usage Guideline details

- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/Identification/NameAndAddress/Name**  
Type changed to: [RestrictedFINXMax140Text on page 454](#)
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/Identification/NameAndAddress/Name**  
Type changed to: [RestrictedFINXMax140Text on page 454](#)
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/Identification/NameAndAddress/Name**  
Type changed to: [RestrictedFINXMax140Text on page 454](#)
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Depository/Identification/NameAndAddress/Name**  
Type changed to: [RestrictedFINXMax140Text on page 454](#)
- on **seev.036.001.17/SubPayingAgent/NameAndAddress/Name**  
Type changed to: [RestrictedFINXMax140Text on page 454](#)
- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/Identification/NameAndAddress/Name**  
Type changed to: [RestrictedFINXMax140Text on page 454](#)
- on **seev.036.001.17/PayingAgent/NameAndAddress/Name**  
Type changed to: [RestrictedFINXMax140Text on page 454](#)
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/Identification/NameAndAddress/Name**  
Type changed to: [RestrictedFINXMax140Text on page 454](#)
- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/Identification/NameAndAddress/Name**  
Type changed to: [RestrictedFINXMax140Text on page 454](#)
- on **seev.036.001.17/IssuerAgent/NameAndAddress/Name**  
Type changed to: [RestrictedFINXMax140Text on page 454](#)
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/Identification/NameAndAddress/Name**  
Type changed to: [RestrictedFINXMax140Text on page 454](#)
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/Identification/NameAndAddress/Name**  
Type changed to: [RestrictedFINXMax140Text on page 454](#)
- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/Identification/NameAndAddress/Name**  
Type changed to: [RestrictedFINXMax140Text on page 454](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Depository/Identification/NameAndAddress/Name](#)  
Type changed to: [RestrictedFINXMax140Text](#) on page 454







## 6.70.2 Address

XML Tag: *Adr*

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "[PostalAddress1](#)" on page 233 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		234
	AddressLine	<AdrLine>		[0..5]		234
	StreetName	<StrtNm>		[0..1]		234
	BuildingNumber	<BldgNb>		[0..1]		234
	PostCode	<PstCd>		[0..1]		234
	TownName	<TwnNm>		[0..1]		235
	CountrySubDivision	<CtrySubDvsn>		[0..1]		235
	Country	<Ctry>		[1..1]		235







### Usage Guideline details


- This element([seev.036.001.17/SubPayingAgent/NameAndAddress/Address](#)) is removed.
- This element([seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/Identification/NameAndAddress/Address](#)) is removed.
- This element([seev.036.001.17/PayingAgent/NameAndAddress/Address](#)) is removed.
- This element([seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/Identification/NameAndAddress/Address](#)) is removed.
- This element([seev.036.001.17/IssuerAgent/NameAndAddress/Address](#)) is removed.
- This element([seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Depository/Identification/NameAndAddress/Address](#)) is removed.
- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/Identification/NameAndAddress/Address](#)) is removed.

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/Identification/NameAndAddress/Address) is removed.
- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/Identification/NameAndAddress/Address) is removed.
- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Depository/Identification/NameAndAddress/Address) is removed.
- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/Identification/NameAndAddress/Address) is removed.
- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/Identification/NameAndAddress/Address) is removed.
- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/Identification/NameAndAddress/Address) is removed.
- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/Identification/NameAndAddress/Address) is removed.

## 6.71 NetDividendRateFormat37Choice\_\_1

*Definition:* Choice between an amount or an unspecified rate.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		208
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		209
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		209

Used in element(s)

"NetDistributionRate" on page 137

### 6.71.1 Amount

*XML Tag:* Amt

*Presence:* [1..1]

*Definition:* Number of monetary units specified in a currency.

*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451



## Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/NetDistributionRate/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451







## 6.71.2 AmountAndRateStatus


XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "[AmountAndRateStatus1](#)" on page 34 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		34
	RateStatus	<RateSts>		[1..1]		35







## 6.71.3 RateTypeAndAmountAndRateStatus


XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the net dividend rate.







The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "[RateTypeAndAmountAndStatus56](#)" on page 289 for details)




 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		289
	Amount	<Amt>		[1..1]		290
	RateStatus	<RateSts>		[0..1]		290

## 6.72 NetDividendRateFormat40Choice\_\_1

Definition: Choice between different formats to express a net dividend.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		210
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		210
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		211

**Used in element(s)**

"NetDistributionRate" on page 264

## 6.72.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451

**Usage Guideline details**

- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/Amount  
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/Amount  
Synonym: DTC Cash Rate







## 6.72.2 AmountAndRateStatus


XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "AmountAndRateStatus1" on page 34 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		34
	RateStatus	<RateSts>		[1..1]		35

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/AmountAndRateStatus) is removed.







## 6.72.3 RateTypeAndAmountAndRateStatus


XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the net dividend rate.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "RateTypeAndAmountAndStatus58\_\_1" on page 292 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		292
	Amount	<Amt>		[1..1]		293
	RateStatus	<RateSts>		[0..1]		293

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus) is removed.

## 6.73 OptionFeaturesFormat29Choice\_\_1

Definition: Choice between a standard code or a proprietary code to specify the features that may apply to a corporate action option.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		211
	Proprietary	<Prtry>	Or}	[1..1]		212

## Used in element(s)

"OptionFeatures" on page 119

### 6.73.1 Code

XML Tag: Cd

*Presence:* [1..1]

*Definition:* Standard code to specify the features that may apply to a corporate action option.

*Datatype:* "OptionFeatures14Code" on page 439

## 6.73.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Proprietary identification of the features that may apply to a corporate action option.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30 1" on page 174 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]	⚠	175
	SchemeName	<SchmeNm>		[0..1]	⚠	180

## 6.74 OptionNumber1Choice

*Definition:* Choice between an number and an option number in a code format.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Number	<Nb>	{Or	[1..1]		212
	Code	<Cd>	Or}	[1..1]	🚫	212

**Used in element(s)**

"OptionNumber" on page 118

### 6.74.1 Number

*XML Tag:* Nb

*Presence:* [1..1]

*Definition:* Number identifying the available corporate action options.

*Datatype:* "Exact3NumericText" on page 409

### 6.74.2 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Code identifying special corporate action option numbers.

*Datatype:* ["OptionNumber1Code"](#) on page 442

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/OptionNumber/Code](#)) is removed.

## 6.75 OriginalAndCurrentQuantities1\_\_1

*Definition:* Original and current value of an asset-back instrument.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FaceAmount	<FaceAmt>		[1..1]	<span style="color: orange;">⚠</span>	213
	AmortisedValue	<AmtsdVal>		[1..1]	<span style="color: orange;">⚠</span>	213

#### Used in element(s)

["OriginalAndCurrentFace"](#) on page 255

### 6.75.1 FaceAmount

*XML Tag:* FaceAmt

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

*Datatype:* ["RestrictedFINImpliedCurrencyAndAmount"](#) on page 453

#### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/OriginalAndCurrentFace/FaceAmount](#)  
 Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 453

### 6.75.2 AmortisedValue

*XML Tag:* AmtsdVal

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

*Datatype:* ["RestrictedFINImpliedCurrencyAndAmount"](#) on page 453







## Usage Guideline details



- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/OriginalAndCurrentFace/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 453

## 6.76 OriginalAndCurrentQuantities6\_\_1

*Definition:* Signed face amount and amortised value.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		214
	FaceAmount	<FaceAmt>		[1..1]		214
	AmortisedValue	<AmtsdVal>		[1..1]		214

### Used in element(s)

["OriginalAndCurrentFaceAmount"](#) on page 254

### 6.76.1 ShortLongPosition

*XML Tag:* ShrtLngPos

*Presence:* [1..1]

*Definition:* Sign of the quantity of security.

*Datatype:* ["ShortLong1Code"](#) on page 458

### 6.76.2 FaceAmount

*XML Tag:* FaceAmt

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* ["RestrictedFINImpliedCurrencyAndAmount"](#) on page 453

## Usage Guideline details

- on [seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/Balance/Quantity-Choice/OriginalAndCurrentFaceAmount/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 453

### 6.76.3 AmortisedValue

*XML Tag:* AmtsdVal

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.







*Datatype:* ["RestrictedFINImpliedCurrencyAndAmount" on page 453](#)




#### Usage Guideline details

- on [seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/Balance/Quantity-Choice/OriginalAndCurrentFaceAmount/AmortisedValue](#)  
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 453](#)

## 6.77 OtherIdentification1\_\_1

*Definition:* Other accepted financial instrument's identification than ISIN.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		215
	Suffix	<Sfx>		[0..1]		216
	Type	<Tp>		[1..1]		216

#### Used in element(s)

["OtherIdentification" on page 320](#)

### 6.77.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Identification of a security.

*Datatype:* ["RestrictedFINXMax31Text" on page 455](#)

#### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)  
Type changed to: [RestrictedFINXMax31Text on page 455](#)
- on [seev.036.001.17/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Identification](#)  
Type changed to: [RestrictedFINXMax31Text on page 455](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)

– **Market Practice Usage Rule:**

When the security identifier is not known '999999999' will be used.

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)

**Synonym (DTCC):** DTC Disbursed Fractional Security ID

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)

**Synonym (DTCC):** DTC Disbursed Security ID

- on [seev.036.001.17/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Identification](#)

**Synonym (DTCC):** Event Security ID

## 6.77.2 Suffix

*XML Tag:* Sfx

*Presence:* [0..1]

*Definition:* Identifies the suffix of the security identification.

*Datatype:* ["Max16Text" on page 432](#)

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Suffix](#)) is removed.
- This element([seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Suffix](#)) is removed.

## 6.77.3 Type

*XML Tag:* Tp

*Presence:* [1..1]

*Definition:* Type of the identification.

The **Tp** block contains the following elements (see datatype ["IdentificationSource3Choice\\_1" on page 195](#) for details)

❌ Removed - 
 ⊖ Partial Removed - 
 ◇ Ignored - 
 ⊞ Partial Ignored - 
 ⚠ Details below - 
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		195
	Proprietary	<Prtry>	Or}	[1..1]	<span style="color: orange;">⚠</span>	195









## Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Type](#)  
Synonym (DTCC): DTC Disbursed Security ID Type
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Type](#)  
Synonym (DTCC): DTC Disbursed Fractional Security ID Type
- on [seev.036.001.17/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Type](#)  
Synonym (DTCC): Event Security ID Type

## 6.78 Pagination<sup>1</sup>

*Definition:* Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		217
	LastPageIndicator	<LastPgInd>		[1..1]		217

### Used in element(s)

["Pagination" on page 15](#)

### 6.78.1 PageNumber

*XML Tag:* PgNb

*Presence:* [1..1]

*Definition:* Page number.

*Datatype:* ["Max5NumericText" on page 434](#)

### 6.78.2 LastPageIndicator

*XML Tag:* LastPgInd

*Presence:* [1..1]

*Definition:* Indicates the last page.

*Datatype:* ["YesNoIndicator" on page 461](#)

## 6.79 PartyIdentification120Choice\_\_1

*Definition:* Choice between different formats for the identification of a party.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		218
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		218
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		218

#### Used in element(s)

["Identification" on page 226](#), ["Identification" on page 229](#), ["IssuerAgent" on page 21](#), ["PayingAgent" on page 21](#), ["SubPayingAgent" on page 22](#)

## 6.79.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

**Definition:** Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

**Datatype:** ["AnyBICDec2014Identifier" on page 347](#)







## 6.79.2 ProprietaryIdentification




XML Tag: PrtryId

Presence: [1..1]

**Definition:** Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype ["GenericIdentification36\\_2" on page 187](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		187
	Issuer	<Issr>		[1..1]		189
	SchemeName	<SchmeNm>		[0..1]		190







## 6.79.3 NameAndAddress



XML Tag: NmAndAdr

Presence: [1..1]

**Definition:** Name and address of a party.







The **NmAndAdr** block contains the following elements (see datatype "[NameAndAddress5\\_1](#)" on [page 205](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		205
	Address	<Adr>		[0..1]		207

## 6.80 PartyIdentification127Choice\_\_1

*Definition:* Choice of identification of a party.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		219
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		219

**Used in element(s)**

"AccountOwner" on [page 27](#)

### 6.80.1 AnyBIC

*XML Tag:* AnyBIC

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Datatype:* "[AnyBICDec2014Identifier](#)" on [page 347](#)







### 6.80.2 ProprietaryIdentification

*XML Tag:* PrtryId

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "[GenericIdentification36\\_2](#)" on [page 187](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	187
	Issuer	<Issr>		[1..1]	⚠	189
	SchemeName	<SchmeNm>		[0..1]	⚠	190

## 6.81 PartyIdentification133Choice\_\_1

*Definition:* Choice of formats for an identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BICFI	<BICFI>	{Or	[1..1]		220
	NameAndAddress	<NmAndAdr>	Or	[1..1]		220
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		221

Used in element(s)

"Identification" on page 231

### 6.81.1 BICFI

*XML Tag:* BICFI

*Presence:* [1..1]

*Definition:* Code allocated to a financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Datatype:* "BICFIDec2014Identifier" on page 348

### 6.81.2 NameAndAddress

*XML Tag:* NmAndAdr

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

The **NmAndAdr** block contains the following elements (see datatype "NameAndAddress5\_\_1" on page 205 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]	⚠	205
	Address	<Adr>		[0..1]	🚫	207







## 6.81.3 ProprietaryIdentification




*XML Tag:* PrtryId

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.







The **PrtryId** block contains the following elements (see datatype "GenericIdentification36\_2" on page 187 for details)




 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		187
	Issuer	<Issr>		[1..1]		189
	SchemeName	<SchmeNm>		[0..1]		190

## 6.82 PartyIdentification257Choice\_\_1

*Definition:* Choice of formats for the identification of a party.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		221
	NameAndAddress	<NmAndAdr>	Or	[1..1]		222
	Country	<Ctry>	Or	[1..1]		222
	DigitalLedgerIdentification	<DgtlLdgrId>	Or}	[1..1]		222

**Used in element(s)**

"Identification" on page 223

### 6.82.1 AnyBIC

*XML Tag:* AnyBIC

*Presence:* [1..1]

*Definition:* Unique and unambiguous way to identify an organisation.

*Datatype:* "AnyBICDec2014Identifier" on page 347

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Depository/Identification/AnyBIC) is removed.

## 6.82.2 NameAndAddress

*XML Tag:* NmAndAdr

*Presence:* [1..1]

*Definition:* Unique and unambiguous way to identify an organisation.

The **NmAndAdr** block contains the following elements (see datatype "NameAndAddress5 1" on page 205 for details)

❌ Removed - ⚠️ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠️ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]	<span style="color: orange;">⚠️</span>	205
	Address	<Adr>		[0..1]	<span style="color: red;">❌</span>	207

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Depository/Identification/NameAndAddress) is removed.

## 6.82.3 Country

*XML Tag:* Ctry

*Presence:* [1..1]

*Definition:* Unique and unambiguous way to identify an organisation.

*Datatype:* "CountryCode" on page 404

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Depository/Identification/Country) is removed.

## 6.82.4 DigitalLedgerIdentification

*XML Tag:* DgtlLdgrId







*Presence:* [1..1]




*Definition:* Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

*Datatype:* "DTI2024Identifier" on page 405

## 6.83 PartyIdentification316\_\_1

*Definition:* Provides information about identification of the party.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		223
	ProcessingIdentification	<PrcgId>		[0..1]	 	223
	AlternateIdentification	<AltrnId>		[0..*]		224

### Rules

#### R46 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

Error handling: Undefined

### Used in element(s)

["Depository" on page 323](#)







## 6.83.1 Identification




*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Identification of a party.

The **Id** block contains the following elements (see datatype ["PartyIdentification257Choice 1"](#) on page 221 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		221
	NameAndAddress	<NmAndAdr>	Or	[1..1]		222
	Country	<Ctry>	Or	[1..1]		222
	DigitalLedgerIdentification	<DgtlLdgrId>	Or}	[1..1]		222

## 6.83.2 ProcessingIdentification

*XML Tag:* PrcgId

*Presence:* [0..1]

*Definition:* Reference meaningful to the party identified.

Datatype: "RestrictedFINXMax16Text" on page 454

#### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Depository/ProcessingIdentification) is removed.
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Depository/ProcessingIdentification  
Type changed to: [RestrictedFINXMax16Text on page 454](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Depository/ProcessingIdentification  
Type changed to: [RestrictedFINXMax16Text on page 454](#)

## 6.83.3 Alternateldentification

XML Tag: `AltrnId`

Presence: [0..\*]

Definition: Provides alternate identification for a party using an id type, a country code and a text field.

The **AltrnId** block contains the following elements (see datatype "[AlternatePartyIdentification7\\_\\_1](#)" on page 30 for details)

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationType	<IdTp>		[1..1]		30
	Country	<Ctry>		[1..1]		31
	Alternateldentification	<AltrnId>		[1..1]		31

#### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Depository/Alternateldentification) is removed.







## 6.84 PartyIdentificationAndAccount204\_\_1

Definition: Provides information about identification and account of the party.

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		226



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingAccount	<SfkpgAcct>		[0..1]	 	226
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]		227
	ProcessingIdentification	<PrcgId>		[0..1]	 	227
	AlternatIdentification	<AltrnId>		[0..*]		228

## Rules

### R47 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

Error handling: Undefined

### R48 SafekeepingAccountOrBlockChainAddress1Rule ✓

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Error handling:

- *Error severity:* Warning
- *Error Code:* X00582
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

### R49 SafekeepingAccountOrBlockChainAddress2Rule ✓

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00583
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

### R50 SafekeepingAccountOrBlockChainAddress3Rule ✓

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00584
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

## Used in element(s)

"Party1" on page 323, "Party2" on page 324, "Party3" on page 324

## 6.84.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Identification of a party.

The **Id** block contains the following elements (see datatype "[PartyIdentification120Choice 1](#)" on page 217 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		218
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		218
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		218

## 6.84.2 SafekeepingAccount

*XML Tag:* SfkpgAcct

*Presence:* [0..1]

*Definition:* Account where financial instruments are maintained.

*Datatype:* "[RestrictedFINXMax35Text](#)" on page 456

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/SafekeepingAccount](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/SafekeepingAccount](#)  
 Type changed to: [RestrictedFINXMax35Text](#) on page 456
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/SafekeepingAccount](#)  
 Type changed to: [RestrictedFINXMax35Text](#) on page 456
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/SafekeepingAccount](#)  
 Type changed to: [RestrictedFINXMax35Text](#) on page 456
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/SafekeepingAccount](#)  
 Type changed to: [RestrictedFINXMax35Text](#) on page 456
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/SafekeepingAccount](#)  
 Type changed to: [RestrictedFINXMax35Text](#) on page 456

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/SafekeepingAccount](#)

Type changed to: [RestrictedFINXMax35Text](#) on page 456

## 6.84.3 BlockChainAddressOrWallet

XML Tag: BkChnAdrOrWlIt

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: ["RestrictedFINXMax140Text"](#) on page 454

### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/BlockChainAddressOrWallet](#)

Type changed to: [RestrictedFINXMax140Text](#) on page 454

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/BlockChainAddressOrWallet](#)

Type changed to: [RestrictedFINXMax140Text](#) on page 454

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/BlockChainAddressOrWallet](#)

Type changed to: [RestrictedFINXMax140Text](#) on page 454

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/BlockChainAddressOrWallet](#)

Type changed to: [RestrictedFINXMax140Text](#) on page 454

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/BlockChainAddressOrWallet](#)

Type changed to: [RestrictedFINXMax140Text](#) on page 454

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/BlockChainAddressOrWallet](#)

Type changed to: [RestrictedFINXMax140Text](#) on page 454

## 6.84.4 ProcessingIdentification

XML Tag: PrcgId

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: ["RestrictedFINXMax16Text"](#) on page 454

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/ProcessingIdentification) is removed.
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party3/ProcessingIdentification  
Type changed to: [RestrictedFINXMax16Text on page 454](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3/ProcessingIdentification  
Type changed to: [RestrictedFINXMax16Text on page 454](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party2/ProcessingIdentification  
Type changed to: [RestrictedFINXMax16Text on page 454](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/ProcessingIdentification  
Type changed to: [RestrictedFINXMax16Text on page 454](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties/Party1/ProcessingIdentification  
Type changed to: [RestrictedFINXMax16Text on page 454](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party2/ProcessingIdentification  
Type changed to: [RestrictedFINXMax16Text on page 454](#)







## 6.84.5 Alternateldentification


XML Tag: AltrnId

Presence: [0..\*]

Definition: Alternate identification for a party.

The **AltrnId** block contains the following elements (see datatype "[AlternatePartyIdentification7\\_1](#)" on [page 30](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationType	<IdTp>		[1..1]		30
	Country	<Ctry>		[1..1]		31
	Alternateldentification	<AltrnId>		[1..1]		31


## Usage Guideline details

- This element (see v.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party1/AlternateIdentification) is removed.

## 6.85 PartyIdentificationAndAccount225\_\_1

*Definition:* Provides information about identification and account of the party.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		229
	CashAccount	<CshAcct>		[0..1]		230
	ProcessingIdentification	<PrctlId>		[0..1]		230
	AlternateIdentification	<AltrnId>		[0..1]		230

### Rules

#### R56 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

Error handling: Undefined

### Used in element(s)

"Creditor" on page 61, "MarketClaimCounterparty" on page 62







## 6.85.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Identification of a party.

The **Id** block contains the following elements (see datatype "PartyIdentification120Choice\_\_1" on page 217 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		218
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		218
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		218







## 6.85.2 CashAccount


*XML Tag:* CshAcct

*Presence:* [0..1]

*Definition:* Account in which cash is maintained.

The **CshAcct** block contains the following elements (see datatype "[CashAccountIdentification9Choice 1](#)" on page 49 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		50
	BlockChainCashWallet	<BlckChainCshWllt>	Or	[1..1]		50
	Proprietary	<Prtry>	Or}	[1..1]		50

## 6.85.3 ProcessingIdentification

*XML Tag:* PrcgId

*Presence:* [0..1]

*Definition:* Reference meaningful to the party identified.

*Datatype:* "[RestrictedFINXMax16Text](#)" on page 454

### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/MarketClaimCounterparty/ProcessingIdentification](#)  
 Type changed to: [RestrictedFINXMax16Text](#) on page 454
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/Creditor/ProcessingIdentification](#)  
 Type changed to: [RestrictedFINXMax16Text](#) on page 454







## 6.85.4 Alternateldentification


*XML Tag:* AltrnId

*Presence:* [0..1]

*Definition:* Alternate identification for a party.







The **AltrnId** block contains the following elements (see datatype "[AlternatePartyIdentification7 1](#)" on page 30 for details)


 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationType	<IdTp>		[1..1]		30
	Country	<Ctry>		[1..1]		31
	AlternatIdentification	<AltrnId>		[1..1]		31

## 6.86 PartyIdentificationAndAccount226\_\_1

*Definition:* Provides information about identification and account of the party.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		231
	CashAccount	<CshAcct>		[0..1]		232
	ProcessingIdentification	<PrcgId>		[0..1]		232
	AlternatIdentification	<AltrnId>		[0..1]		232

### Rules

#### R57 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

Error handling: Undefined

### Used in element(s)

"CreditorAgent" on page 62







### 6.86.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Identification of a party.

The **Id** block contains the following elements (see datatype "[PartyIdentification133Choice 1](#)" on page 220 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BICFI	<BICFI>	{Or	[1..1]		220
	NameAndAddress	<NmAndAdr>	Or	[1..1]		220

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		221

## 6.86.2 CashAccount

*XML Tag:* CshAcct

*Presence:* [0..1]

*Definition:* Account in which cash is maintained.

The **CshAcct** block contains the following elements (see datatype "[CashAccountIdentification9Choice 1](#)" on page 49 for details)

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		50
	BlockChainCashWallet	<BlckChainCshWllt>	Or	[1..1]		50
	Proprietary	<Prtry>	Or}	[1..1]		50

## 6.86.3 ProcessingIdentification

*XML Tag:* PrcgId

*Presence:* [0..1]

*Definition:* Reference meaningful to the party identified.

*Datatype:* "[RestrictedFINXMax16Text](#)" on page 454

### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/CashParties/CreditorAgent/ProcessingIdentification](#)

**Type changed to:** [RestrictedFINXMax16Text](#) on page 454

## 6.86.4 AlternateIdentification

*XML Tag:* AltrnId


*Presence:* [0..1]

*Definition:* Alternate identification for a party.

The **AltrnId** block contains the following elements (see datatype "[AlternatePartyIdentification7 1](#)" on page 30 for details)







Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationType	<IdTp>		[1..1]		30
	Country	<Ctry>		[1..1]		31
	AlternatIdentification	<AltrnId>		[1..1]		31

## 6.87 PercentagePrice2

*Definition:* Price expressed as a percentage price.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		233
	PriceValue	<PricVal>		[1..1]		233

**Used in element(s)**

"PercentagePrice" on page 242, "PercentagePrice" on page 238, "PercentagePrice" on page 240

### 6.87.1 PercentagePriceType

*XML Tag:* PctgPricTp

*Presence:* [1..1]

*Definition:* Specifies the type of percentage price.

*Datatype:* "PriceRateType3Code" on page 444

### 6.87.2 PriceValue

*XML Tag:* PricVal







*Presence:* [1..1]

*Definition:* Specifies the value of price.

*Datatype:* "Percentage14Rate" on page 444

## 6.88 PostalAddress1

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		234
	AddressLine	<AdrLine>		[0..5]		234

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StreetName	<StrtNm>		[0..1]		234
	BuildingNumber	<BldgNb>		[0..1]		234
	PostCode	<PstCd>		[0..1]		234
	TownName	<TwnNm>		[0..1]		235
	CountrySubDivision	<CtrySubDvsn>		[0..1]		235
	Country	<Ctry>		[1..1]		235

**Used in element(s)**

"Address" on page 207

## 6.88.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 342

## 6.88.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 434

## 6.88.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 434

## 6.88.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 432

## 6.88.5 PostCode

*XML Tag:* PstCd

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* ["Max16Text" on page 432](#)

## 6.88.6 TownName

*XML Tag:* TwnNm

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* ["Max35Text" on page 433](#)

## 6.88.7 CountrySubDivision

*XML Tag:* CtrySubDvsn

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* ["Max35Text" on page 433](#)

## 6.88.8 Country

*XML Tag:* Ctry

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Datatype:* ["CountryCode" on page 404](#)

## 6.89 PriceDetails37\_\_1

*Definition:* Provides information about the prices related to a corporate action option.

⊘ Removed - 
 ⊘ Partial Removed - 
 ◇ Ignored - 
 ◇ Partial Ignored - 
 ▲ Details below - 
 M Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]	<span style="color: orange;">▲</span>	236
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]	<span style="color: orange;">▲</span>	236
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]	<span style="color: red;">⊘</span>	237

### Guidelines

#### 1. GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

**Used in element(s)**

"PriceDetails" on page 61

## 6.89.1 GenericCashPricePaidPerProduct

*XML Tag:* GncCshPricPdPerPdct

*Presence:* [0..1]

*Definition:* Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

The **GncCshPricPdPerPdct** block contains the following elements (see datatype "PriceFormat75Choice 1" on page 238 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	🚫	238
	AmountPrice	<AmtPric>	Or	[1..1]		238
	IndexPoints	<IndxPts>	Or}	[1..1]	🚫 ⚠	239

### Usage Guideline details

- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct  
**Synonym (Subscription Price):** DTCC
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct  
**Synonym (Exercise Price):** DTCC
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct  
**Comment:**  
DTC Price used for Rights Subscription or Warrant Exercise events

## 6.89.2 GenericCashPriceReceivedPerProduct

*XML Tag:* GncCshPricRcvdPerPdct

*Presence:* [0..1]

*Definition:* Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

The **GncCshPricRcvdPerPdct** block contains the following elements (see datatype "[PriceFormat76Choice 1](#)" on page 239 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	🚫	240
	AmountPrice	<AmtPric>	Or	[1..1]	🚫	240
	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	Or	[1..1]		241
	AmountPricePerAmount	<AmtPricPerAmt>	Or	[1..1]	🚫	241
	IndexPoints	<IndxPts>	Or}	[1..1]	🚫 ⚠	241

#### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct](#)  
**Synonym:** DTC Cash Rate
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct](#)  
**Comment:**  
DTC Cash Rate: DTC rate used for calculating the cash entitlement on the distribution.

## 6.89.3 CashInLieuOfSharePrice

*XML Tag:* CshInLieuOfShrPric

*Presence:* [0..1]

*Definition:* Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

The **CshInLieuOfShrPric** block contains the following elements (see datatype "[PriceFormat77Choice 1](#)" on page 242 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		242
	AmountPrice	<AmtPric>	Or}	[1..1]		243




#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/CashInLieuOfSharePrice](#)) is removed.

## 6.90 PriceFormat75Choice\_\_1

*Definition:* Choice between a percentage price or an amount price or index points.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		238
	AmountPrice	<AmtPric>	Or	[1..1]		238
	IndexPoints	<IdxPts>	Or}	[1..1]	 	239

Used in element(s)

"GenericCashPricePaidPerProduct" on page 127, "GenericCashPricePaidPerProduct" on page 236







### 6.90.1 PercentagePrice

*XML Tag:* PctgPric

*Presence:* [1..1]

*Definition:* Price expressed as a percentage.

The **PctgPric** block contains the following elements (see datatype "PercentagePrice2" on page 233 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		233
	PriceValue	<PricVal>		[1..1]		233

Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/PercentagePrice) is removed.







### 6.90.2 AmountPrice


*XML Tag:* AmtPric

*Presence:* [1..1]

*Definition:* Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "AmountPrice3\_\_1" on page 36 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		36
	PriceValue	<PricVal>		[1..1]		36

## 6.90.3 IndexPoints

*XML Tag:* IndxPts

*Presence:* [1..1]

*Definition:* Price expressed as an index points.







*Datatype:* "RestrictedFINDecimalNumber" on page 452






### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/IndexPoints) is removed.
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/IndexPoints  
Type changed to: RestrictedFINDecimalNumber on page 452
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/IndexPoints  
Type changed to: RestrictedFINDecimalNumber on page 452

## 6.91 PriceFormat76Choice\_\_1

*Definition:* Choice between a percentage price or an amount price or an amount price per amount or an amount price per financial instrument quantity.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		240
	AmountPrice	<AmtPric>	Or	[1..1]		240
	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	Or	[1..1]		241
	AmountPricePerAmount	<AmtPricPerAmt>	Or	[1..1]		241
	IndexPoints	<IndxPts>	Or}	[1..1]	 	241

### Used in element(s)

"GenericCashPriceReceivedPerProduct" on page 127, "GenericCashPriceReceivedPerProduct" on page 236







## 6.91.1 PercentagePrice

*XML Tag:* PctgPric

*Presence:* [1..1]

*Definition:* Price expressed as a percentage.

The **PctgPric** block contains the following elements (see datatype "[PercentagePrice2](#)" on page 233 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		233
	PriceValue	<PricVal>		[1..1]		233

### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/PercentagePrice) is removed.
- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/PercentagePrice) is removed.







## 6.91.2 AmountPrice


*XML Tag:* AmtPric

*Presence:* [1..1]

*Definition:* Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "[AmountPrice3\\_1](#)" on page 36 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		36
	PriceValue	<PricVal>		[1..1]		36

### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPrice) is removed.
- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPrice) is removed.



## 6.91.3 AmountPricePerFinancialInstrumentQuantity

*XML Tag:* AmtPricPerFinInstrmQty

*Presence:* [1..1]

*Definition:* Price expressed as a ratio: amount price per financial instrument quantity.

The **AmtPricPerFinInstrmQty** block contains the following elements (see datatype "AmountPricePerFinancialInstrumentQuantity10\_1" on page 39 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]	⚠	39
	PriceValue	<PricVal>		[1..1]	⚠	39
	FinancialInstrumentQuantity	<FinInstrmQty>		[1..1]		40

## 6.91.4 AmountPricePerAmount

*XML Tag:* AmtPricPerAmt

*Presence:* [1..1]

*Definition:* Price expressed as a ratio: amount price per amount.

The **AmtPricPerAmt** block contains the following elements (see datatype "AmountPricePerAmount2\_1" on page 37 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		38
	PriceValue	<PricVal>		[1..1]	⚠	38
	Amount	<Amt>		[1..1]	⚠	38

### Usage Guideline details

- This element(see v.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount) is removed.
- This element(see v.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount) is removed.

## 6.91.5 IndexPoints

*XML Tag:* IndxPts

*Presence:* [1..1]

*Definition:* Price expressed in index points.

*Datatype:* "RestrictedFINDecimalNumber" on page 452

#### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/IndexPoints) is removed.
- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/IndexPoints) is removed.
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/IndexPoints  
Type changed to: RestrictedFINDecimalNumber on page 452
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/IndexPoints  
Type changed to: RestrictedFINDecimalNumber on page 452

## 6.92 PriceFormat77Choice\_\_1

*Definition:* Choice between a percentage price or an amount price.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	🚫	242
	AmountPrice	<AmtPric>	Or}	[1..1]		243

#### Used in element(s)

"CashInLieuOfSharePrice" on page 128, "CashInLieuOfSharePrice" on page 126, "CashInLieuOfSharePrice" on page 237, "IndicativePrice" on page 197, "MarketPrice" on page 198, "OverSubscriptionDepositPrice" on page 129

### 6.92.1 PercentagePrice

*XML Tag:* PctgPric

*Presence:* [1..1]

*Definition:* Price expressed as a percentage.

The **PctgPric** block contains the following elements (see datatype "PercentagePrice2" on page 233 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		233
	PriceValue	<PricVal>		[1..1]		233

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/PriceDetails/OverSubscriptionDepositPrice/PercentagePrice) is removed.







## 6.92.2 AmountPrice


XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.







The **AmtPric** block contains the following elements (see datatype "AmountPrice3\_\_1" on page 36 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		36
	PriceValue	<PricVal>		[1..1]		36

## 6.93 ProcessingPosition7Choice\_\_1

Definition: Choice of format for the processing position.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		244
	Proprietary	<Prtry>	Or}	[1..1]		244

## Rules

### R10 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

Error handling: Undefined

**Used in element(s)**

["LinkageType" on page 148](#), ["LinkageType" on page 149](#), ["LinkageType" on page 109](#)

## 6.93.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Processing position expressed as an ISO 20022 code.

*Datatype:* ["ProcessingPosition3Code" on page 446](#)







## 6.93.2 Proprietary



*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Processing position expressed as a proprietary code.







The **Prtry** block contains the following elements (see datatype ["GenericIdentification30\\_1" on page 174](#) for details)




 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180

## 6.94 ProprietaryQuantity7\_\_1

*Definition:* Proprietary quantity format.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[0..1]		245
	Quantity	<Qty>		[1..1]		245
	QuantityType	<QtyTp>		[1..1]		245
	Issuer	<Issr>		[1..1]		245
	SchemeName	<SchmeNm>		[0..1]		245

**Used in element(s)**

["ProprietaryQuantity" on page 253](#)

## 6.94.1 ShortLongPosition

*XML Tag:* ShrtLngPos

*Presence:* [0..1]

*Definition:* Sign of the quantity of security.

*Datatype:* ["ShortLong1Code" on page 458](#)

## 6.94.2 Quantity

*XML Tag:* Qty

*Presence:* [1..1]

*Definition:* Provides the proprietary quantity with a decimal number.

*Datatype:* ["RestrictedFINDecimalNumber" on page 452](#)

### Usage Guideline details

- on [seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity/Quantity](#)  
**Type changed to:** [RestrictedFINDecimalNumber on page 452](#)

## 6.94.3 QuantityType

*XML Tag:* QtyTp

*Presence:* [1..1]

*Definition:* Identifies the type of proprietary quantity reported.

*Datatype:* ["Exact4AlphaNumericText" on page 410](#)

## 6.94.4 Issuer

*XML Tag:* Issr

*Presence:* [1..1]

*Definition:* Provides information related to issuer in free format.

*Datatype:* ["Max4AlphaNumericText" on page 434](#)

### Usage Guideline details

- on [seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity/Issuer](#)  
**Type changed to:** [Max4AlphaNumericText on page 434](#)

## 6.94.5 SchemeName

*XML Tag:* SchmeNm

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

*Datatype:* ["Max4AlphaNumericText" on page 434](#)







#### Usage Guideline details




- on [seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

## 6.95 ProprietaryQuantity8\_\_1

*Definition:* Proprietary quantity format.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]		246
	QuantityType	<QtyTp>		[1..1]		248
	Issuer	<Issr>		[1..1]		248
	SchemeName	<SchmeNm>		[0..1]		249

#### Used in element(s)

["ProprietaryQuantity" on page 251](#)

### 6.95.1 Quantity

*XML Tag:* Qty

*Presence:* [1..1]

*Definition:* Provides the proprietary quantity with a decimal number.

*Datatype:* ["RestrictedFINDecimalNumber" on page 452](#)

#### Usage Guideline details

- on [seev.036.001.17/AccountDetails/Balance/TradeDatePositionBalance/Balance/Quantity-Choice/ProprietaryQuantity/Quantity](#)

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on [seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/Balance/Quantity-Choice/ProprietaryQuantity/Quantity](#)

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on [seev.036.001.17/AccountDetails/Balance/InTransshipmentBalance/Balance/Quantity-Choice/ProprietaryQuantity/Quantity](#)

Type changed to: [RestrictedFINDecimalNumber on page 452](#)

- on seev.036.001.17/AccountDetails/Balance/BlockedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/ConfirmedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/AffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/RegisteredBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)
- on seev.036.001.17/AccountDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity  
Type changed to: [RestrictedFINDecimalNumber on page 452](#)

## 6.95.2 QuantityType

*XML Tag:* QtyTp

*Presence:* [1..1]

*Definition:* Identifies the type of proprietary quantity reported.

*Datatype:* "Exact4AlphaNumericText" on page 410

## 6.95.3 Issuer

*XML Tag:* Issr

*Presence:* [1..1]

*Definition:* Provides information related to issuer in free format.

*Datatype:* "Max4AlphaNumericText" on page 434

### Usage Guideline details

- on **seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/AccountDetails/Balance/BlockedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/AccountDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/AccountDetails/Balance/AffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/AccountDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**  
Type changed to: Max4AlphaNumericText on page 434
- on **seev.036.001.17/AccountDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**



Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/AccountDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/AccountDetails/Balance/ConfirmedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/AccountDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/AccountDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/AccountDetails/Balance/RegisteredBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

## 6.95.4 SchemeName

*XML Tag:* SchmeNm

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

*Datatype:* ["Max4AlphaNumericText" on page 434](#)

### Usage Guideline details

- on [seev.036.001.17/AccountDetails/Balance/RegisteredBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/AccountDetails/Balance/AffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on [seev.036.001.17/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/Balance/Quantity-Choice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/AccountDetails/Balance/InTransshipmentBalance/Balance/Quantity-Choice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/AccountDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/AccountDetails/Balance/ConfirmedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/Balance/Quantity-Choice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/AccountDetails/Balance/OutForRegistrationBalance/Balance/Quantity-Choice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/AccountDetails/Balance/StreetPositionBalance/Balance/Quantity-Choice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/AccountDetails/Balance/TradeDatePositionBalance/Balance/Quantity-Choice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/AccountDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/Balance/Quantity-Choice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/AccountDetails/Balance/BlockedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 434](#)

- on seev.036.001.17/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName







Type changed to: [Max4AlphaNumericText on page 434](#)


- on seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/Balance/Quantity-Choice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText](#) on page 434

## 6.96 Quantity48Choice\_\_1

*Definition:* Choice between different quantity of security formats.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		251
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]		251

Used in element(s)

["QuantityChoice" on page 327](#)







### 6.96.1 Quantity





*XML Tag:* Qty

*Presence:* [1..1]

*Definition:* Quantity of security.

The **Qty** block contains the following elements (see datatype ["FinancialInstrumentQuantity33Choice\\_\\_1"](#) on page 152 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		153
	FaceAmount	<FaceAmt>	Or	[1..1]		159
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	165
	DigitalTokenUnit	<DgdtTknUnit>	Or}	[1..1]		171







### 6.96.2 ProprietaryQuantity

*XML Tag:* PrtryQty

*Presence:* [1..1]

*Definition:* Proprietary quantity of security format.

The **PrtryQty** block contains the following elements (see datatype ["ProprietaryQuantity8\\_\\_1"](#) on page 246 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]	⚠	246
	QuantityType	<QtyTp>		[1..1]		248
	Issuer	<Issr>		[1..1]	⚠	248
	SchemeName	<SchmeNm>		[0..1]	⚠	249

## Usage Guideline details

- This element(seev.036.001.17/AccountDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/ConfirmedBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/AffectedBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.

## 6.97 Quantity49Choice\_\_1

*Definition:* Choice between different quantity of security formats.

⓪ Removed - ⓪ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -  
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityChoice	<QtyChc>	{Or	[1..1]		253
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]	⓪	253

Used in element(s)

"Balance" on page 332







## 6.97.1 QuantityChoice


XML Tag: QtyChc

Presence: [1..1]

Definition: Choice between different quantity of security formats.

The **QtyChc** block contains the following elements (see datatype "Quantity50Choice\_\_1" on page 253 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OriginalAndCurrentFaceAmount	<OrgnlAndCurFaceAmt>	{Or}	[1..1]		254
	SignedQuantity	<SgndQty>	Or}	[1..1]		254







## 6.97.2 ProprietaryQuantity




XML Tag: PrtryQty

Presence: [1..1]

Definition: Proprietary quantity of security format.

The **PrtryQty** block contains the following elements (see datatype "ProprietaryQuantity7\_\_1" on page 244 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[0..1]		245
	Quantity	<Qty>		[1..1]		245
	QuantityType	<QtyTp>		[1..1]		245
	Issuer	<Issr>		[1..1]		245
	SchemeName	<SchmeNm>		[0..1]		245


### Usage Guideline details

- This element(seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity) is removed.

## 6.98 Quantity50Choice\_\_1

Definition: Choice between different quantity of security formats.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OriginalAndCurrentFaceAmount	<OrgnlAndCurFaceAmt>	{Or	[1..1]		254
	SignedQuantity	<SgndQty>	Or}	[1..1]		254

Used in element(s)

"QuantityChoice" on page 253







## 6.98.1 OriginalAndCurrentFaceAmount



XML Tag: OrgnlAndCurFaceAmt

Presence: [1..1]

Definition: Signed face amount and amortised value of security.

The **OrgnlAndCurFaceAmt** block contains the following elements (see datatype "OriginalAndCurrentQuantities6\_1" on page 214 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		214
	FaceAmount	<FaceAmt>		[1..1]		214
	AmortisedValue	<AmtsdVal>		[1..1]		214

Usage Guideline details

- This element(seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/OriginalAndCurrentFaceAmount) is removed.







## 6.98.2 SignedQuantity

XML Tag: SgndQty

Presence: [1..1]

Definition: Signed quantity of security.

The **SgndQty** block contains the following elements (see datatype "SignedQuantityFormat10\_1" on page 325 for details)







 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]


Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		325

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]		325

## 6.99 Quantity51Choice\_\_1

*Definition:* Choice of format for the quantity.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		255
	OriginalAndCurrentFace	<OrgnlAndCurFace>	Or}	[1..1]		255

Used in element(s)

"PostingQuantity" on page 311







### 6.99.1 Quantity





*XML Tag:* Qty

*Presence:* [1..1]

*Definition:* Quantity of financial instrument in units, original face amount or current face amount.

The **Qty** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice\\_\\_1](#)" on page 152 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		153
	FaceAmount	<FaceAmt>	Or	[1..1]		159
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	165
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		171







### 6.99.2 OriginalAndCurrentFace



*XML Tag:* OrgnlAndCurFace

*Presence:* [1..1]

*Definition:* Original and current value of an asset-back instrument.

The **OrgnlAndCurFace** block contains the following elements (see datatype "[OriginalAndCurrentQuantities1\\_\\_1](#)" on page 213 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FaceAmount	<FaceAmt>		[1..1]		213
	AmortisedValue	<AmtsdVal>		[1..1]		213



## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/OriginalAndCurrentFace) is removed.

## 6.100 QuantityToQuantityRatio1\_\_1

*Definition:* Ratio expressed as a quotient of quantities.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]		256
	Quantity2	<Qty2>		[1..1]		257

## Used in element(s)

"QuantityToQuantity" on page 297, "QuantityToQuantity" on page 295

### 6.100.1 Quantity1

*XML Tag:* Qty1

*Presence:* [1..1]

*Definition:* Numerator of the quotient of quantities.

*Datatype:* "RestrictedFINDecimalNumber" on page 452

## Usage Guideline details

- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity/Quantity1  
Type changed to: RestrictedFINDecimalNumber on page 452
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity/Quantity1  
Type changed to: RestrictedFINDecimalNumber on page 452
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/QuantityToQuantity/Quantity1  
Type changed to: RestrictedFINDecimalNumber on page 452



- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity/Quantity1
  - **Market Practice Rule:**

Additional Quantity
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity/Quantity1
  - **Market Practice Rule:**

Used for new quantity

## 6.100.2 Quantity2

*XML Tag:* Qty2

*Presence:* [1..1]

*Definition:* Denominator of the quotient of quantities.

*Datatype:* "RestrictedFINDecimalNumber" on page 452

### Usage Guideline details

- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity/Quantity2
 

Type changed to: RestrictedFINDecimalNumber on page 452
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity/Quantity2
 

Type changed to: RestrictedFINDecimalNumber on page 452
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/QuantityToQuantity/Quantity2
 

Type changed to: RestrictedFINDecimalNumber on page 452
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity/Quantity2
  - **Market Practice Rule:**

Existing quantity.
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity/Quantity2
  - **Market Practice rule:**

Used for old quantity

## 6.101 Rate51\_\_1

*Definition:* Provides information about the rates related to securities movement.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalTax	<AddtlTax>		[0..1]		260
	ChargesFees	<ChrgsFees>		[0..1]		261
	FiscalStamp	<FscIStmp>		[0..1]		261
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]		262
	EarlySolicitationFeeRate	<EarlySlctnFeeRate>		[0..1]		262
	ThirdPartyIncentiveRate	<ThrdPtyIncntivRate>		[0..1]		263
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]		263
	NetDistributionRate	<NetDstrbtnRate>		[0..*]		264
	ApplicableRate	<AplblRate>		[0..1]		265
	SolicitationFeeRate	<SlctnFeeRate>		[0..1]		265
	TaxCreditRate	<TaxCdtRate>		[0..1]		266
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]		266
	SecondLevelTax	<ScndLvITax>		[0..*]		266
	TaxOnIncome	<TaxOnIncm>		[0..1]		267
	TaxOnProfits	<TaxOnPrfts>		[0..1]		267
	TaxReclaimRate	<TaxRclmRate>		[0..1]		268
	EqualisationRate	<EqulstnRate>		[0..1]		268
	DeemedRate	<DmdRate>		[0..*]		268

## Rules

### R59 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 566 NVR C4 ).

Error handling: Undefined

### R60 NetDistributionRate1Rule

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 566 NVR C4 ).

Error handling: Undefined

### R61 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

Error handling: Undefined

#### **R62 NetDistributionRate2Rule**

Only a single occurrence of either NetDistributionRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

Error handling: Undefined

#### **R63 SecondLevelTax3Rule ✓**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00389
- *Error Text:* Invalid message contents for WithholdingTaxRate. It should be present.

#### **R64 SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 566 NVR C4).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

#### **R65 SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

#### **R66 WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 566 NVR C4).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

**R67 WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

**R68 DeemedRate1Rule**

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/Rate-Type/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 566 NVR C4).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

**R69 DeemedRate2Rule**

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate may be present, only one of those elements may be present.

(MT 566 NVR C3).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

**Used in element(s)**

"RateAndAmountDetails" on page 60

## 6.101.1 AdditionalTax

*XML Tag:* AddtlTax

*Presence:* [0..1]

*Definition:* Rate used for additional tax that cannot be categorised.

The **AddtITax** block contains the following elements (see datatype "RateAndAmountFormat59Choice 1" on page 270 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		271
	Amount	<Amt>	Or}	[1..1]	⚠	271

#### Usage Guideline details

- This element(see v.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/AdditionalTax) is removed.

## 6.101.2 ChargesFees

*XML Tag:* ChrgsFees

*Presence:* [0..1]

*Definition:* Rate used to calculate the amount of the charges/fees that cannot be categorised.

The **ChrgsFees** block contains the following elements (see datatype "RateAndAmountFormat59Choice 1" on page 270 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		271
	Amount	<Amt>	Or}	[1..1]	⚠	271

#### Usage Guideline details

- on see v.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/ChargesFees

**Comment:**

For Reorganization Events only

## 6.101.3 FiscalStamp

*XML Tag:* FscIStmp

*Presence:* [0..1]

*Definition:* Percentage of fiscal tax to apply.

*Datatype:* "Percentage14Rate" on page 444

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/FiscalStamp) is removed.







## 6.101.4 GrossDistributionRate




*XML Tag:* GrssDstrbtnRate

*Presence:* [0..\*]

*Definition:* Distribution rate before tax has been deducted.

The **GrssDstrbtnRate** block contains the following elements (see datatype "GrossDividendRateFormat37Choice 1" on page 193 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	 	194
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		194
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		195

## Usage Guideline details

- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate

**Synonym:** DTC Cash Rate

- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate

**Comment:**

DTC Cash Rate: DTC rate used for calculating the cash entitlement on the distribution.







## 6.101.5 EarlySolicitationFeeRate


*XML Tag:* EarlySlctnFeeRate

*Presence:* [0..1]

*Definition:* Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

The **EarlySlctnFeeRate** block contains the following elements (see datatype "SolicitationFeeRateFormat12Choice 1" on page 327 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		328
	AmountToQuantity	<AmtToQty>	Or	[1..1]		328
	Amount	<Amt>	Or}	[1..1]		328

#### Usage Guideline details

- This element(see v.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate) is removed.







## 6.101.6 ThirdPartyIncentiveRate


XML Tag: ThrdPtyIncntivRate

Presence: [0..1]

**Definition:** Cash rate made available in an event in order to encourage participation in the offer. As information, Payment is made to a third party who has solicited an entity to take part in the offer.

The **ThrdPtyIncntivRate** block contains the following elements (see datatype "RateAndAmountFormat59Choice 1" on page 270 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		271
	Amount	<Amt>	Or}	[1..1]		271

#### Usage Guideline details

- This element(see v.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/ThirdPartyIncentiveRate) is removed.







## 6.101.7 GrossInterestRateUsedForPayment




XML Tag: GrssIntrstRateUsdForPmt

Presence: [0..\*]

**Definition:** Actual interest rate before tax used for the payment of the interest for the specified interest period.

The **GrssIntrstRateUsdForPmt** block contains the following elements (see datatype "InterestRateUsedForPaymentFormat12Choice 1" on page 198 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		198
	Amount	<Amt>	Or	[1..1]	 	199
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		199

## Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment](#)

**Synonym:** DTC Cash Rate

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment](#)

**Comment:**

DTC Cash Rate: DTC rate used for calculating the cash entitlement on the distribution.







## 6.101.8 NetDistributionRate




*XML Tag:* NetDstrbtnRate

*Presence:* [0..\*]

*Definition:* Distribution rate after tax has been deducted.

The **NetDstrbtnRate** block contains the following elements (see datatype "[NetDividendRateFormat40Choice 1](#)" on page 209 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		210
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		210
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or}	[1..1]		211

## Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate](#)

– **Market Practice Implementation Rule:**

Used for net rates for payout types: Premium, Short Term Capital Gains, Long Term Capital Gains, Capital Gains, and Interest Payout types.

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate](#)



**Synonym:** DTC Cash Rate

## 6.101.9 ApplicableRate

*XML Tag:* AplblRate

*Presence:* [0..1]

*Definition:* Rate applicable to the event announced, for example, redemption rate for a redemption event.

The **AplblRate** block contains the following elements (see datatype "[RateFormat31Choice](#)" on page 275 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		275
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		275
	Amount	<Amt>	Or}	[1..1]		275

### Usage Guideline details

- This element(see v.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/ApplicableRate) is removed.

## 6.101.10 SolicitationFeeRate

*XML Tag:* SlctnFeeRate

*Presence:* [0..1]

*Definition:* Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

The **SlctnFeeRate** block contains the following elements (see datatype "[SolicitationFeeRateFormat12Choice\\_1](#)" on page 327 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		328
	AmountToQuantity	<AmtToQty>	Or	[1..1]		328
	Amount	<Amt>	Or}	[1..1]	<span style="color: orange;">⚠</span>	328

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate) is removed.







## 6.101.11 TaxCdtRate


*XML Tag:* TaxCdtRate

*Presence:* [0..1]

*Definition:* Amount of money per equity allocated as the result of a tax credit.

The **TaxCdtRate** block contains the following elements (see datatype "RateFormat27Choice 1" on page 274 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		274
	Amount	<Amt>	Or}	[1..1]		274







## 6.101.12 WithholdingTaxRate


*XML Tag:* WhldgTaxRate

*Presence:* [0..\*]

*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

The **WhldgTaxRate** block contains the following elements (see datatype "RateAndAmountFormat55Choice 1" on page 269 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		269
	Amount	<Amt>	Or	[1..1]		269
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		270

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate) is removed.

## 6.101.13 SecondLevelTax

*XML Tag:* ScndLvTax

*Presence:* [0..\*]

*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

The **ScndLvITax** block contains the following elements (see datatype "RateAndAmountFormat55Choice 1" on page 269 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		269
	Amount	<Amt>	Or	[1..1]	⚠	269
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		270

#### Usage Guideline details

- This element(see v.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/SecondLevelTax) is removed.

## 6.101.14 TaxOnIncome

*XML Tag:* TaxOnIncm

*Presence:* [0..1]

*Definition:* Taxation applied on an amount clearly identified as an income.

The **TaxOnIncm** block contains the following elements (see datatype "RateAndAmountFormat59Choice 1" on page 270 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		271
	Amount	<Amt>	Or}	[1..1]	⚠	271

#### Usage Guideline details

- This element(see v.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/TaxOnIncome) is removed.

## 6.101.15 TaxOnProfits

*XML Tag:* TaxOnPrfts

*Presence:* [0..1]

*Definition:* Taxation applied on an amount clearly identified as capital profits, capital gains.

*Datatype:* ["Percentage14Rate"](#) on page 444

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/TaxOnProfits](#)) is removed.

## 6.101.16 TaxReclaimRate

*XML Tag:* TaxRclmRate

*Presence:* [0..1]

*Definition:* Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

*Datatype:* ["Percentage14Rate"](#) on page 444

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/TaxReclaimRate](#)) is removed.

## 6.101.17 EqualisationRate

*XML Tag:* EqulstnRate

*Presence:* [0..1]

*Definition:* Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

*Datatype:* ["RestrictedFINActiveCurrencyAnd13DecimalAmount"](#) on page 451

#### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/EqualisationRate](#)) is removed.
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/EqualisationRate](#)  
**Type changed to:** [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451

## 6.101.18 DeemedRate

*XML Tag:* DmdRate

*Presence:* [0..\*]

*Definition:* Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

The **DmdRate** block contains the following elements (see datatype ["RateAndAmountFor-mat62Choice 1"](#) on page 272 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		272
	Amount	<Amt>	Or	[1..1]		272
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		273
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		273

#### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate](#)

#### Comment:

Used for IRS 305c or 871m tax events

## 6.102 RateAndAmountFormat55Choice\_\_1

*Definition:* Choice of format between a rate, or a rate type and rate, or an amount, or a unspecified rate.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		269
	Amount	<Amt>	Or	[1..1]		269
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		270

#### Used in element(s)

["SecondLevelTax" on page 139](#), ["SecondLevelTax" on page 133](#), ["SecondLevelTax" on page 266](#),  
["WithholdingTaxRate" on page 139](#), ["WithholdingTaxRate" on page 133](#), ["WithholdingTaxRate" on page 266](#)

### 6.102.1 Rate

*XML Tag:* Rate

*Presence:* [1..1]

*Definition:* Value expressed as a rate.

*Datatype:* ["Percentage14Rate" on page 444](#)

### 6.102.2 Amount

*XML Tag:* Amt

*Presence:* [1..1]

*Definition:* Value is expressed as a currency and amount.

*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451

#### Usage Guideline details

- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate/Amount**  
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451
- on **seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/SecondLevelTax/Amount**  
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/WithholdingTaxRate/Amount**  
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451
- on **seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/WithholdingTaxRate/Amount**  
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451
- on **seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/SecondLevelTax/Amount**  
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/SecondLevelTax/Amount**  
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451

## 6.102.3 RateTypeAndRate

*XML Tag:* RateTpAndRate

*Presence:* [1..1]

*Definition:* Value is expressed as a rate type and a percentage rate.







The **RateTpAndRate** block contains the following elements (see datatype "RateTypeAndPercentageRate12\_1" on page 293 for details)


❌ Removed - ⊗ Partial Removed - ◇ Ignored - ⊗ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		294
	Rate	<Rate>		[1..1]		294

## 6.103 RateAndAmountFormat59Choice\_\_1

*Definition:* Choice of format between rate and amount.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		271
	Amount	<Amt>	Or}	[1..1]		271

**Used in element(s)**

["AdditionalTax" on page 140](#), 
 ["AdditionalTax" on page 260](#), 
 ["ChargesFees" on page 131](#), 
 ["ChargesFees" on page 261](#), 
 ["TaxOnIncome" on page 267](#), 
 ["ThirdPartyIncentiveRate" on page 263](#)

## 6.103.1 Rate

*XML Tag:* Rate

*Presence:* [1..1]

*Definition:* Value is expressed as a rate.

*Datatype:* ["Percentage14Rate" on page 444](#)

## 6.103.2 Amount

*XML Tag:* Amt

*Presence:* [1..1]

*Definition:* Value is expressed as a currency and amount.

*Datatype:* ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451](#)

### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/ThirdPartyIncentiveRate/Amount](#)  
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/TaxOnIncome/Amount](#)  
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)
- on [seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/AdditionalTax/Amount](#)  
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/ChargesFees/Amount](#)  
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/AdditionalTax/Amount](#)

**Type changed to:** [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/ChargesFees/Amount](#)

**Type changed to:** [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451

## 6.104 RateAndAmountFormat62Choice\_\_1

*Definition:* Choice of format between a rate or a deemed rate type and rate or an amount or a unspecified rate.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]	<span style="color: red;">⊘</span>	272
	Amount	<Amt>	Or	[1..1]	<span style="color: orange;">▲</span>	272
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]	<span style="color: red;">⊘</span>	273
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]	<span style="color: red;">⊘</span>	273

Used in element(s)

["DeemedRate"](#) on page 268

### 6.104.1 Rate

*XML Tag:* Rate

*Presence:* [1..1]

*Definition:* Value expressed as a rate.

*Datatype:* ["Percentage14Rate"](#) on page 444

**Usage Guideline details**

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/Rate](#)) is removed.

### 6.104.2 Amount

*XML Tag:* Amt

*Presence:* [1..1]

*Definition:* Value is expressed as a currency and amount.

*Datatype:* ["RestrictedFINActiveCurrencyAnd13DecimalAmount"](#) on page 451



## Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/Amount](#)  
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/Amount](#)  
Synonym (DTCC): DTC Cash Rate







## 6.104.3 RateTypeAndAmountAndRateStatus


XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Value is expressed as a rate type and an amount.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "[RateTypeAndAmountAndStatus37](#)" on page 286 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		287
	Amount	<Amt>		[1..1]		287
	RateStatus	<RateSts>		[0..1]		287

## Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus](#)) is removed.







## 6.104.4 RateTypeAndRate

XML Tag: RateTpAndRate

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

The **RateTpAndRate** block contains the following elements (see datatype "[RateTypeAndPercentageRate14](#)" on page 294 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		294







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>		[1..1]		295


**Usage Guideline details**

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndRate) is removed.

## 6.105 RateFormat27Choice\_\_1

*Definition:* Choice between a rate or an unspecified rate.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		274
	Amount	<Amt>	Or}	[1..1]		274

**Used in element(s)**

"TaxCreditRate" on page 132, "TaxCreditRate" on page 266

### 6.105.1 Rate

*XML Tag:* Rate

*Presence:* [1..1]

*Definition:* Value is expressed as a rate.

*Datatype:* "Percentage14Rate" on page 444

### 6.105.2 Amount

*XML Tag:* Amt

*Presence:* [1..1]

*Definition:* Cash amount.

*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451







**Usage Guideline details**

- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/RateDetails/TaxCreditRate/Amount  
**Type changed to:** RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/TaxCreditRate/Amount

**Type changed to:** [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)

## 6.106 RateFormat31Choice

*Definition:* Choice between a rate or an unspecified rate.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		275
	NotSpecifiedRate	<NotSpcdfRate>	Or	[1..1]		275
	Amount	<Amt>	Or}	[1..1]		275

**Used in element(s)**

["ApplicableRate" on page 265](#)

### 6.106.1 Rate

*XML Tag:* Rate

*Presence:* [1..1]

*Definition:* Value is expressed as a rate.

*Datatype:* ["Percentage14Rate" on page 444](#)

### 6.106.2 NotSpecifiedRate

*XML Tag:* NotSpcdfRate

*Presence:* [1..1]

*Definition:* Value of the rate not specified.

*Datatype:* ["RateType5Code" on page 448](#)

### 6.106.3 Amount

*XML Tag:* Amt







*Presence:* [1..1]


*Definition:* Value is expressed as an amount.

*Datatype:* ["ActiveCurrencyAnd13DecimalAmount" on page 335](#)

## 6.107 RateFormat32Choice

*Definition:* Choice between a rate or an unspecified rate.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		276
	NotSpecifiedRate	<NotSpcdfRate>	Or}	[1..1]		276

Used in element(s)

"ProrationRate" on page 138

## 6.107.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 444

## 6.107.2 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.







Datatype: "RateType14Code" on page 447

### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/ProrationRate/NotSpecifiedRate) is removed.

## 6.108 RateStatus3Choice\_\_1

Definition: Choice between a standard code or proprietary code to specify a rate status.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		277
	Proprietary	<Prtry>	Or}	[1..1]		277

### Guidelines

#### 1. RateStatusGuideline

The code value ACTU is the default value for a rate status.

**Used in element(s)**

["RateStatus" on page 289](#), ["RateStatus" on page 290](#), ["RateStatus" on page 285](#), ["RateStatus" on page 286](#), ["RateStatus" on page 291](#), ["RateStatus" on page 293](#), ["RateStatus" on page 287](#)

## 6.108.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Standard code to specify the status of the rate.

*Datatype:* ["RateStatus1Code" on page 447](#)

## 6.108.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Proprietary identification of the status of the rate.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30\\_1" on page 174](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]	⚠	175
	SchemeName	<SchmeNm>		[0..1]	⚠	180

## 6.109 RateType33Choice\_\_1

*Definition:* Choice between a standard code or proprietary code to specify a rate type.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		277
	Proprietary	<Prtry>	Or}	[1..1]	🚫	278

**Used in element(s)**

["RateType" on page 284](#)

## 6.109.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Standard code to specify the type of rate.

*Datatype:* "RateType7Code" on page 448

## 6.109.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30\_\_1" on page 174 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]	<span style="color: orange;">⚠</span>	175
	SchemeName	<SchmeNm>		[0..1]	<span style="color: orange;">⚠</span>	180

### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary) is removed.

## 6.110 RateType36Choice\_\_1

*Definition:* Choice between a standard code or proprietary code to specify a rate type.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		278
	Proprietary	<Prtry>	Or}	[1..1]		279

### Used in element(s)

"RateType" on page 285

## 6.110.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Standard code to specify the type of tax rate.

Datatype: ["DividendRateType1Code" on page 407](#)







## 6.110.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of tax rate.







The **Prtry** block contains the following elements (see datatype ["GenericIdentification30\\_1" on page 174](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180

## 6.111 RateType42Choice\_\_1

Definition: Choice between a standard code or proprietary code to specify a rate type.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		279
	Proprietary	<Prtry>	Or}	[1..1]		279

Used in element(s)

["RateType" on page 294](#)

### 6.111.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: ["WithholdingTaxRateType1Code" on page 460](#)







### 6.111.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.







The **Prtry** block contains the following elements (see datatype "[GenericIdentification30\\_1](#)" on page 174 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180

## 6.112 RateType76Choice\_\_1

*Definition:* Choice between a standard code or proprietary code to specify a rate type.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		280
	Proprietary	<Prtry>	Or}	[1..1]		280

Used in element(s)

"RateType" on page 288

### 6.112.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Standard code to specify the type of gross dividend rate.

*Datatype:* "[GrossDividendRateType6Code](#)" on page 414







### 6.112.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]



*Definition:* Proprietary identification of the type of gross dividend rate.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30\\_1](#)" on page 174 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180

## 6.113 RateType77Choice\_\_1

*Definition:* Choice between a standard code or proprietary code to specify a rate type.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		281
	Proprietary	<Prtry>	Or}	[1..1]		281

Used in element(s)

"RateType" on page 289

### 6.113.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Standard code to specify the type of net dividend rate.

*Datatype:* "NetDividendRateType6Code" on page 435







### 6.113.2 Proprietary



*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of net dividend rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30\_\_1" on page 174 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180

## 6.114 RateType78Choice\_\_1

*Definition:* Choice between a standard code or proprietary code to specify a rate type.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		282
	Proprietary	<Prtry>	Or}	[1..1]	🚫	282

Used in element(s)

"RateType" on page 291

## 6.114.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Standard code to specify the type of gross dividend rate.

*Datatype:* "GrossDividendRateType7Code" on page 415

## 6.114.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of gross dividend rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30\_\_1" on page 174 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]	⚠	175
	SchemeName	<SchmeNm>		[0..1]	⚠	180

Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary) is removed.

## 6.115 RateType79Choice\_\_1

*Definition:* Choice between a standard code or proprietary code to specify a rate type.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		283
	Proprietary	<Prtry>	Or}	[1..1]		283

Used in element(s)

"RateType" on page 292

## 6.115.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType7Code" on page 435







## 6.115.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30\_\_1" on page 174 for details)


 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  
 Mandatory - R[x..x] Repeatability[details]


Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180

## 6.116 RateTypeAndAmountAndStatus24\_\_1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		284
	Amount	<Amt>		[1..1]		284

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateStatus	<RateSts>		[0..1]		285

Used in element(s)

"RateTypeAndAmountAndRateStatus" on page 199







## 6.116.1 RateType


XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "RateType33Choice\_1" on page 277 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		277
	Proprietary	<Prtry>	Or}	[1..1]		278

## 6.116.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451

### Usage Guideline details

- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/Amount  
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/Amount  
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/Amount  
Synonym: DTC Cash Rate

## 6.116.3 RateStatus

*XML Tag:* RateSts

*Presence:* [0..1]

*Definition:* Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice\\_1](#)" on [page 276](#) for details)

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		277
	Proprietary	<Prtry>	Or}	[1..1]		277

### Usage Guideline details

- This element(see [v.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus](#)) is removed.

## 6.117 RateTypeAndAmountAndStatus26\_\_1

*Definition:* Specifies the value expressed as a rate and an amount.

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		285
	Amount	<Amt>		[1..1]		286
	RateStatus	<RateSts>		[0..1]		286

### Used in element(s)

"[TaxableIncomePerDividendShare](#)" on [page 140](#)







### 6.117.1 RateType

*XML Tag:* RateTp

*Presence:* [1..1]

*Definition:* Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType36Choice\\_1](#)" on [page 278](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		278
	Proprietary	<Prtry>	Or}	[1..1]		279

## 6.117.2 Amount

*XML Tag:* Amt

*Presence:* [1..1]

*Definition:* Value expressed as an amount.

*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451

### Usage Guideline details

- on seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/Amount  
 Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451







## 6.117.3 RateStatus

*XML Tag:* RateSts

*Presence:* [0..1]

*Definition:* Value expressed as a rate status.







The **RateSts** block contains the following elements (see datatype "RateStatus3Choice 1" on page 276 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  
 Mandatory - R[x..x] Repeatability[details]


Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		277
	Proprietary	<Prtry>	Or}	[1..1]		277

## 6.118 RateTypeAndAmountAndStatus37\_\_1

*Definition:* Specifies the value expressed as a rate and an amount.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		287

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		287
	RateStatus	<RateSts>		[0..1]		287

Used in element(s)

"RateTypeAndAmountAndRateStatus" on page 273







## 6.118.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[DeemedRateType1Choice\\_1](#)" on page 146 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		146
	Proprietary	<Prtry>	Or}	[1..1]		147

## 6.118.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 451

Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/Amount](#)  
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451

## 6.118.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.







The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice\\_1](#)" on page 276 for details)


 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		277
	Proprietary	<Prtry>	Or}	[1..1]		277

## 6.119 RateTypeAndAmountAndStatus55\_\_1

*Definition:* Specifies the value expressed as a rate and an amount.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		288
	Amount	<Amt>		[1..1]		288
	RateStatus	<RateSts>		[0..1]		289

Used in element(s)

"RateTypeAndAmountAndRateStatus" on page 193







### 6.119.1 RateType

*XML Tag:* RateTp

*Presence:* [1..1]

*Definition:* Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "RateType76Choice\_\_1" on page 280 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		280
	Proprietary	<Prtry>	Or}	[1..1]		280

### 6.119.2 Amount

*XML Tag:* Amt

*Presence:* [1..1]

*Definition:* Value expressed as an amount.

*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451



## Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/Amount](#)  
**Type changed to:** [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 451







## 6.119.3 RateStatus

*XML Tag:* RateSts

*Presence:* [0..1]

*Definition:* Value expressed as a rate status.







The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice 1](#)" on [page 276](#) for details)


 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		277
	Proprietary	<Prtry>	Or}	[1..1]		277

## 6.120 RateTypeAndAmountAndStatus56\_\_1

*Definition:* Specifies the value expressed as a rate and an amount.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		289
	Amount	<Amt>		[1..1]		290
	RateStatus	<RateSts>		[0..1]		290

### Used in element(s)

["RateTypeAndAmountAndRateStatus" on page 209](#)







### 6.120.1 RateType

*XML Tag:* RateTp

*Presence:* [1..1]

*Definition:* Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType77Choice 1](#)" on [page 281](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		281
	Proprietary	<Prtry>	Or}	[1..1]		281

## 6.120.2 Amount

*XML Tag:* Amt

*Presence:* [1..1]

*Definition:* Value expressed as an amount.

*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451

### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/Amount](#)  
**Type changed to:** [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)







## 6.120.3 RateStatus

*XML Tag:* RateSts

*Presence:* [0..1]

*Definition:* Value expressed as a rate status.







The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice 1](#)" on page 276 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		277
	Proprietary	<Prtry>	Or}	[1..1]		277

## 6.121 RateTypeAndAmountAndStatus57\_\_1

*Definition:* Specifies the value expressed as a rate and an amount.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		291

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		291
	RateStatus	<RateSts>		[0..1]		291

Used in element(s)

"RateTypeAndAmountAndRateStatus" on page 195







## 6.121.1 RateType


XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "RateType78Choice\_\_1" on page 281 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		282
	Proprietary	<Prtry>	Or}	[1..1]		282

## 6.121.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451

### Usage Guideline details

- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/Amount  
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)
- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/Amount  
Synonym: DTC Cash Rate

## 6.121.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

*Definition:* Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice\\_\\_1](#)" on [page 276](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		277
	Proprietary	<Prtry>	Or}	[1..1]		277

#### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus) is removed.

## 6.122 RateTypeAndAmountAndStatus58\_\_1

*Definition:* Specifies the value expressed as a rate and an amount.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		292
	Amount	<Amt>		[1..1]	⚠	293
	RateStatus	<RateSts>		[0..1]		293

#### Used in element(s)

"[RateTypeAndAmountAndRateStatus](#)" on [page 211](#)

### 6.122.1 RateType

*XML Tag:* RateTp

*Presence:* [1..1]

*Definition:* Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType79Choice\\_\\_1](#)" on [page 282](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		283

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proprietary	<Prtry>	Or}	[1..1]		283

## 6.122.2 Amount

*XML Tag:* Amt

*Presence:* [1..1]

*Definition:* Value expressed as an amount.

*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451

### Usage Guideline details

- on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/Amount  
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)







## 6.122.3 RateStatus

*XML Tag:* RateSts

*Presence:* [0..1]

*Definition:* Value expressed as a rate status.







The **RateSts** block contains the following elements (see datatype "RateStatus3Choice 1" on page 276 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		277
	Proprietary	<Prtry>	Or}	[1..1]		277

## 6.123 RateTypeAndPercentageRate12\_\_1

*Definition:* Specifies the value expressed as a rate type and a percentage rate.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		294
	Rate	<Rate>		[1..1]		294

**Used in element(s)**

["RateTypeAndRate" on page 270](#)

## 6.123.1 RateType

*XML Tag:* RateTp

*Presence:* [1..1]

*Definition:* Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype ["RateType42Choice\\_\\_1" on page 279](#) for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		279
	Proprietary	<Prtry>	Or}	[1..1]		279

## 6.123.2 Rate

*XML Tag:* Rate

*Presence:* [1..1]

*Definition:* Value expressed as a rate.

*Datatype:* ["Percentage14Rate" on page 444](#)

## 6.124 RateTypeAndPercentageRate14\_\_1

*Definition:* Specifies the value expressed as a deemed rate type and a percentage rate.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		294
	Rate	<Rate>		[1..1]		295

**Used in element(s)**

["RateTypeAndRate" on page 273](#)

### 6.124.1 RateType

*XML Tag:* RateTp

*Presence:* [1..1]

*Definition:* Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[DeemedRateType1Choice\\_\\_1](#)" on page 146 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]	🚫	146
	Proprietary	<Prtry>	Or}	[1..1]		147

## 6.124.2 Rate

*XML Tag:* Rate

*Presence:* [1..1]

*Definition:* Value expressed as a rate.

*Datatype:* "[Percentage14Rate](#)" on page 444

## 6.125 RatioFormat19Choice\_\_1

*Definition:* Choice of format to expressed a ratio.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		295
	AmountToAmount	<AmtToAmt>	Or	[1..1]		296
	AmountToQuantity	<AmtToQty>	Or	[1..1]		296
	QuantityToAmount	<QtyToAmt>	Or}	[1..1]		296

**Used in element(s)**

"[NewToOld](#)" on page 131

## 6.125.1 QuantityToQuantity



*XML Tag:* QtyToQty

*Presence:* [1..1]

*Definition:* Ratio expressed as a quotient of quantities.

The **QtyToQty** block contains the following elements (see datatype "[QuantityToQuantityRatio1\\_\\_1](#)" on page 256 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]		256
	Quantity2	<Qty2>		[1..1]		257







## 6.125.2 AmountToAmount



XML Tag: AmtToAmt

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

The **AmtToAmt** block contains the following elements (see datatype "AmountToAmountRatio2\_1" on page 40 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount1	<Amt1>		[1..1]		41
	Amount2	<Amt2>		[1..1]		41







## 6.125.3 AmountToQuantity



XML Tag: AmtToQty

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

The **AmtToQty** block contains the following elements (see datatype "AmountAndQuantityRatio4\_1" on page 32 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		32
	Quantity	<Qty>		[1..1]		33







## 6.125.4 QuantityToAmount

XML Tag: QtyToAmt



Presence: [1..1]

Definition: Ratio expressed as a quantity to amount ratio.

The **QtyToAmt** block contains the following elements (see datatype "AmountAndQuantityRatio4\_1" on page 32 for details)







 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		32
	Quantity	<Qty>		[1..1]		33

## 6.126 RatioFormat20Choice\_\_1

*Definition:* Choice of format to expressed a ratio.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		297
	AmountToAmount	<AmtToAmt>	Or}	[1..1]		297

**Used in element(s)**

"AdditionalQuantityForExistingSecurities" on page 130, "AdditionalQuantityForSubscribedResultantSecurities" on page 130







### 6.126.1 QuantityToQuantity



*XML Tag:* QtyToQty

*Presence:* [1..1]

*Definition:* Ratio expressed as a quotient of quantities.

The **QtyToQty** block contains the following elements (see datatype "QuantityToQuantityRatio1\_\_1" on page 256 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]		256
	Quantity2	<Qty2>		[1..1]		257







### 6.126.2 AmountToAmount

*XML Tag:* AmtToAmt

*Presence:* [1..1]

*Definition:* Ratio expressed as a quotient of amounts.

The **AmtToAmt** block contains the following elements (see datatype "AmountToAmountRatio2\_\_1" on page 40 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount1	<Amt1>		[1..1]	⚠	41
	Amount2	<Amt2>		[1..1]	⚠	41

## 6.127 SafekeepingPlaceFormat41Choice\_\_1

*Definition:* Choice between formats for the place of safekeeping.

⓪ Removed - ⓪ Partial Removed - ⬠ Ignored - ⬠ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]	⓪	298
	Country	<Ctry>	Or	[1..1]	⓪	299
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		299
	TypeAndIdentification	<TpAndId>	Or	[1..1]	⓪	299
	Proprietary	<Prtry>	Or}	[1..1]	⓪	299

Used in element(s)

"SafekeepingPlace" on page 311

### 6.127.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype "SafekeepingPlaceTypeAndText8\_\_1" on page 303 for details)

⓪ Removed - ⓪ Partial Removed - ⬠ Ignored - ⬠ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		304
	Identification	<Id>		[0..1]	⚠	304

Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/SafekeepingPlace/Identification) is removed.

## 6.127.2 Country

*XML Tag:* Ctry

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a country code.

*Datatype:* "CountryCode" on page 404

### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/SafekeepingPlace/Country) is removed.

## 6.127.3 DigitalLedgerIdentification

*XML Tag:* DgtlLdgrId

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

*Datatype:* "DTI2024Identifier" on page 405







## 6.127.4 TypeAndIdentification

*XML Tag:* TpAndId

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "SafekeepingPlaceTypeAndIdentification1" on page 302 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		302
	Identification	<Id>		[1..1]		303

### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/SafekeepingPlace/TypeAndIdentification) is removed.

## 6.127.5 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a propriety identification scheme.

The **Prtry** block contains the following elements (see datatype "GenericIdentification78\_\_1" on page 191 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		191
	Identification	<Id>		[0..1]	⚠	192

#### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/SafekeepingPlace/Proprietary) is removed.

## 6.128 SafekeepingPlaceFormat42Choice\_\_1

*Definition:* Choice of formats for a place of safekeeping.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]	🚫	300
	Country	<Ctry>	Or	[1..1]	🚫	301
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		301
	TypeAndIdentification	<TpAndId>	Or	[1..1]	🚫	301
	Proprietary	<Prtry>	Or}	[1..1]	🚫	302

#### Used in element(s)

"SafekeepingPlace" on page 28

### 6.128.1 Identification


*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype "SafekeepingPlaceTypeAndText6\_\_1" on page 303 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		303
	Identification	<Id>		[0..1]		303

## Usage Guideline details

- This element(seev.036.001.17/AccountDetails/SafekeepingPlace/Identification) is removed.

## 6.128.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: "CountryCode" on page 404

## Usage Guideline details

- This element(seev.036.001.17/AccountDetails/SafekeepingPlace/Country) is removed.

## 6.128.3 DigitalLedgerIdentification

XML Tag: DgtlLdgrId

Presence: [1..1]

Definition: Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 405







## 6.128.4 TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "[SafekeepingPlaceTypeAndIdentification1](#)" on page 302 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		302
	Identification	<Id>		[1..1]		303

## Usage Guideline details

- This element(seev.036.001.17/AccountDetails/SafekeepingPlace/TypeAndIdentification) is removed.

## 6.128.5 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a propriety identification scheme.

The **Prtry** block contains the following elements (see datatype "GenericIdentification78\_1" on page 191 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		191
	Identification	<Id>		[0..1]	<span style="color: orange;">⚠</span>	192

## Usage Guideline details

- This element(seev.036.001.17/AccountDetails/SafekeepingPlace/Proprietary) is removed.

## 6.129 SafekeepingPlaceTypeAndIdentification1

*Definition:* Identification of the type of place of safekeeping expressed as a code and a BIC.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		302
	Identification	<Id>		[1..1]		303

## Used in element(s)

"TypeAndIdentification" on page 301, "TypeAndIdentification" on page 299

### 6.129.1 SafekeepingPlaceType

*XML Tag:* SfkpgPlcTp

*Presence:* [1..1]

*Definition:* Place of safekeeping as a code.

*Datatype:* "SafekeepingPlace1Code" on page 457

## 6.129.2 Identification

*XML Tag:* Id







*Presence:* [1..1]


*Definition:* Place of safekeeping.

*Datatype:* ["AnyBICDec2014Identifier" on page 347](#)

## 6.130 SafekeepingPlaceTypeAndText6\_\_1

*Definition:* Identification of the place of safekeeping expressed as a code and a narrative description.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		303
	Identification	<Id>		[0..1]		303

**Used in element(s)**

["Identification" on page 300](#)

### 6.130.1 SafekeepingPlaceType

*XML Tag:* SfkpgPlcTp

*Presence:* [1..1]

*Definition:* Place of safekeeping as a code.

*Datatype:* ["SafekeepingPlace2Code" on page 457](#)

### 6.130.2 Identification

*XML Tag:* Id

*Presence:* [0..1]

*Definition:* Additional information about the place of safekeeping.

*Datatype:* ["RestrictedFINXMax30Text" on page 455](#)

**Usage Guideline details**

- on [seev.036.001.17/AccountDetails/SafekeepingPlace/Identification/Identification](#)  
**Type changed to:** [RestrictedFINXMax30Text on page 455](#)

## 6.131 SafekeepingPlaceTypeAndText8\_\_1

*Definition:* Identification of the place of safekeeping expressed as a code and a narrative description.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		304
	Identification	<Id>		[0..1]		304

Used in element(s)

"Identification" on page 298

## 6.131.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace3Code" on page 458

## 6.131.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "RestrictedFINXMax30Text" on page 455

Usage Guideline details

- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/SafekeepingPlace/Identification/Identification  
Type changed to: RestrictedFINXMax30Text on page 455











## 6.132 SecuritiesOption112\_\_1

Definition: Provides information about the corporate action security option.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		306
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		307
	TemporaryFinancialInstrumentIndicator	<TempFinInstrmInd>		[0..1]		307
	NewSecuritiesIssuanceIndicator	<NewScetiesIssncInd>		[0..1]		308



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]		308
	IncomeType	<IncmTp>		[0..1]		309
	OtherIncomeType	<OthrIncmTp>		[0..*]		309
	ExemptionType	<XmptnTp>		[0..*]		310
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]		310
	PostingQuantity	<PstngQty>		[1..1]		311
	SafekeepingPlace	<SfkpgPlc>		[0..1]		311
	FractionDisposition	<FrctnDspstn>		[0..1]		312
	CurrencyOption	<CcyOptn>		[0..1]		312
	DateDetails	<DtDtls>		[1..1]		313
	RateDetails	<RateDtls>		[0..1]		313
	PriceDetails	<PricDtls>		[0..1]		314
	AmountDetails	<AmtDtls>		[0..1]		314
	ReceivingSettlementParties	<RcvgSttlmPties>		[0..1]		315
	DeliveringSettlementParties	<DlvrgSttlmPties>		[0..1]		315

## Rules

### R39 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at [www.smpg.info](http://www.smpg.info).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

### R40 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at [www.smpg.info](http://www.smpg.info).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

### R41 IssuerOfferorTaxabilityIndicatorUsageRule

The `IssuerOfferorTaxabilityIndicator` may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary `IssuerOfferorTaxabilityIndicator` codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at [www.smpg.info](http://www.smpg.info).

Error handling: Undefined

#### R42 `IncomeType1Rule` ✓

If at least one occurrence of `OtherIncomeType` is present, then `IncomeType` must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00547
- *Error Text:* Invalid message contents for `IncomeType`. Element must be present.

Used in element(s)

["SecuritiesMovementDetails"](#) on page 122

## 6.132.1 FinancialInstrumentIdentification

XML Tag: `FinInstrmId`

Presence: [1..1]

Definition: Identification of the financial instrument.

The **`FinInstrmId`** block contains the following elements (see datatype ["SecurityIdentification19\\_1"](#) on page 319 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		320
	OtherIdentification	<OthrId>		[0..*]	<span style="color: orange;">⚠</span>	320
	Description	<Desc>		[0..1]	<span style="color: orange;">⚠</span>	321

#### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification](#)
  - **Market Practice Implementation Rule:**

Disbursed Fractional and Disbursed are mutually exclusive and may never exist on the same event.
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification](#)
  - **Applicability:**

true

– **Redemption Rule:**

Contra CUSIP is mapped to this tag when event distributes into Contra CUSIP.

– **REDEML Trace:**

Redemption Contra CUSIP

- **on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification**

**Synonym (DTCC):** DTC Disbursed Security ID

- **on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification**

**Synonym (DTCC):** DTC Disbursed Fractional Security ID

- **on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification**

**Synonym (DTCC):** DTC Disbursed Security ID Type

- **on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification**

**Synonym (DTCC):** DTC Disbursed Fractional Security ID Type

- **on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification**

**Comment:**

Security identifier and type that will be paid / drawn.

## 6.132.2 CreditDebitIndicator

*XML Tag:* CdtDbtInd

*Presence:* [1..1]

*Definition:* Specifies whether the value is a debit or credit.

*Datatype:* ["CreditDebitCode" on page 404](#)

### Usage Guideline details

- **on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/CreditDebitIndicator**

– **Applicability:**

true

## 6.132.3 TemporaryFinancialInstrumentIndicator

*XML Tag:* TempFinInstrmInd

*Presence:* [0..1]

*Definition:* Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

The **TempFinInstrmInd** block contains the following elements (see datatype "[TemporaryFinancialInstrumentIndicator3Choice](#)" on page 331 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TemporaryIndicator	<TempInd>	{Or}	[1..1]		331
	Proprietary	<Prtry>	Or}	[1..1]	🚫	331

#### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator](#)
  - **Market Practice Implementation Rule:**  
Used when the security is a contra CUSIP.
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator](#)
  - **Applicability:**  
true

## 6.132.4 NewSecuritiesIssuanceIndicator

*XML Tag:* NewSctiesIssnclnd

*Presence:* [0..1]

*Definition:* Specifies the nature of the securities issue.

*Datatype:* "[NewSecuritiesIssuanceType6Code](#)" on page 436

#### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator](#)  
**Synonym (DTCC):** Defeasement Indicator
- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator](#)  
**Synonym (DTCC):** Refunding Indicator







## 6.132.5 IssuerOfferorTaxabilityIndicator

*XML Tag:* IssrOfferrTaxbltyInd

*Presence:* [0..1]

*Definition:* Proceeds are taxable according to the information provided by the issuer / offeror.

The **IssrOfferrTaxbltyInd** block contains the following elements (see datatype "[IssuerOfferorTaxabilityIndicator2Choice](#)" on page 200 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		201
	Proprietary	<Prtry>	Or}	[1..1]		201

#### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/IssuerOfferorTaxabilityIndicator) is removed.

## 6.132.6 IncomeType







XML Tag: IncmTp



Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at [www.smpg.info](http://www.smpg.info).

The **IncmTp** block contains the following elements (see datatype "[GenericIdentification30\\_1](#)" on page 174 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180

#### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/IncomeType) is removed.

## 6.132.7 OtherIncomeType

XML Tag: OthrIncmTp

Presence: [0..\*]

Definition: Type of income to be used under another tax regime.

The **OthrIncmTp** block contains the following elements (see datatype "[GenericIdentification30\\_1](#)" on page 174 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180

#### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/OtherIncomeType) is removed.

## 6.132.8 ExemptionType

*XML Tag:* XmptnTp

*Presence:* [0..\*]

*Definition:* Specifies the basis for the reduced rate of withholding.

The **XmptnTp** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 174 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]		175
	SchemeName	<SchmeNm>		[0..1]		180

#### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ExemptionType) is removed.

## 6.132.9 CountryOfIncomeSource

*XML Tag:* CtryOfIncmSrc

*Presence:* [0..1]

*Definition:* Indicates the country from which the income originates.

*Datatype:* "[CountryCode](#)" on page 404

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/CountryOfIncomeSource) is removed.







## 6.132.10 PostingQuantity


XML Tag: PstngQty

Presence: [1..1]

Definition: Quantity of securities that have been posted (credit or debit) to the safekeeping account.

The **PstngQty** block contains the following elements (see datatype "Quantity51Choice 1" on page 255 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		255
	OriginalAndCurrentFace	<OrgnlAndCurFace>	Or}	[1..1]		255

## Usage Guideline details

- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity
  - Applicability: true
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity
 

Synonym (DTCC Distributions): Securities Quantity
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity
 

Comment:  
Allocated positions.







## 6.132.11 SafekeepingPlace

XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

The **SfkpgPlc** block contains the following elements (see datatype "SafekeepingPlaceFormat41Choice 1" on page 298 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]	🚫	298
	Country	<Ctry>	Or	[1..1]	🚫	299
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		299
	TypeAndIdentification	<TpAndId>	Or	[1..1]	🚫	299
	Proprietary	<Prtry>	Or}	[1..1]	🚫	299

## 6.132.12 FractionDisposition

*XML Tag:* FrctnDspstn

*Presence:* [0..1]

*Definition:* Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

The **FrctnDspstn** block contains the following elements (see datatype "FractionDisposition-Type27Choice\_1" on page 172 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]	⚠	173
	Proprietary	<Prtry>	Or}	[1..1]	🚫	173

### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition](#)

**Comment:**

For Reorganization Events only

## 6.132.13 CurrencyOption

*XML Tag:* CcyOptn

*Presence:* [0..1]

*Definition:* Currency in which cash proceeds are to be credited or debited.

*Datatype:* "ActiveCurrencyCode" on page 335

### Usage Guideline details

- This element([seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/CurrencyOption](#)) is removed.









## 6.132.14 DateDetails







XML Tag: DtDtls

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

The **DtDtls** block contains the following elements (see datatype "SecurityDate25\_1" on page 315 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PostingDate	<PstngDt>		[1..1]		316
	AvailableDate	<AvlblDt>		[0..1]		317
	PariPassuDate	<PrpssDt>		[0..1]		317
	DividendRankingDate	<DvddRnkgDt>		[0..1]		317
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]		318
	PaymentDate	<PmtDt>		[0..1]		318







## 6.132.15 RateDetails








XML Tag: RateDtls


Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

The **RateDtls** block contains the following elements (see datatype "CorporateActionRate125\_1" on page 129 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalQuantityForSubscribedResultantSecurities	<AddtlQtyForSbcdbdRslt-ntScities>		[0..1]		130
	AdditionalQuantityForExistingSecurities	<AddtlQtyForExstgScities>		[0..1]		130
	NewToOld	<NewToOd>		[0..1]		131
	ChargesFees	<ChrgsFees>		[0..1]		131
	FiscalStamp	<FscIStmp>		[0..1]		132
	ApplicableRate	<AplblRate>		[0..1]		132
	TaxCreditRate	<TaxCdtRate>		[0..1]		132
	FinancialTransactionTaxRate	<FinTxTaxRate>		[0..1]		133
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]		133

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecondLevelTax	<ScndLvITax>		[0..*]		133







## 6.132.16 PriceDetails




XML Tag: PricDtls

Presence: [0..1]

Definition: Provides information about the prices related to securities movement.

The **PricDtls** block contains the following elements (see datatype "CorporateActionPrice83 1" on page 125 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		126
	IndicativeOrMarketPrice	<IndctvOrMktPric>		[0..1]		126
	CashValueForTax	<CshValForTax>		[0..1]		127
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]		127
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]		127







## 6.132.17 AmountDetails



XML Tag: AmtDtls

Presence: [0..1]

Definition: Provides information about the amounts related to a securities movement.

The **AmtDtls** block contains the following elements (see datatype "CorporateActionAmounts60 1" on page 65 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]		66
	SecondLevelTaxAmount	<ScndLvITaxAmt>		[0..1]		66

### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/AmountDetails) is removed.

## 6.132.18 ReceivingSettlementParties

*XML Tag:* RcvgSttlmPties

*Presence:* [0..1]

*Definition:* Identifies the chain of receiving settlement parties.

The **RcvgSttlmPties** block contains the following elements (see datatype "SettlementParties129\_\_1" on page 322 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Depository	<Dpstry>		[0..1]		323
	Party1	<Pty1>		[0..1]		323
	Party2	<Pty2>		[0..1]		324
	Party3	<Pty3>		[0..1]	🚫	324

## 6.132.19 DeliveringSettlementParties

*XML Tag:* DlvrSttlmPties

*Presence:* [0..1]

*Definition:* Identifies the chain of delivering settlement parties.

The **DlvrSttlmPties** block contains the following elements (see datatype "SettlementParties129\_\_1" on page 322 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Depository	<Dpstry>		[0..1]		323
	Party1	<Pty1>		[0..1]		323
	Party2	<Pty2>		[0..1]		324
	Party3	<Pty3>		[0..1]		324







### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DeliveringSettlementParties) is removed.

## 6.133 SecurityDate25\_\_1

*Definition:* Specifies security date details.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PostingDate	<PstngDt>		[1..1]		316
	AvailableDate	<AvlblDt>		[0..1]		317
	PariPassuDate	<PrpssDt>		[0..1]		317
	DividendRankingDate	<DvddRnkgDt>		[0..1]		317
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]		318
	PaymentDate	<PmtDt>		[0..1]		318

Used in element(s)

"DateDetails" on page 313







## 6.133.1 PostingDate

XML Tag: PstngDt

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

The **PstngDt** block contains the following elements (see datatype "DateAndDateTime2Choice" on page 141 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		141
	DateTime	<DtTm>	Or}	[1..1]		141

### Usage Guideline details

- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PostingDate
  - Applicability:
 

```
true
```
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PostingDate
 

Synonym (DTCC): DTC Allocation Date and Time
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PostingDate
 

**Comment:**

Date and Time when allocation was made by DTC (The Depository Trust Company)







## 6.133.2 AvailableDate

XML Tag: AvlblDt

Presence: [0..1]

Definition: Date/time at which securities become available for trading, for example first dealing date.

The **AvlblDt** block contains the following elements (see datatype "DateFormat30Choice\_1" on page 143 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		143
	DateCode	<DtCd>	Or}	[1..1]		143

### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/AvailableDate) is removed.







## 6.133.3 PariPassuDate

XML Tag: PrpssDt

Presence: [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

The **PrpssDt** block contains the following elements (see datatype "DateFormat30Choice\_1" on page 143 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		143
	DateCode	<DtCd>	Or}	[1..1]		143

### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PariPassuDate) is removed.

## 6.133.4 DividendRankingDate

XML Tag: DvddRnkgDt

Presence: [0..1]

*Definition:* Date/time at which a security will be entitled to a dividend.

The **DvddRnkgDt** block contains the following elements (see datatype "DateFormat30Choice\_1" on page 143 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		143
	DateCode	<DtCd>	Or}	[1..1]		143

#### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/DividendRankingDate) is removed.

## 6.133.5 EarliestPaymentDate

*XML Tag:* EarlstPmtDt

*Presence:* [0..1]

*Definition:* Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

The **EarlstPmtDt** block contains the following elements (see datatype "DateFormat30Choice\_1" on page 143 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		143
	DateCode	<DtCd>	Or}	[1..1]		143

#### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate) is removed.







## 6.133.6 PaymentDate


*XML Tag:* PmtDt

*Presence:* [0..1]

*Definition:* Date/time at which the movement is due to take place (cash and/or securities).

The **PmtDt** block contains the following elements (see datatype "DateFormat30Choice\_1" on page 143 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		143
	DateCode	<DtCd>	Or}	[1..1]		143



#### Usage Guideline details

- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PaymentDate
  - **Applicability:**  
true
  - **REDEML Trace:**  
Redemption Date
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PaymentDate  
**Synonym (DTCC):** Declared Payable Date
- on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/DateDetails/PaymentDate  
**Comment:**  
 Payable date as announced by the issuer or offeror.

## 6.134 SecurityIdentification19\_\_1

*Definition:* Identification of a security.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		320
	OtherIdentification	<OthrId>		[0..*]		320
	Description	<Desc>		[0..1]		321

#### Rules

##### R14 DescriptionPresenceRule ✓

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal

- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

**R15 OtherIdentificationPresenceRule ✓**

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

**R16 ISINPresenceRule ✓**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

**R17 DescriptionUsageRule**

Description must be used alone as the last resort.

Error handling: Undefined

**R18 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

Error handling: Undefined

**Used in element(s)**

"FinancialInstrumentIdentification" on page 114, "FinancialInstrumentIdentification" on page 306

## 6.134.1 ISIN

*XML Tag:* ISIN

*Presence:* [0..1]

*Definition:* International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

*Datatype:* "ISINOct2015Identifier" on page 421

## 6.134.2 OtherIdentification

*XML Tag:* OthrId



*Presence:* [0..\*]

*Definition:* Identification of a security by proprietary or domestic identification scheme.

The **OthrId** block contains the following elements (see datatype "OtherIdentification1" on page 215 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	215
	Suffix	<Sfx>		[0..1]	🚫	216
	Type	<Tp>		[1..1]	⚠	216

#### Usage Guideline details

- on **seev.036.001.17/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification**
  - **Financial Instrument Identification Rule:**

DTCC will only use CUSIP.
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification**
  - **DTCC Financial Instrument Identification Rule:**

DTCC will only use CUSIP.
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification**

**Synonym (DTCC Redemptions):** Lottery Contra Cusip
- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification**

**Synonym (DTCC Distributions):** DTCC Disbursed Security ID

## 6.134.3 Description

*XML Tag:* Desc

*Presence:* [0..1]

*Definition:* Textual description of a security instrument.

*Datatype:* "RestrictedFINXMax140Text" on page 454

#### Usage Guideline details

- on **seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/Description**

**Type changed to:** [RestrictedFINXMax140Text on page 454](#)

- on [seev.036.001.17/CorporateActionGeneralInformation/FinancialInstrumentIdentification/Description](#)

**Type changed to:** [RestrictedFINXMax140Text on page 454](#)

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/Description](#)

**Synonym (DTCC):** Description (DTC Disbursed Fractional Security)

- on [seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/Description](#)

**Synonym (DTCC):** Description (DTC Disbursed Security)

- on [seev.036.001.17/CorporateActionGeneralInformation/FinancialInstrumentIdentification/Description](#)

**Synonym (DTCC):** Event Security Description

- on [seev.036.001.17/CorporateActionGeneralInformation/FinancialInstrumentIdentification/Description](#)

**Comment:**

Description of the security.

## 6.135 SettlementParties129\_\_1

*Definition:* Specifies settlement parties (delivering/receiving).

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Depository	<Dpstry>		[0..1]		323
	Party1	<Pty1>		[0..1]		323
	Party2	<Pty2>		[0..1]		324
	Party3	<Pty3>		[0..1]	🚫	324

### Rules

#### R44 Party2PresenceRule ✓

If Party 2 is present, then Party 1 must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00178
- *Error Text:* Invalid message content for Parties. It is mandatory to provide party 1 when party 2 is provided.

**R45 Party3PresenceRule ✓**

If Party 3 is present, then Party 2 must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00179
- *Error Text:* It is mandatory to provide party 2 when party 3 is provided.

Used in element(s)

"DeliveringSettlementParties" on page 315, "ReceivingSettlementParties" on page 315

## 6.135.1 Depository

XML Tag: Dpstry

Presence: [0..1]

*Definition:* First receiving party in the settlement chain. In a plain vanilla settlement, it is the central securities depository where the receiving side of the transaction requests to receive the financial instrument.

The **Dpstry** block contains the following elements (see datatype "PartyIdentification316\_1" on page 223 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		223
	ProcessingIdentification	<PrcgId>		[0..1]	🚫 ⚠	223
	AlternateIdentification	<AltrnId>		[0..*]	🚫	224

## 6.135.2 Party1

XML Tag: Pty1




Presence: [0..1]

*Definition:* Party that interacts with the depository.

The **Pty1** block contains the following elements (see datatype "PartyIdentificationAndAccount204\_1" on page 224 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		226
	SafekeepingAccount	<SfkpgAcct>		[0..1]	🚫 ⚠	226
	BlockChainAddressOrWallet	<BlckChainAdrOrWlt>		[0..1]	⚠	227

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProcessingIdentification	<PrcgId>		[0..1]	 	227
	AlternatIdentification	<AltrnId>		[0..*]		228







## 6.135.3 Party2




XML Tag: Pty2

Presence: [0..1]

Definition: Party that interacts with the party1.

The **Pty2** block contains the following elements (see datatype "PartyIdentificationAndAccount204 1" on page 224 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		226
	SafekeepingAccount	<SfkpgAcct>		[0..1]		226
	BlockChainAddressOrWallet	<BlckChainAdrOrWlt>		[0..1]		227
	ProcessingIdentification	<PrcgId>		[0..1]		227
	AlternatIdentification	<AltrnId>		[0..*]		228







## 6.135.4 Party3




XML Tag: Pty3

Presence: [0..1]

Definition: Party that interacts with the party2.

The **Pty3** block contains the following elements (see datatype "PartyIdentificationAndAccount204 1" on page 224 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		226
	SafekeepingAccount	<SfkpgAcct>		[0..1]		226
	BlockChainAddressOrWallet	<BlckChainAdrOrWlt>		[0..1]		227
	ProcessingIdentification	<PrcgId>		[0..1]		227
	AlternatIdentification	<AltrnId>		[0..*]		228

## Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/ReceivingSettlementParties/Party3) is removed.

## 6.136 SignedQuantityFormat10\_\_1

*Definition:* Signed quantity of security formats.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		325
	Quantity	<Qty>		[1..1]		325

### Used in element(s)

"EligibleBalance" on page 43, "EligibleBalance" on page 45, "FullPeriodUnits" on page 333, "FullPeriodUnits" on page 46, "NotEligibleBalance" on page 44, "NotEligibleBalance" on page 46, "PartWayPeriodUnits" on page 333, "PartWayPeriodUnits" on page 47, "SignedQuantity" on page 254

### 6.136.1 ShortLongPosition

*XML Tag:* ShrtLngPos

*Presence:* [1..1]

*Definition:* Sign of the quantity of security.

*Datatype:* "ShortLong1Code" on page 458







### 6.136.2 Quantity





*XML Tag:* Qty

*Presence:* [1..1]

*Definition:* Quantity of security.

The **Qty** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice\_\_1" on page 152 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		153
	FaceAmount	<FaceAmt>	Or	[1..1]		159
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	165

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		171

## 6.137 SignedQuantityFormat11\_\_1

*Definition:* Signed quantity of security formats.

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		326
	QuantityChoice	<QtyChc>		[1..1]		327

Used in element(s)

["Balance" on page 43](#), ["Balance" on page 45](#)

### 6.137.1 ShortLongPosition

*XML Tag:* ShrtLngPos

*Presence:* [1..1]

*Definition:* Sign of the quantity of security.

*Datatype:* ["ShortLong1Code" on page 458](#)

#### Usage Guideline details

- on [seev.036.001.17/AccountDetails/Balance/BorrowedBalance/Balance/ShortLongPosition](#)
  - **Market Practice Implementation Rule:**

Long must be used as default.
- on [seev.036.001.17/AccountDetails/Balance/PendingReceiptBalance/Balance/ShortLongPosition](#)
  - **Market Practice Implementation Rule:**

Long must be used as default.
- on [seev.036.001.17/AccountDetails/Balance/CollateralOutBalance/Balance/ShortLongPosition](#)
  - **Market Practice Implementation Rule:**

Long must be used as default.
- on [seev.036.001.17/AccountDetails/Balance/OnLoanBalance/Balance/ShortLongPosition](#)
  - **Market Practice Usage Rule:**

Long must be used as default.

- on `seev.036.001.17/AccountDetails/Balance/PendingDeliveryBalance/Balance/ShortLongPosition`
  - **Market Practice Implementation Rule:**  
Long must be used as default.
- on `seev.036.001.17/AccountDetails/Balance/SettlementPositionBalance/Balance/ShortLongPosition`
  - **Market Practice Implementation Rule:**  
Long must be used as default.
- on `seev.036.001.17/AccountDetails/Balance/CollateralInBalance/Balance/ShortLongPosition`
  - **Market Practice Usage Rule:**  
Long must be used as default.

## 6.137.2 QuantityChoice

*XML Tag:* QtyChc

*Presence:* [1..1]

*Definition:* Choice between different quantity of security formats.

The **QtyChc** block contains the following elements (see datatype "`Quantity48Choice_1`" on page 251 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		251
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]	🚫	251

## 6.138 SolicitationFeeRateFormat12Choice\_\_1

*Definition:* Choice between a rate or an unspecified rate.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		328
	AmountToQuantity	<AmtToQty>	Or	[1..1]		328
	Amount	<Amt>	Or}	[1..1]	⚠	328

**Used in element(s)**

"`EarlySolicitationFeeRate`" on page 262, "`SolicitationFeeRate`" on page 265

## 6.138.1 Rate

*XML Tag:* Rate

*Presence:* [1..1]

*Definition:* Value is expressed as a rate.

*Datatype:* ["Percentage14Rate" on page 444](#)







## 6.138.2 AmountToQuantity



*XML Tag:* AmtToQty

*Presence:* [1..1]

*Definition:* Ratio expressed as an amount to quantity ratio.

The **AmtToQty** block contains the following elements (see datatype ["AmountAndQuantityRatio4 1" on page 32](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		32
	Quantity	<Qty>		[1..1]		33

## 6.138.3 Amount

*XML Tag:* Amt

*Presence:* [1..1]

*Definition:* Cash amount.







*Datatype:* ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451](#)

### Usage Guideline details

- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate/Amount](#)  
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)
- on [seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/Amount](#)  
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 451](#)

## 6.139 SupplementaryData1

*Definition:* Additional information that can not be captured in the structured fields and/or any other specific block.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]	🚫	329
	Envelope	<Envlp>		[1..1]		329

**Rules****R70 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

**Used in element(s)**

"SupplementaryData" on page 22

## 6.139.1 PlaceAndName

*XML Tag:* PlcAndNm

*Presence:* [0..1]

*Definition:* Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

*Datatype:* "Max350Text" on page 433

**Usage Guideline details**

- This element(seev.036.001.17/SupplementaryData/PlaceAndName) is removed.

## 6.139.2 Envelope

*XML Tag:* Envlp

*Presence:* [1..1]

*Definition:* Technical element wrapping the supplementary data.

## 6.140 TaxVoucher6

*Definition:* Specifies tax vouchers in the framework of a corporate action event.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TaxVoucherReference	<TaxVchrRef>		[0..1]		330

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TaxReclaimDocumentationReference	<TaxRclmDcmnttnRef>		[0..1]		331
	BeneficialOwnerReference	<BnfclOwnrRef>		[0..1]		331

## Rules

### R71 TaxVoucherPresenceRule ✓

At least one of TaxVoucherReference Or TaxReclaimDocumentationReference Or BeneficialOwnerReference must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00669
- *Error Text:* At least one of TaxVoucherReference Or TaxReclaimDocumentationReference Or BeneficialOwnerReference must be present.

### R72 TaxReclaimDocumentationReferencePresenceRule ✓

If TaxReclaimDocumentationReference is present then BeneficialOwnerReference must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00670
- *Error Text:* If TaxReclaimDocumentationReference is present then BeneficialOwnerReference must be absent.

### R73 BeneficialOwnerReferencePresenceRule ✓

If BeneficialOwnerReference is present then TaxReclaimDocumentationReference must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00671
- *Error Text:* If BeneficialOwnerReference is present then TaxReclaimDocumentationReference must be absent.

## Used in element(s)

"TaxDocumentationDetails" on page 59

## 6.140.1 TaxVoucherReference

*XML Tag:* TaxVchrRef

*Presence:* [0..1]

*Definition:* Unique reference for the tax voucher required by the relevant tax authorities to ensure that any claim relating to this particular tax voucher cannot be duplicated.

*Datatype:* ["Max35Text" on page 433](#)

## 6.140.2 TaxReclaimDocumentationReference

*XML Tag:* TaxRclmDcmnttnRef

*Presence:* [0..1]

*Definition:* Unique reference assigned by the account owner to the tax documentation sent to the account servicer for processing.

*Datatype:* ["Max35Text" on page 433](#)

## 6.140.3 BeneficialOwnerReference

*XML Tag:* BnfclOwnrRef







*Presence:* [0..1]


*Definition:* Unique reference identifying the beneficial owner the refund applies to, as assigned by the account owner to the tax documentation sent to the account servicer for processing.

*Datatype:* ["Max35Text" on page 433](#)

## 6.141 TemporaryFinancialInstrumentIndicator3Choice\_\_1

*Definition:* Choice between an indicator or a proprietary code to specify whether the security is a temporary security.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TemporaryIndicator	<TempInd>	{Or	[1..1]		331
	Proprietary	<Prtry>	Or}	[1..1]		331

Used in element(s)

["TemporaryFinancialInstrumentIndicator" on page 307](#)

### 6.141.1 TemporaryIndicator

*XML Tag:* TempInd

*Presence:* [1..1]

*Definition:* Temporary financial instrument identification used for processing reasons.

*Datatype:* ["YesNoIndicator" on page 461](#)

### 6.141.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Proprietary code to specify whether the security is a temporary security.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30\_\_1" on page 174 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		175
	Issuer	<Issr>		[1..1]	⚠	175
	SchemeName	<SchmeNm>		[0..1]	⚠	180

#### Usage Guideline details

- This element(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator/Proprietary) is removed.

## 6.142 TotalEligibleBalanceFormat10\_\_1

*Definition:* Total eligible balance for the corporate action and full and part way period units.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[0..1]		332
	FullPeriodUnits	<FullPrdUnits>		[0..1]	🚫	333
	PartWayPeriodUnits	<PartWayPrdUnits>		[0..1]	🚫	333

#### Used in element(s)

"TotalEligibleBalance" on page 92

### 6.142.1 Balance


*XML Tag:* Bal

*Presence:* [0..1]

*Definition:* Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "Quantity49Choice\_\_1" on page 252 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityChoice	<QtyChc>	{Or	[1..1]		253
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]		253







## 6.142.2 FullPeriodUnits

XML Tag: FullPrdUnits

Presence: [0..1]

**Definition:** Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

The **FullPrdUnits** block contains the following elements (see datatype "SignedQuantityFormat10\_1" on page 325 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		325
	Quantity	<Qty>		[1..1]		325

### Usage Guideline details

- This element(seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/FullPeriodUnits) is removed.







## 6.142.3 PartWayPeriodUnits

XML Tag: PartWayPrdUnits

Presence: [0..1]

**Definition:** Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

The **PartWayPrdUnits** block contains the following elements (see datatype "SignedQuantityFormat10\_1" on page 325 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -   
 Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		325
	Quantity	<Qty>		[1..1]		325


## Usage Guideline details

- This element([seev.036.001.17/AccountDetails/Balance/TotalEligibleBalance/PartWayPeriodUnits](#)) is removed.

## 6.143 TransactionIdentification15\_\_1

*Definition:* Provides transaction identification information.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketInfrastructureTransactionIdentification	<MktInfrstrctrTxId>		[1..1]		334

## Used in element(s)

["TransactionIdentification" on page 18](#)

### 6.143.1 MarketInfrastructureTransactionIdentification

*XML Tag:* MktInfrstrctrTxId

*Presence:* [1..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* ["RestrictedFINMax35Text" on page 453](#)

## Usage Guideline details

- on [seev.036.001.17/TransactionIdentification/MarketInfrastructureTransactionIdentification](#)  
Type changed to: [RestrictedFINMax35Text on page 453](#)

## 7 Message Datatypes

**Note** The following chapter identifies the datatypes that are used in the message.

### 7.1 ActiveCurrencyAnd13DecimalAmount

*Definition:* A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 335

#### Format

fractionDigits	13
minInclusive	0
totalDigits	18

#### Used in element(s)

"Amount" on page 275

### 7.2 ActiveCurrencyCode

*Definition:* A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

#### Format

pattern	[A-Z]{3,3}
---------	------------

#### Rules

##### R27 ActiveCurrency ✓

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

Error handling:

– Error severity: Fatal

- *Error Code:* D00005
- *Error Text:* Invalid currency code

**Used in element(s)**

"CurrencyOption" on page 120, "CurrencyOption" on page 312, "QuotedCurrency" on page 171, "UnitCurrency" on page 171

**Used in attribute(s)**

"RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 451, "RestrictedFINActiveCurrencyAndAmount" on page 451, "ActiveCurrencyAnd13DecimalAmount" on page 335

**Restricts**

"ParentCurrencyCode" on page 443

## 7.3 AdditionalBusinessProcess12Code

*Definition:* Specifies the additional business process linked to a corporate action event such as an automatic market claim.

*Type:* CodeSet

Code	Name	Definition
CLAI	ClaimOrCompensation	<p>Relates to a claim on the associated corporate action event.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionDetails/Additional-BusinessProcessIndicator/Code/ClaimOrCompensation) is removed.</li> </ul>
CLFT	FullTransferOfReceivedProceeds	<p>Market Claim is to transfer proceeds received from the issuer to the entitled party.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/Full-TransferOfReceivedProceeds) is removed.</li> </ul>
CLNT	PartialTransferOfReceivedProceeds	<p>Market Claim is to transfer proceeds partially received from the issuer to the entitled party. Part is compensated in cash</p>



Code	Name	Definition
		<p>by the party that failed to fulfil its obligation.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/Partial-TransferOfReceivedProceeds) is removed.</li> </ul>
CLPT	NoTransferOfReceivedProceeds	<p>Market Claim is to compensate the entitled party in cash by the party that failed to fulfil its obligation. No proceeds have been received from the issuer.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/No-TransferOfReceivedProceeds) is removed.</li> </ul>
CONS	Consent	<p>Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/Consent) is removed.</li> </ul>
FPRE	FullPrefunding	<p>Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/FullPrefunding <ul style="list-style-type: none"> <li>– Full Prerefunding Rule:</li> </ul> </li> </ul>

Code	Name	Definition
		<p>Used when Corporate Action Event Type is PDEF and DTC event is Full Prerefunding.</p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/FullPrerefunding</li> </ul> <p><b>Synonym (DTCC):</b> DTCC Event Full Prerefunding</p>
INCP	IncentivePremiumPayment	<p>The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>This code(seev.036.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/IncentivePremiumPayment) is removed.</li> </ul>
NPLE	NoSplitElection	<p>Issuer only allows a single option to be selected per designated holding.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>This code(seev.036.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/NoSplitElection) is removed.</li> </ul>
PPRE	PartialPreFunding	<p>Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/PartialPreFunding</li> </ul>

Code	Name	Definition
		<p>– <b>Partial Prerefunding Rule:</b></p> <p>Used when Corporate Action event type is PDEF and DTCC event type is Partial Prerefunding</p> <ul style="list-style-type: none"> <li>• <b>on seev.036.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/PartialPreFunding</b></li> </ul> <p><b>Synonym (DTCC):</b> DTCC Event Partial Prerefunding</p>
PPUT	PartialMandatoryPutRedemption	<p>Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• <b>on seev.036.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/PartialMandatoryPutRedemption</b></li> </ul> <p><b>Synonym (Partial Mandatory Put):</b> DTCC</p>
SCHM	SchemeOrPlanOfArrangement	<p>Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• <b>This code(seev.036.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/SchemeOrPlanOfArrangement) is removed.</b></li> </ul>

Code	Name	Definition
TAXR	TaxRefund	<p>Relates to a tax refund from the authorities on the associated corporate action event.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/TaxRefund) is removed.</li> </ul>

**Used in element(s)**

"Code" on page 29

**Restricts**

"AdditionalBusinessProcessCode" on page 340

## 7.4 AdditionalBusinessProcessCode

*Definition:* Specifies the additional business process linked to a corporate action event such as an automatic market claim.

*Type:* CodeSet

Code	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
CLFT	FullTransferOfReceivedProceeds	Market Claim is to transfer proceeds received from the issuer to the entitled party.
CLNT	PartialTransferOfReceivedProceeds	Market Claim is to transfer proceeds partially received from the issuer to the entitled party. Part is compensated in cash by the party that failed to fulfil its obligation.
CLPT	NoTransferOfReceivedProceeds	Market Claim is to compensate the entitled party in cash by the party that failed to fulfil its obligation. No proceeds have been received from the issuer.

Code	Name	Definition
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
REVR	NotificationOfReversal	Indicates a preadvice of movement reversal.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.

**Is restricted by**

"AdditionalBusinessProcess12Code" on page 336

## 7.5 AddressType2Code

*Definition:* Specifies the type of address.

*Type:* CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

**Used in element(s)**

"AddressType" on page 234

**Restricts**

"AddressTypeCode" on page 342

## 7.6 AddressTypeCode

*Definition:* Specifies the type of address.

*Type:* CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

**Is restricted by**

"AddressType2Code" on page 342

## 7.7 AmountDirectionCode

*Definition:* Specifies if an operation is an increase or a decrease or the result of a reversal operation.

*Type:* CodeSet

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.
RVCD	ReversalCredit	Debit entry used to reverse a previously booked credit entry.
RVDB	ReversalDebit	Credit entry used to reverse a previously booked debit entry.

Is restricted by

"CreditDebitCode" on page 404

## 7.8 AmountPriceType1Code

*Definition:* Specifies the amount price type.

*Type:* CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	<p>Price expressed as a discount amount.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/AmountPriceType/Discount) is removed.</li> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/AmountPriceType/Discount) is removed.</li> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/PriceDetails/OverSubscriptionDepositPrice/AmountPrice/AmountPriceType/Discount) is removed.</li> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCash-</li> </ul>

Code	Name	Definition
		<p>PriceReceivedPerProduct/Amount-PricePerAmount/AmountPrice-Type/Discount) is removed.</p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCash-PriceReceivedPerProduct/Amount-PricePerFinancialInstrumentQuantity/AmountPriceType/Discount) is removed.</li> </ul>
PLOT	Lot	<p>Price expressed as an amount of money per lot.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCash-PricePaidPerProduct/Amount-Price/AmountPriceType/Lot) is removed.</li> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCash-PriceReceivedPerProduct/Amount-PricePerAmount/AmountPrice-Type/Lot) is removed.</li> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceived-PerProduct/AmountPricePerFinancialInstrumentQuantity/Amount-PriceType/Lot) is removed.</li> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/PriceDetails/OverSubscriptionDepositPrice/Amount-Price/AmountPriceType/Lot) is removed.</li> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCash-PriceReceivedPerProduct/Amount-</li> </ul>



Code	Name	Definition
		PricePerFinancialInstrumentQuantity/AmountPriceType/Lot) is removed.

Code	Name	Definition
PREM	Premium	<p>Price expressed as a premium.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/AmountPriceType/Premium) is removed.</li> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/PriceDetails/OverSubscriptionDepositPrice/AmountPrice/AmountPriceType/Premium) is removed.</li> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/AmountPriceType/Premium <ul style="list-style-type: none"> <li>– Market Practice Implementation Rule: <p>Used when the cash rate distribution is not on debt security. Rate reported per unit of 1.</p> </li> </ul> </li> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/AmountPriceType/Premium <ul style="list-style-type: none"> <li>– Market Practice Implementation Rule: <p>NILP will be used only in the following instances: (1) there is no fungible mass for that security at CEDE &amp; Co (2) the agent or issuer has informed DTC that the event will never allocate.</p> </li> </ul> </li> </ul>

**Used in element(s)**

["AmountPriceType" on page 36](#), ["AmountPriceType" on page 39](#), ["AmountPriceType" on page 38](#)

**Restricts**

["AmountPriceTypeCode" on page 347](#)

## 7.9 AmountPriceType2Code

*Definition:* Specifies the amount price type.

*Type:* CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.

**Used in element(s)**

["AmountPriceType" on page 35](#)

**Restricts**

["AmountPriceTypeCode" on page 347](#)

## 7.10 AmountPriceTypeCode

*Definition:* Specifies the amount price type.

*Type:* CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

**Is restricted by**

["AmountPriceType1Code" on page 343](#), ["AmountPriceType2Code" on page 347](#)

## 7.11 AnyBICDec2014Identifier

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

**Format**

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

**Rules****R24 AnyBIC ✓**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

**Used in element(s)**

"AnyBIC" on page 219, "AnyBIC" on page 221, "AnyBIC" on page 218, "Identification" on page 303

## 7.12 BICFIDec2014Identifier

*Definition:* Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

**Format**

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

**Rules****R58 BICFI ✓**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00001
- *Error Text:* Invalid FI BIC.

**Used in element(s)**

"BICFI" on page 220

## 7.13 BaseOne14Rate

*Definition:* Rate expressed as a decimal, for example, 0.7 is 7/10 and 70% with 13 fractional digits maximum and 14 total digits.

Type: Rate

#### Format

fractionDigits	13
totalDigits	14

#### Used in element(s)

["ExchangeRate" on page 172](#)

## 7.14 CorporateActionEventStage4Code

*Definition:* Specifies the stage of the CA lottery event.

Type: CodeSet

Code	Name	Definition
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.

#### Used in element(s)

["Code" on page 110](#)

#### Restricts

["CorporateActionEventStageV2Code" on page 349](#)

## 7.15 CorporateActionEventStageV2Code

*Definition:* Specifies the stage of the corporate action event.

Type: CodeSet

Code	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend.
CLDE	Deactivated	Offer is closed for acceptance.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.

Code	Name	Definition
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

Is restricted by

"CorporateActionEventStage4Code" on page 349

## 7.16 CorporateActionEventType37Code

*Definition:* Specifies the corporate action event type.

*Type:* CodeSet

Code	Name	Definition
ACCU	Accumulation	<p>Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Accumulation) is removed.</li> </ul>

Code	Name	Definition
ACTV	ActiveTradingStatus	<p>Trading in the security has commenced or security has been re-activated after a suspension in trading.</p> <hr/> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/ActiveTradingStatus) is removed.</li> </ul>
ATTI	Attachment	<p>Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.</p> <hr/> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Attachment) is removed.</li> </ul>
BIDS	RepurchaseOffer	<p>Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.</p> <hr/> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/RepurchaseOffer <ul style="list-style-type: none"> <li>– Market Practice Implementation Rule: <p>Used when the event type is Tender Offer and the offeror is the issuer of the security.</p> </li> </ul> </li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/RepurchaseOffer</li> </ul> <p><b>Synonym (DTCC):</b> Tender Offer</p>

Code	Name	Definition
		<ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/RepurchaseOffer</li> </ul> <p><b>Comment:</b> Offer made to security holders, requesting them to sell (tender) their securities for a specified price (usually at a premium over prevailing market prices).</p>
BONU	BonusIssue	<p>Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/BonusIssue) is removed.</li> </ul>
BPUT	PutRedemption	<p>Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/PutRedemption Synonym (DTCC): Put</li> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/PutRedemption Synonym (DTCC): Mandatory Put</li> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/PutRedemption Synonym (DTCC): Partial Mandatory Put</li> <li>on seev.036.001.17/CorporateActionGeneralInforma-</li> </ul>



Code	Name	Definition
		<p><b>tion/EventType/Code/PutRedemption</b></p> <p><b>Comment:</b></p> <p>Mandatory Put: mandatory exchange of all outstanding bonds (with a Put feature) for cash. The issuer may offer holders the right to retain their securities instead of exchanging them.</p> <p>Partial Mandatory Put: mandatory exchange of a portion of bonds, where the exchanged securities maybe remarketed. The issuer may offer holders the right to retain instead of exchanging their securities.</p> <p>Put: feature of a bond that entitles the holder to elect to surrender the bond for cash during a predetermined time period, with a predetermined payable date.</p>
BRUP	Bankruptcy	<p>Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Bankruptcy <b>Synonym (DTCC):</b> Plan of Reorganisation (Vote)</li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Bankruptcy <b>Synonym (DTCC):</b> Bankruptcy</li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Bankruptcy <b>Comment:</b></li> </ul> <p>Bankruptcy: notice given by a company facing financial difficulties that affords the company protection from creditors. The bankruptcy notice may require shareholder action and will usually involve a court</p>

Code	Name	Definition
		<p>ruling in which the securities may become valueless. Similar to the "Default Notice" event, however this is for information only. The actual processing of any entitlements will take place under a separate event.</p> <p>Plan of Reorganisation (Vote): reorganisation plan devised by the company or trustees that is usually associated with a bankruptcy filing. Identifies an event where a vote/consent is sought for the plan of reorganisation.</p>
CAPD	CapitalDistribution	<p>Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution <b>Synonym (DTCC):</b> Return of Capital (DRIP Issuer Only)</li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution <b>Synonym (DTCC):</b> Return of Capital</li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution <b>Synonym (DTCC):</b> Return of Capital (Opt Out)</li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution <b>Synonym (DTCC):</b> Return of Capital (DRIP DTC Only)</li> <li>• on seev.036.001.17/CorporateActionGeneralInforma-</li> </ul>

Code	Name	Definition
		<p><b>tion/EventType/Code/CapitalDistribution</b></p> <p><b>Comment:</b> Distribution of cash resulting from the sale of a capital asset or securities, or any other transaction unrelated to retained earnings. Security holders may have to adjust the 'cost basis' of their holding to account for the payment.</p>
CAPG	CapitalGainsDistribution	<p>Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/CapitalGainsDistribution</li> </ul> <p><b>Synonym (DTCC):</b> Capital Gains Distribution</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/CapitalGainsDistribution</li> </ul> <p><b>Comment:</b> Distribution of cash that the issuer has determined will be declared as income financed from capital gains and not ordinary income.</p>
CAPI	Capitalisation	<p>Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Capitalisation</li> </ul>

Code	Name	Definition
		<b>Synonym (DTCC):</b> Principal
CERT	NonUSTEFRADCertification	<p>Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/NonUSTEFRADCertification) is removed.</li> </ul>
CHAN	Change	<p>Information regarding a change further described in the corporate action details.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Change <ul style="list-style-type: none"> <li>– Market Practice Implementation Rules:</li> </ul> <p>Used when the event type is Name Change (no sub event type) or Change with sub event types (Board lot, Place of Listing, Security).</p> <p>When event type is Change (Security), ChangeType:TERM code must be used also in the core schema.</p> <p>When event type is Name Change, ChangeType:NAME code must be used also in the core schema.</p> </li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Change</li> </ul> <p><b>Synonym (DTCC):</b> Name Change</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Change</li> </ul> <p><b>Synonym (DTCC):</b> Change</p>

Code	Name	Definition
		<ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Change</li> </ul> <p><b>Comment:</b> Change: issuer is announcing a change in company or security details.</p> <p>Name Change: issuer changes its name or the name of its security(ies). This may involve surrendering physical securities. A new security identifier may be assigned to the new name, especially when new securities are issued.</p>
CLSA	ClassActionProposedSettlement	<p>Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/ClassActionProposedSettlement) is removed.</li> </ul>
CONS	Consent	<p>Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Consent</li> </ul> <p><b>Synonym (DTCC):</b> Consent</p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Consent</li> </ul> <p><b>Comment:</b> Solicitation to security holders for their agreement (consent) to proposed changes in the terms of the security, usually without a formal general meeting. A fee may be paid to the security holder.</p>

Code	Name	Definition
CONV	Conversion	<p>Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Conversion</li> </ul> <p><b>Synonym (DTCC):</b> Conversion</p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Conversion</li> </ul> <p><b>Comment:</b> Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price or rate.</p>
COOP	CompanyOption	<p>Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/CompanyOption) is removed.</li> </ul>
CREV	CreditEvent	<p>Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).</p>

Code	Name	Definition
		<p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/CreditEvent) is removed.</li> </ul>
DECR	DecreaseInValue	<p>Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/DecreaseInValue) is removed.</li> </ul>
DETI	Detachment	<p>Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Detachment</li> </ul> <p><b>Synonym (DTCC):</b> Security Separation</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Detachment</li> </ul> <p><b>Comment:</b> Separation of a "unit" security (which consists of 2 or more securities that were originally issued) back into individual components.</p>
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under

Code	Name	Definition
		<p>the bond agreement and that have not been remedied.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/BondDefault</li> </ul> <p><b>Synonym (DTCC):</b> Default</p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/BondDefault</li> </ul> <p><b>Comment:</b> Notice of failure by the issuer to honor commitments made within the terms of the issued security. It usually relates to making timely payments of interest and principal as they come due. A payment may be made in lieu of reinstituting the original payments.</p>
DLST	TradingStatusDelisted	<p>Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/TradingStatusDelisted) is removed.</li> </ul>
DRAW	Drawing	<p>Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Drawing</li> </ul>



Code	Name	Definition
		<p><b>Synonym (DTCC):</b> Partial Call</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Drawing</li> </ul> <p><b>Comment:</b> Securities are redeemed by the issuer for cash, in part, before their scheduled maturity date. The outstanding amount of securities will be proportionally reduced based on a specific percentage of holding. A lottery may be run where pooled securities are held.</p>
DRCA	CashDistributionFromNonEligibleSecurities-Sales	<p>Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales</li> </ul> <p><b>Synonym (DTCC):</b> Sale of Rights (ADR)</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales</li> </ul> <p><b>Synonym (DTCC):</b> Return of Capital (Sale of Assets)</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales</li> </ul> <p><b>Comment:</b> This event will be used for the scenarios where securities are subject to redemptions other than full and partial calls. Specifically, when there is a feature of the security that allows the issuer to make a payment to the security holder. Sale of Rights (ADR) is defined as a corporate action event</p>

Code	Name	Definition
		<p>where the rights are ineligible and must be sold, thus the holder can only receive cash for them.</p> <p>Return of Capital (ADR) is defined as corporate action event where the securities other than rights are ineligible and must be sold, thus the holder can only receive cash.</p>
DRIP	DividendReinvestment	<p>Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment <b>Synonym (DTCC):</b> Automatic Dividend Reinvestment</li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment <b>Synonym (DTCC):</b> Special Dividend (DRIP Issuer)</li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment <b>Synonym (DTCC):</b> Cash Dividend (DRIP Issuer)</li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment <b>Comment:</b> Automatic Dividend Reinvestment: mandatory event for which the dividend payment is paid only in additional shares of the same security. This event type will predominantly be used for US securities and differs from the Dividend Reinvestment option (a volun-</li> </ul>

Code	Name	Definition
		tary portion of the event that applies to non-US securities). "DRIP" also appears as an option under relevant events.
DSCL	Disclosure	<p>Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Disclosure) is removed.</li> </ul>
DTCH	DutchAuction	<p>Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/DutchAuction</li> </ul> <p><b>Synonym (DTCC):</b> Dutch Auction</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/DutchAuction</li> </ul> <p><b>Comment:</b> Identifies a tender event in which the corporation offers to purchase up to a certain amount of securities within a price range. Holders must submit a specific price within that range that they would be willing to accept. The actual tender price is not determined until the end of the offer.</p>
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.

Code	Name	Definition
		<p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/CashDividend <ul style="list-style-type: none"> <li>– <b>Market Practice Usage Rule:</b> <p>Identifies Cash Dividend with no sub event type, or sub event types DTC DRIP (DTC announcement dividend reinvestment and opt out, or special dividend event types. There are separate events for Dividends with Options and Stock Dividends.</p> <p>Special Dividend is distinguished by DividendType:SPEC code in core schema.</p> </li> </ul> </li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/CashDividend <ul style="list-style-type: none"> <li>– <b>Market Practice Implementation Rules:</b> <p>Used when the event type is Cash Dividend with no sub event type, or sub event types DTC DRIP (DTC announcement dividend reinvestment and opt out, or special dividend event type.</p> <p>When event type is special dividend, DividendType:SPEC code must be used in core schema.</p> </li> </ul> </li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/CashDividend <p><b>Synonym (DTCC): Special Dividend</b></p> </li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/CashDividend <p><b>Synonym (DTCC): Cash Dividend</b></p> </li> <li>• on seev.036.001.17/CorporateActionGeneralInforma-</li> </ul>

Code	Name	Definition
		<p><b>tion/EventType/Code/CashDividend</b></p> <p><b>Comment:</b></p> <p>Cash Dividend: distribution of cash to shareholders, paid by the issuer, usually based upon current earnings and/or accumulated profits as declared by the board of directors.</p> <p>Special Dividend: cash payment to shareholders that represents an extra or non-regular payment.</p>
DVOP	DividendOption	<p>Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/DividendOption</li> </ul> <p><b>Synonym (DTCC):</b> Dividend With Option</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/DividendOption</li> </ul> <p><b>Comment:</b></p> <p>Distribution of a dividend to shareholders with the choice of payment method. The shareholder has the option to choose the form of payment (e.g. securities, cash, or both).</p>
DVSC	ScripDividend	<p>Dividend or interest paid in the form of scrip.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInforma-</li> </ul>

Code	Name	Definition
		tion/EventType/Code/ScripDividend) is removed.
DVSE	StockDividend	<p>Dividend paid to shareholders in the form of equities of the issuing corporation.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/StockDividend</li> </ul> <p><b>Synonym (DTCC):</b> Stock Dividend</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/StockDividend</li> </ul> <p><b>Comment:</b> Dividend paid to shareholders in the form of shares of stock of the same security.</p>
EXOF	Exchange	<p>Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Exchange</li> </ul> <p><b>Synonym (DTCC):</b> Mandatory Exchange</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Exchange</li> </ul> <p><b>Synonym (DTCC):</b> Termination</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Exchange</li> </ul> <p><b>Synonym (DTCC):</b> Exchange Offer</p>

Code	Name	Definition
		<ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Exchange</li> </ul> <p><b>Comment:</b></p> <p>Exchange Offer: offer to surrender securities in exchange for other securities or a combination of securities and cash. The exchange may also include a consent solicitation.</p> <p>Mandatory Exchange: required surrender of certificates to be exchanged for a new security or cash.</p> <p>Termination: security, usually a form of a derivative for which the agent or issuer has decided to terminate the derivative based on a change to the underlying security(ies) or a change in strategy.</p>
EXRI	CallOnIntermediateSecurities	<p>Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/CallOnIntermediateSecurities</li> </ul> <p><b>Synonym (DTCC):</b> Sale of Rights (Poison Pill)</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/CallOnIntermediateSecurities</li> </ul> <p><b>Synonym (DTCC):</b> Rights Subscription</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/CallOnIntermediateSecurities</li> </ul> <p><b>Comment:</b></p>

Code	Name	Definition
		<p>Rights Subscription: privilege granted to holders of rights to purchase new or additional securities. Rights are often tradable in a secondary market.</p> <p>Sale of Rights (Poison Pill) is defined as an event where the issuer redeems poison pill rights for cash.</p>
EXTM	MaturityExtension	<p>As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• <b>This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/MaturityExtension) is removed.</b></li> </ul>
EXWA	WarrantExercise	<p>Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• <b>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/WarrantExercise</b></li> </ul> <p><b>Synonym (DTCC):</b> Warrants Exercise</p> <ul style="list-style-type: none"> <li>• <b>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/WarrantExercise</b></li> </ul> <p><b>Comment:</b></p> <p>Feature of a security that permits the holder to exercise an option to exchange the security into another form (usually, warrants into shares). The exercise will commonly require a payment based upon a pre-determined value and time.</p>



Code	Name	Definition
INCR	IncreaseInValue	<p>Increase in the face value of a single security. The number of circulating securities remains unchanged.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/IncreaseInValue) is removed.</li> </ul>
INTR	InterestPayment	<p>Interest payment distributed to holders of an interest bearing asset.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/InterestPayment</li> </ul> <p><b>Synonym (DTCC):</b> Interest</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/InterestPayment</li> </ul> <p><b>Comment:</b> Payment of an obligation that the issuer agrees to make to holders of an interest-bearing security. Usually, the payment is made in cash and on a scheduled basis.</p>
LIQU	LiquidationDividend	<p>Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/LiquidationDividend</li> </ul> <p><b>Synonym (DTCC):</b> Liquidation</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInforma-</li> </ul>

Code	Name	Definition
		<p><b>tion/EventType/Code/LiquidationDividend</b></p> <p><b>Comment:</b> Company reports its intentions to dismantle its business, paying off debts in order of priority and distributing the remaining assets in cash and/or securities to the owners of the securities. The payment of proceeds may require the presentation of securities.</p>
MCAL	FullCall	<p>Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/FullCall <b>Synonym (DTCC): Full Call</b></li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/FullCall <b>Synonym (DTCC): Final Paydown</b></li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/FullCall</li> </ul> <p><b>Comment:</b></p> <p>Final Paydown: final distribution of principal due on a security, typically CMOs.</p> <p>Full Call: security is redeemed for cash in its entirety on a date that is prior to the maturity date, and for which the holders receive the principal amount of the security.</p>
MRGR	Merger	<p>Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.</p>

Code	Name	Definition
		<p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Merger</li> </ul> <p><b>Synonym (DTCC):</b> Merger</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Merger</li> </ul> <p><b>Comment:</b> Exchange of one company's security for another company's security, cash, or a combination of cash and securities.</p>
MTNG	SecuritiesHoldersMeeting	<p>Ordinary or annual or extraordinary or special general meeting.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/SecuritiesHoldersMeeting) is removed.</li> </ul>
NOOF	NonOfficialOffer	<p>Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/NonOfficialOffer</li> </ul> <p><b>Synonym (DTCC):</b> Tender Offer (Mini)</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/NonOfficialOffer</li> </ul> <p><b>Comment:</b> Identifies a tender offer event presented by a third party to shareholders of the target</p>

Code	Name	Definition
		company, in which the conditions of the offer (e.g., quantity sought) are less than the requirements established by market regulators. As such, regulatory approval is not required.
ODLT	OddLotSalePurchase	<p>Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/OddLotSalePurchase</li> </ul> <p><b>Synonym (DTCC):</b> Odd Lot Offer</p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/OddLotSalePurchase</li> </ul> <p><b>Comment:</b> Identifies a tender offer event made by the corporation or its agent to purchase/sell shares from/to odd-lot shareholders. An odd-lot shareholder typically refers to a holder of less than 100 shares of stock.</p>
OTHR	OtherEvent	<p>Other event, use only when no other event type applies, for example, a new event type.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/OtherEvent</li> </ul> <p>– <b>Market Practice Usage Rule:</b></p> <p>Identifies DTCC events that do not have ISO equivalent definition. Additional identifiers in the message will provide a one to one mapping for the DTCC event. Complete mapping matrix is available in DTCC Events Dictionary. Unknown event type will be created for the announcement</p>

Code	Name	Definition
		<p>not recognised by the validation service.</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/OtherEvent Synonym (DTCC): Unknown</li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/OtherEvent Synonym (DTCC): Reorganisation</li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/OtherEvent Synonym (DTCC): General Information</li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/OtherEvent Synonym (DTCC): Distribution</li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/OtherEvent Synonym (DTCC): Rights Plan Adoption</li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/OtherEvent <b>Comment:</b> Distribution: General distribution by the issuer that cannot be classified as a specific event. A distribution can be eligible for dividend reinvestment, also there is a sub category of such events as tax credit distribution.  Reorganization: A reorganization event announced by the issuer that cannot be classified as another event.  Rights Plan Adoption: General information provided by the issuer that should not result</li> </ul>

Code	Name	Definition
		in material changes to the security.
PARI	PariPassu	<p>Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/PariPassu) is removed.</li> </ul>
PCAL	PartialRedemptionWithoutPoolFactorReduction	<p>Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithoutPoolFactorReduction) is removed.</li> </ul>
PDEF	Prefunding	<p>Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Prefunding</li> </ul> <p><b>Synonym (DTCC):</b> Partial Defeasance</p>

Code	Name	Definition
		<ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Prefunding <b>Synonym (DTCC):</b> Partial Prerefunding</li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Prefunding <b>Synonym (DTCC):</b> Full Prerefunding</li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Prefunding <b>Comment:</b> Full Prerefunding: exercise of a privilege by the issuer to repay, in full, any debt security prior to maturity when the issuer deposits assets in trust. This irrevocably restricts their use to satisfaction of the debt. Partial Prerefunding: similar to a full prerefunding, a partial prerefunding is the exercise of a privilege by the issuer to repay, in part, any debt security prior to maturity when the issuer deposits assets in trust. This irrevocably restricts their use to satisfaction of the debt. New securities are issued for the portion prerefunded. Partial Defeasance: issuer sets aside cash in escrow to pay off a portion of the issue on the maturity date. New securities are issued for the portion defeased.</li> </ul>
PINK	PayInKind	<p>Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/PayInKind <b>Synonym (DTCC):</b> Pay In Kind</li> </ul>

Code	Name	Definition
		<ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/PayInKind</li> </ul> <p><b>Comment:</b> Income on interest-bearing securities where the payment is made in additional securities rather than cash.</p>
PLAC	PlaceOfIncorporation	<p>Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/PlaceOfIncorporation</li> </ul> <p><b>Synonym (DTCC):</b> Change (Domicile)</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/PlaceOfIncorporation</li> </ul> <p><b>Comment:</b> Identifies a change event where the issuer has changed the domicile of the corporation.</p>
PPMT	InstalmentCall	<p>Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/InstalmentCall) is removed.</li> </ul>
PRED	PartialRedemptionWithPoolFactorReduction	<p>Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.</p>



Code	Name	Definition
		<p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithPoolFactorReduction</li> </ul> <p><b>Synonym (DTCC):</b> Principal</p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithPoolFactorReduction</li> </ul> <p><b>Comment:</b> Cash payment that represents a reduction of the principal in the security</p>
PRI0	PriorityIssue	<p>Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/PriorityIssue) is removed.</li> </ul>
RCLA	Reclassification	<p>Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Reclassification</li> </ul> <p><b>Synonym (DTCC):</b> Tax Event</p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Reclassification</li> </ul> <p><b>Comment:</b></p>

Code	Name	Definition
		Used for US Tax Event 1042-S
REDM	FinalMaturity	<p>Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/FinalMaturity <b>Synonym (DTCC):</b> Redemption of Warrants</li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/FinalMaturity <b>Synonym (DTCC):</b> Maturity</li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/FinalMaturity <b>Comment:</b> Maturity: repayment, usually in cash, by an issuer for the entire issue, or remaining outstanding securities of a specific security on a specified date. Redemption of Warrants: issuer pays proceeds to holders on or after the expiration date of the warrant rather than expire the warrant for no cash (worthless).</li> </ul>
REDO	Redenomination	<p>Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Redenomination) is removed.</li> </ul>

Code	Name	Definition
REMK	RemarketingAgreement	<p>Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/RemarketingAgreement) is removed.</li> </ul>
RHDI	IntermediateSecuritiesDistribution	<p>Distribution of intermediate securities that gives the holder the right to take part in a future event.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/IntermediateSecuritiesDistribution</li> </ul> <p><b>Synonym (DTCC):</b> Rights Distribution</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/IntermediateSecuritiesDistribution</li> </ul> <p><b>Comment:</b> Securities distributed to common stock holders of a company that grant the option to purchase new or additional securities of the same company during a predetermined time period at a predetermined price. A Rights Distribution is accompanied by a corresponding Rights Subscription, which provides the details related to exercising the rights. In some cases, DTC will allocate rights that are not issued by the company to process a subscription offer.</p>
RHTS	RightsIssue	<p>Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.</p>

Code	Name	Definition
		<p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/RightsIssue) is removed.</li> </ul>
SHPR	SharesPremiumDividend	<p>Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/SharesPremiumDividend) is removed.</li> </ul>
SMAL	SmallestNegotiableUnit	<p>Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/SmallestNegotiableUnit) is removed.</li> </ul>
SOFF	SpinOff	<p>Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/SpinOff</li> </ul> <p><b>Synonym (DTCC): Spin-Off</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/SpinOff</li> </ul>

Code	Name	Definition
		<b>Comment:</b> Distribution of subsidiary securities to the shareholders of the parent company without a surrender of securities or payment. A spin-off represents a form of divestiture resulting in an independent company.
SPLF	StockSplit	<p>Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/StockSplit</li> </ul> <p><b>Synonym (DTCC):</b> Stock Split</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/StockSplit</li> </ul> <p><b>Comment:</b>  Increase in a company's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. The share price is normally reduced. Forward split events are included here.</p>
SPLR	ReverseStockSplit	<p>Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/ReverseStockSplit</li> </ul> <p><b>Synonym (DTCC):</b> Reverse Stock Split</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInforma-</li> </ul>

Code	Name	Definition
		<p><b>tion/EventType/Code/ReverseStockSplit</b></p> <p><b>Comment:</b> Exchange of a company's security for the same company's new security at a preset rate. This corporate action reduces the number of shares outstanding.</p>
SUSP	TradingStatusSuspended	<p>Trading in the security has been suspended.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/TradingStatusSuspended) is removed.</li> </ul>
TEND	Tender	<p>Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Tender <ul style="list-style-type: none"> <li>– Market Practice Implementation Rules:</li> </ul> <p>Used when the event types is Tender Offer and the offeror is a third party.</p> </li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Tender <p><b>Synonym (DTCC):</b> Tender Offer</p> </li> <li>• on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Tender</li> </ul> <p><b>Comment:</b> Offer made to security holders, normally by a third party, requesting them to sell (tender) their securities for a specified price (usually at a premium over prevailing market prices). Generally, the objective of a tender offer is</p>

Code	Name	Definition
		to take control of the target company.
TNDP	TaxOnNonDistributedProceeds	<p>Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/TaxOnNonDistributedProceeds</li> </ul> <p><b>Synonym (DTCC):</b> Tax Event</p>
TREC	TaxReclaim	<p>Event related to tax reclaim activities.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/TaxReclaim</li> </ul> <p><b>Synonym (DTCC):</b> Tax Refund</p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/TaxReclaim</li> </ul> <p><b>Comment:</b> Event that enables DTC to make a withholding tax refund, usually non U.S. dividends.</p>
WRTH	Worthless	<p>Booking out of valueless securities.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Worthless</li> </ul> <p><b>Synonym (DTCC):</b> Worthless</p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/Worthless</li> </ul> <p><b>Comment:</b></p>

Code	Name	Definition
		DTCC advising its participants that their positions in that security will be taken down. The announcement is created when DTC receives a formal letter advising that securities held are worthless.
WTRC	WithholdingTaxReliefCertification	<p>Certification process for withholding tax reduction or exemption based on the tax status of the holder.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionGeneralInformation/EventType/Code/WithholdingTaxReliefCertification) is removed.</li> </ul>

**Used in element(s)**

"Code" on page 111

**Restricts**

"CorporateActionEventTypeV7Code" on page 384

## 7.17 CorporateActionEventTypeV7Code

*Definition:* Specifies the corporate action event type.

*Type:* CodeSet

Code	Name	Definition
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own se-



Code	Name	Definition
		curities. The objective of the offer is to reduce the number of outstanding securities.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
CHAN	Change	Information regarding a change further described in the corporate action details.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CMET	CourtMeeting	Announcement of a meeting at a Court.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.

Code	Name	Definition
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CPST	CouponStripping	Coupon stripping is the process whereby interest coupons for future payment dates are separated from the security corpus that entitles the holder to the principal repayment.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DRCA	CashDistributionFromNonEligibleSecurities-Sales	Distribution to shareholders of cash resulting from the selling of non-eligible se-

Code	Name	Definition
		curities, for example, in the frame of a depositary receipt program.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
ERED	EarlyRedemption	<p>This includes drawing, partial and full call, put.</p> <p>Redemption in part or full before the scheduled final maturity date of a security, subject to the terms and conditions of the issue.</p> <p>Drawing - Securities are redeemed in part by lottery.</p> <p>Partial Call - Securities are redeemed in part by reducing proportionally the outstanding amount of securities.</p> <p>Put - Early redemption of a bond at the election of the bondholder.</p> <p>Full Call - The entire outstanding of a security is redeemed by the issuer.</p>
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either

Code	Name	Definition
		mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXOP	ExchangeOption	Event is an option for the shareholders to exchange their securities for other securities and/or cash. Exchange options are mentioned in the terms and conditions of a security and are valid during the whole lifetime of a security.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
MEET	AnnualGeneralMeeting	Meeting Annual general meeting.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an ex-

Code	Name	Definition
		ternal, third party company. Cash payments may accompany share exchange.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
NAME	NameChange	Event is a name change. The issuing company changes its name. The event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OMET	OrdinaryGeneralMeeting	Ordinary general meeting.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change,

Code	Name	Definition
		the holder(s) may have to elect the registrar.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PRII	InterestPaymentWithPrincipal	Event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
RMRK	Remarketing	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.

Code	Name	Definition
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
SUBS	Subscription	Ability for security holders to purchase (additional or new) securities at a certain price, in proportion to their holding.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
TREC	TaxReclaim	Event related to tax reclaim activities.
WRTH	Worthless	Booking out of valueless securities.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
XMET	ExtraordinaryGeneralMeeting	Extraordinary or Special General Meeting. Extraordinary or special general meeting.

Is restricted by

["CorporateActionEventType37Code" on page 350](#)

## 7.18 CorporateActionOption12Code

*Definition:* Specifies the type of corporate action options.

*Type:* CodeSet

Code	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting.

Code	Name	Definition
		<p>If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Code/Abstain) is removed.</li> </ul>
BSPL	BonusSharePlan	<p>Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Code/BonusSharePlan) is removed.</li> </ul>
BUYA	BuyUp	<p>Buy additional securities to round up position.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Code/BuyUp) is removed.</li> </ul>
CASE	CashAndSecurity	<p>Option to choose between different security and cash options.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Code/CashAndSecurity</li> </ul> <p><b>Synonym (DTCC):</b> Cash and Securities</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/Op-</li> </ul>



Code	Name	Definition
		<b>tionType/Code/CashAndSecurity</b> <b>Comment:</b> Holder to receive a combination of cash and securities.
CASH	Cash	Option to choose cash.  <b>Usage Guideline restrictions for this code</b> <ul style="list-style-type: none"> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Cash  <b>Synonym (DTCC):</b> Cash</li> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Cash  <b>Synonym (DTCC):</b> Foreign Tax Favourable</li> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Cash  <b>Synonym (DTCC):</b> Foreign Tax Unfavourable</li> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Cash  <b>Synonym (DTCC):</b> Foreign Currency Payment Favourable</li> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Cash  <b>Synonym (DTCC):</b> Foreign Currency Payment</li> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Cash  <b>Synonym (DTCC):</b> Foreign Currency Payment Exempt</li> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Cash  <b>Synonym (DTCC):</b> Foreign Tax Exempt</li> </ul>

Code	Name	Definition
		<ul style="list-style-type: none"> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Cash</li> </ul> <p><b>Synonym (DTCC):</b> Foreign Currency Payment Unfavourable</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Cash</li> </ul> <p><b>Comment:</b></p> <p>Cash: holder to receive cash.</p> <p>Foreign Tax Un-favourable/Favourable/Exempt: DTC only option. The event and security are eligible for Foreign Tax Relief service at DTC. Option to select Un-favourable/Favourable/Exempt respectively for this service.</p> <p>Foreign Currency Payment: DTC only option. The event and security are eligible for Foreign Currency Payment service at DTC. Participant will receive their distribution in the foreign currency instructed.</p> <p>Foreign Currency Payment Un-favourable/Favourable/Exempt: The event and security are eligible for Foreign Currency Payment service at DTC. Participant will receive their distribution in the foreign currency and the tax withholding on that distribution will be subject to Un-favourable/Favourable/Exempt tax withholding respectively.</p>
CEXC	ConsentAndExchange	<p>Vote to consent to change the terms of the securities agreement and to exchange securities.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/ConsentAndExchange</li> </ul> <p><b>Synonym (DTCC):</b> Consent and Exchange</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/Op-</li> </ul>

Code	Name	Definition
		<b>tionType/Code/ConsentAndExchange</b>  <b>Comment:</b> Holder to submit securities for exchange in addition to voting to approve the proposal.
CONN	ConsentDenied	Vote not to approve the event or proposal.  <b>Usage Guideline restrictions for this code</b> <ul style="list-style-type: none"> <li>on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/ConsentDenied</li> </ul> <b>Synonym (DTCC):</b> Consent Denied <ul style="list-style-type: none"> <li>on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/ConsentDenied</li> </ul> <b>Comment:</b> Holder does not approve the proposal
CONY	ConsentGranted	Vote to approve the event or proposal.  <b>Usage Guideline restrictions for this code</b> <ul style="list-style-type: none"> <li>on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/ConsentGranted</li> </ul> <b>Synonym (DTCC):</b> Consent Granted <ul style="list-style-type: none"> <li>on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/ConsentGranted</li> </ul> <b>Comment:</b> Holder to approve the proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.  <b>Usage Guideline restrictions for this code</b> <ul style="list-style-type: none"> <li>on seev.036.001.17/Corporate-ActionConfirmationDetails/Op-</li> </ul>

Code	Name	Definition
		<p><b>tionType/Code/ConsentAnd-Tender</b></p> <p><b>Synonym (DTCC):</b> Consent and Tender</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/ConsentAnd-Tender</li> </ul> <p><b>Comment:</b> Holder to submit securities for tender in addition to voting to approve the proposal.</p>
EXER	Exercise	<p>Exercise intermediate securities or warrants.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Exercise <ul style="list-style-type: none"> <li>– Market Practice Implementation Rule:</li> </ul> <p>Used for Subscribe (Rights) or Exercise (Warrants, etc) option types.</p> </li> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Exercise <p><b>Synonym (DTCC):</b> Subscribe</p> </li> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Exercise <p><b>Synonym (DTCC):</b> Exercise</p> </li> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Exercise <p><b>Comment:</b> Exercise: holder to exercise the intermediate security or warrant. Subscribe: holder to exercise their right to subscribe to additional securities based upon the existing position.</p> </li> </ul>
LAPS	Lapse	Allow event or entitled security to expire.

Code	Name	Definition
		<p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Code/Lapse</li> </ul> <p><b>Synonym (DTCC):</b> Lapse</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Code/Lapse</li> </ul> <p><b>Comment:</b> Holder does take any action and allows the rights associated to the security to become null and void.</p>
MKDW	MarkDown	<p>Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Code/MarkDown) is removed.</li> </ul>
MKUP	MarkUp	<p>Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Code/MarkUp) is removed.</li> </ul>
MPUT	Retain	<p>Option that allows a holder to elect to retain their holding, for example, a putable bond.</p>

Code	Name	Definition
		<p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Code/Retain</li> </ul> <p><b>Synonym (DTCC):</b> Retain</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Code/Retain</li> </ul> <p><b>Comment:</b> Holder to keep/retain their existing security rather than receiving cash or a new security.</p>
NOAC	NoAction	<p>Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Code/NoAction) is removed.</li> </ul>
NOQU	NonQualifiedInvestor	<p>Account owner is a non-qualified investor.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Code/NonQualifiedInvestor) is removed.</li> </ul>
OFFR	ProposedRate	<p>In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/Op-</li> </ul>

Code	Name	Definition
		tionType/Code/ProposedRate) is removed.
OTHR	Other	<p>Generic corporate action option to be used in case that no other specific code is appropriate.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Other <ul style="list-style-type: none"> <li><b>Market Practice Usage Rule:</b> <p>Identifies option type that does not have ISO equivalent.</p> </li> </ul> </li> <li>on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Other <p><b>Synonym (DTCC):</b> Other</p> </li> <li>on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Other <p><b>Comment:</b> Applies when a specific ISO option code cannot be used.</p> </li> </ul>
OVER	Oversubscribe	<p>Subscribe to more equities than underlying securities position allows.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Oversubscribe <p><b>Synonym (DTCC):</b> Subscribe and Oversubscribe</p> </li> <li>on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Oversubscribe <p><b>Comment:</b> Holder to subscribe to more securities than underlying securities position allows.</p> </li> </ul>

Code	Name	Definition
PRUN	UnknownProceeds	<p>Proceeds not known during election period. The option can result in cash and/or securities.</p> <hr/> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Code/UnknownProceeds</li> </ul> <p><b>Synonym (DTCC):</b> Convert</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Code/UnknownProceeds</li> </ul> <p><b>Comment:</b> Holder elects to convert security into a new security, cash or combination of cash and securities, without knowledge of the actual outcome.</p>
QINV	QualifiedInvestor	<p>Account owner is a qualified investor.</p> <hr/> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Code/QualifiedInvestor) is removed.</li> </ul>
SECU	Security	<p>Distribution of securities to holders.</p> <hr/> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Code/Security</li> </ul> <p><b>Synonym (DTCC):</b> DRIP Unfavorable</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/OptionType/Code/Security</li> </ul> <p><b>Synonym (DTCC):</b> Securities</p>



Code	Name	Definition
		<ul style="list-style-type: none"> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Security Synonym (DTCC): DRIP Exempt</li> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Security Synonym (DTCC): DRIP</li> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Security Synonym (DTCC): DRIP Favorable</li> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/Security <b>Comment:</b>  Securities: holder to receive securities.  DRIP: holder to receive additional securities instead of the cash distribution. Option may be declared by the issuer or a service offered by DTC.  DRIP Unfavourable: DTC only option. The event or security are eligible for both Dividend Reinvestment and Foreign Tax Services at DTC. Participants will receive additional shares and the tax withholding on those shares will be at unfavourable rate.  DRIP Favourable: DTC only option. The event or security are eligible for both Dividend Reinvestment and Foreign Tax Services at DTC. Participants will receive additional shares and the tax withholding on those shares will be at Favourable rate.  DRIP Exempt: DTC only option. The event or security are eligible for both Dividend Reinvestment and Foreign Tax Services at DTC. Participants will receive additional shares and the tax withholding on those shares will be at exempt rate.</li> </ul>

Code	Name	Definition
SLLE	SellEntitlement	<p>Sell the intermediate securities.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/SellEntitlement</li> </ul> <p><b>Synonym (DTCC):</b> Sell</p> <ul style="list-style-type: none"> <li>on seev.036.001.17/Corporate-ActionConfirmationDetails/OptionType/Code/SellEntitlement</li> </ul> <p><b>Comment:</b> Holder to sell their security.</p>

**Used in element(s)**

"Code" on page 124

**Restricts**

"CorporateActionOptionCode" on page 402

## 7.19 CorporateActionOptionCode

*Definition:* Specifies the type of corporate action options offered to the account holder for a corporate action.

*Type:* CodeSet

Code	Name	Definition
ABST	Abstain	<p>Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting.</p> <p>If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.</p>
AMGT	VoteAgainstManagement	Vote against management.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.

Code	Name	Definition
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MNGT	VoteWithManagement	Vote with management.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
PROX	ProxyCard	Issue a proxy card in case of voting.

Code	Name	Definition
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, for example, a split voting instruction on a meeting.
TAXI	TaxInstruction	Tax instruction.

**Is restricted by**

"CorporateActionOption12Code" on page 391

## 7.20 CountryCode

*Definition:* Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Type:* CodeSet

### Format

pattern [A-Z]{2,2}

### Rules

#### R25 Country ✓

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

### Used in element(s)

"Country" on page 301, "Country" on page 299, "Country" on page 222, "Country" on page 31, "Country" on page 235, "CountryOfIncomeSource" on page 310, "CountryOfIncomeSource" on page 55

## 7.21 CreditDebitCode

*Definition:* Specifies if an operation is an increase or a decrease.

*Type:* CodeSet

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

**Used in element(s)**

["CreditDebitIndicator" on page 307](#), ["CreditDebitIndicator" on page 52](#)

**Restricts**

["AmountDirectionCode" on page 342](#)

## 7.22 DTI2024Identifier

*Definition:* The Digital Token Identifier (DTI) is an 8 character code assigned to fungible digital assets which uses distributed ledger technology for its issuance, storage, exchange, record of ownership or transaction validation and is not a currency (ISO 4217) as described in ISO 24165 - DTI. A check character, using the same character set as the base number, shall be calculated and added.

*Type:* IdentifierSet

**Format**

pattern [1-9B-DF-HJ-NP-TV-XZ][0-9B-DF-HJ-NP-TV-XZ]{8,8}

**Used in element(s)**

["DigitalLedgerIdentification" on page 301](#), ["DigitalLedgerIdentification" on page 299](#), ["DigitalLedgerIdentification" on page 222](#)

## 7.23 DateType8Code

*Definition:* Specifies the type of dates.

*Type:* CodeSet

Code	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".
UKWN	Unknown	Date is unknown by the sender or has not been established.

**Used in element(s)**

["Code" on page 142](#), ["Code" on page 142](#)

**Restricts**

["DateTypeCode" on page 405](#)

## 7.24 DateTypeCode

*Definition:* Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

*Type:* CodeSet

Code	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".
OPEN	Open	Open-dated, which indicates that the date has not been established.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
PPYD	BlockingTillPremiumPaymentDate	Unblocking will occur on payment date of the premium.
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
UKWN	Unknown	Date is unknown by the sender or has not been established.
VARI	Various	Partial trades have occurred over a period of two or more days.

**Is restricted by**

"DateType8Code" on page 405

## 7.25 DeemedRateType1Code

*Definition:* Specifies the type of a deemed rate.

*Type:* CodeSet

Code	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.

Code	Name	Definition
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

**Used in element(s)**

["Code" on page 146](#)

**Restricts**

["DeemedRateTypeCode" on page 407](#)

## 7.26 DeemedRateTypeCode

*Definition:* Specifies the type of a deemed rate.

*Type:* CodeSet

Code	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

**Is restricted by**

["DeemedRateType1Code" on page 406](#)

## 7.27 DividendRateType1Code

*Definition:* Specifies the type of dividend rate.

*Type:* CodeSet

Code	Name	Definition
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

**Used in element(s)**

["Code" on page 278](#)

**Restricts**

["DividendRateTypeCode" on page 407](#)

## 7.28 DividendRateTypeCode

*Definition:* Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ConduitForeignIncome	Rate relating to a conduit foreign income type.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
STCG	ShortTermCapitalGain	Short term capital gain.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Is restricted by

"DividendRateType1Code" on page 407

## 7.29 DividendRateTypeV2Code

*Definition:* Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.



Code	Name	Definition
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
STCG	ShortTermCapitalGain	Short term capital gain.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

**Is restricted by**

"GrossDividendRateType6Code" on page 414, "GrossDividendRateType7Code" on page 415, "NetDividendRateType6Code" on page 435, "NetDividendRateType7Code" on page 435

## 7.30 Exact3NumericText

*Definition:* Specifies a numeric string with an exact length of 3 digits.

*Type:* Text

**Format**

pattern [0-9]{3}

**Used in element(s)**

"Number" on page 212, "ShortNumber" on page 152

## 7.31 Exact4AlphaNumericText

*Definition:* Specifies an alphanumeric string with a length of 4 characters.

*Type:* Text

**Format**

pattern	[a-zA-Z0-9]{4}
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**Used in element(s)**

"Identification" on page 175, "Identification" on page 174, "QuantityType" on page 248, "QuantityType" on page 245

## 7.32 ExternalFinancialInstrument-IdentificationType1Code

*Definition:* Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

maxLength	4
minLength	1

**Used in element(s)**

"Code" on page 195

**Restricts**

"ExternalFinancialInstrumentIdentificationTypeCode" on page 410

## 7.33 ExternalFinancialInstrument-IdentificationTypeCode

*Definition:* Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

Type: CodeSet

#### Format

maxLength	4
minLength	1

#### Is restricted by

"ExternalFinancialInstrumentIdentificationType1Code" on page 410

## 7.34 FractionDispositionType11Code

*Definition:* Indicates that fractional value should be retained; no rounding.

Type: CodeSet

Code	Name	Definition
BUYU	BuyUp	<p>Buy securities up to next whole number.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>This code(seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/BuyUp) is removed.</li> </ul>
CINL	CashInLieuOfFraction	<p>Fractional part of cash. Take cash in lieu of fractions.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/CashInLieuOfFraction Synonym (DTCC): BC</li> <li>on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/CashInLieuOfFraction Synonym (DTCC): CL</li> <li>on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/Frac-</li> </ul>

Code	Name	Definition
		<b>tionDisposition/Code/CashIn-LieuOfFraction</b>  <b>Comment:</b> Cash in Lieu of Fractional Share (CL) Beneficial Owner Cash in Lieu (BC)
DIST	IssueFraction	Take distribution of fractions in the form of securities.  <b>Usage Guideline restrictions for this code</b> <ul style="list-style-type: none"> <li>on seev.036.001.17/Corporate-ActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/Issue-Fraction   Synonym (DTCC): EX</li> <li>on seev.036.001.17/Corporate-ActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/Issue-Fraction   <b>Comment:</b>  llocate Fractional Share (EX) = DIST</li> </ul>
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.  <b>Usage Guideline restrictions for this code</b> <ul style="list-style-type: none"> <li>on seev.036.001.17/Corporate-ActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/Round-Down   Synonym (DTCC): RD</li> <li>on seev.036.001.17/Corporate-ActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/Round-Down   Synonym (DTCC): DF</li> </ul>

Code	Name	Definition
RDUP	RoundUp	<p>Round up to the next full unit/minimum nominal quantity at no cost.</p> <hr/> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/RoundUp</li> </ul> <p><b>Synonym (DTCC):</b> BU</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/RoundUp</li> </ul> <p><b>Comment:</b> Beneficial Owner Round Up (BU) = RDUP</p>
STAN	RoundToNearest	<p>If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.</p> <hr/> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/Round-ToNearest</li> </ul> <p><b>Synonym (DTCC):</b> NW</p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/Corporate-ActionConfirmationDetails/SecuritiesMovementDetails/FractionDisposition/Code/Round-ToNearest</li> </ul> <p><b>Comment:</b> Round to Nearest Whole Number if 0.5 or above (NW)</p>

**Used in element(s)**

"Code" on page 173

**Restricts**

"FractionDispositionTypeV2Code" on page 414

## 7.35 FractionDispositionTypeV2Code

*Definition:* Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

*Type:* CodeSet

Code	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
EXPI	Expire	Let fractions expire worthless.
FULL	Full	Pre-allocate shares only if fully dealt.
NOFR	NoFraction	No fractional shares are allowed.
PROR	ProRate	In case of a partial fill, pro-rate the allocations.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
RETA	Retain	Indicates that fractional value should be retained; no rounding.
SPEC	Specific	Pre-allocate according to the amounts shown in a linked allocation instruction.
SSTD	Standard	Indicates that if the fraction is greater than or equal to 0.5 of the value should be rounded up; otherwise rounded down.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
TALK	DiscussFirst	Do not pro-rate; discuss first.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

**Is restricted by**

"FractionDispositionType11Code" on page 411

## 7.36 GrossDividendRateType6Code

*Definition:* Specifies the type of dividend rate.

*Type:* CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
STCG	ShortTermCapitalGain	Short term capital gain.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

**Used in element(s)**

"Code" on page 280

**Restricts**

"DividendRateTypeV2Code" on page 408

## 7.37 GrossDividendRateType7Code

*Definition:* Specifies the type of dividend rate.

*Type:* CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.

Code	Name	Definition
		<p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/CapitalPortion</li> <li>– Market Practice Implementation Rule:</li> </ul> <p>Used for Return of Capital or Capital Gains Payout type.</p>
CDFI	ForeignIncome	<p>Rate relating to a foreign income type such as a conduit foreign income.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/ForeignIncome) is removed.</li> </ul>
FLFR	FullyFranked	<p>Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/FullyFranked) is removed.</li> </ul>
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.



Code	Name	Definition
		<p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/FullPeriodUnitsIncomePortion) is removed.</li> </ul>
INCO	IncomePortion	<p>Rate relating to the underlying security for which income is distributed.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/IncomePortion) is removed.</li> </ul>
INTR	Interest	<p>Rate relating to the underlying security for which interest is paid.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/Interest) is removed.</li> </ul>
LTCG	LongTermCapitalGain	<p>Long term capital gain.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAn-</li> </ul>

Code	Name	Definition
		<b>dRateStatus/RateType/Code/LongTermCapitalGain</b>  <b>– Market Practice Implementation Rule:</b>  Used for Long Term Capital Gains Payout type.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.  <b>Usage Guideline restrictions for this code</b>  <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/PartWayPeriodUnitsIncomePortion) is removed.</li> </ul>
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.  <b>Usage Guideline restrictions for this code</b>  <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/RealEstatePropertyIncomePortion) is removed.</li> </ul>
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.  <b>Usage Guideline restrictions for this code</b>  <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/</li> </ul>

Code	Name	Definition
		<b>SundryOrOtherIncome) is removed.</b>
STCG	ShortTermCapitalGain	<p>Short term capital gain.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/ShortTermCapitalGain</li> <li>– Market Practice Implementation Rule: Used for Short Term Capital Gains Payout type.</li> </ul>
TXBL	TaxablePortion	<p>Rate relating to the underlying security for which tax is charged.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/TaxablePortion) is removed.</li> </ul>
TXDF	TaxDeferred	<p>Rate relating to the underlying security for which tax is deferred.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/TaxDeferred) is removed.</li> </ul>

Code	Name	Definition
TXFR	TaxFree	<p>Rate relating to the underlying security which is not taxable.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/TaxFree) is removed.</li> </ul>
UNFR	Unfranked	<p>Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/Unfranked) is removed.</li> </ul>

**Used in element(s)**

"Code" on page 282

**Restricts**

"DividendRateTypeV2Code" on page 408

## 7.38 IBAN2007Identifier

*Definition:* The International Bank Account Number is a code used internationally by financial institutions to uniquely identify the account of a customer at a financial institution as described in the 2007 edition of the ISO 13616 standard "Banking and related financial services - International Bank Account Number (IBAN)" and replaced by the more recent edition of the standard.

*Type:* IdentifierSet

**Format**

pattern [A-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}

**Rules****R55 IBAN ✓**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00003
- *Error Text:* Invalid IBAN format or invalid check digits.

**Used in element(s)**

"IBAN" on page 50, "IBAN" on page 49

## 7.39 ISINOct2015Identifier

*Definition:* The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

*Type:* IdentifierSet

**Format**

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

**Used in element(s)**

"ISIN" on page 320

## 7.40 ISO20022MessageldentificationText

*Definition:* ISO 20022 Message identifier of an MX message.

*Type:* Text

**Format**

pattern [a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}

**Used in element(s)**

"LongNumber" on page 152

## 7.41 ISODate

*Definition:* A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

*Type:* Date

**Used in element(s)**

"Date" on page 143, "Date" on page 141, "EarliestPaymentDate" on page 107, "PaymentDate" on page 107, "ValueDate" on page 106

## 7.42 ISODateTime

*Definition:* A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

*Type:* DateTime

**Used in element(s)**

"DateTime" on page 141

## 7.43 InterestRateTypeCode

*Definition:* Specifies the type of interest rate.

*Type:* CodeSet

Code	Name	Definition
CAPP	FloatingRateUnderlyingExposureWithCap	Interest rate type is Floating rate underlying exposure with cap.
DISC	Discount	Interest rate type is Discount.
FINX	FloatingLinkedToIndex	Interest rate type is Floating rate underlying exposure linked to one index that will revert to another index in the future.
FIXE	Fixed	Rate is fixed.
FLCA	FloatingRateUnderlyingExposureWithFloor-AndCap	Interest rate type is Floating rate underlying exposure with both floor and cap.

Code	Name	Definition
FLCF	FixedRateUnderlyingExposureWithCompulsory-FutureSwitchToFloating	Interest rate type is Fixed rate underlying exposure with compulsory future switch to floating.
FLFL	FloatingRateUnderlyingExposureWithFloor	Interest rate type is Floating rate underlying exposure with floor.
FLIF	FloatingRateUnderlyingExposureForLife	Interest rate type is Floating rate underlying exposure (for life).
FORF	Forfeit	No specific repurchase rate applies to the transaction Repo, only a forfeit.
FXPR	FixedWithFuturePeriodicResets	Interest rate type is Fixed with future periodic resets.
FXRL	FixedRateUnderlyingExposureForLife	Interest rate type is Fixed rate underlying exposure (for life).
MODE	Modular	Interest rate type is Modular.
OBLS	ObligorSwapped	Interest rate type is Obligor Swapped.
OTHR	Other	Any other type of interest rate that can be applied.
SCHD	Scheduled	Rate of the scheduled payment.
SWIC	SwichOptionality	Interest rate type is Switch Optionality.
USCD	Unscheduled	Rate of the unscheduled payment.
VARI	Variable	Rate is variable.

Is restricted by

"RateType7Code" on page 448

## 7.44 IntermediateSecurityDistributionType6Code

*Definition:* Specifies the type of intermediate security distribution.

*Type:* CodeSet

Code	Name	Definition
BIDS	ReverseRights	<p>Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/ CorporateActionDetails/FollowingEventTypeIdIndicator/Code/ ReverseRights) is removed.</li> </ul>

Code	Name	Definition
BONU	BonusRights	<p>Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/BonusRights) is removed.</li> </ul>
DRIP	DividendReinvestment	<p>Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/DividendReinvestment) is removed.</li> </ul>
DVCA	CashDividend	<p>Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/CashDividend) is removed.</li> </ul>
DVOP	DividendOption	<p>Distribution of dividend option.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionDetails/FollowingEvent-</li> </ul>



Code	Name	Definition
		<b>TypeIndicator/Code/DividendOption) is removed.</b>
DVSC	ScripDividendOrPayment	<p>Dividend or interest paid in the form of scrip.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/ScripDividendOrPayment) is removed.</li> </ul>
DVSE	StockDividend	<p>Dividend paid to shareholders in the form of equities of the issuing corporation.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/StockDividend) is removed.</li> </ul>
EXOF	Exchange	<p>Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/Exchange) is removed.</li> </ul>
EXRI	SubscriptionRights	<p>Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.</p>

Code	Name	Definition
		<p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/SubscriptionRights</li> <li>DTCC RHDI Event Type Rule: <p>To support ISO cross element rule when Event Type code is RHDI, this code will be used.</p> </li> </ul>
INTR	InterestPayment	<p>Interest payment distributed to holders of an interest bearing asset.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>This code(seev.036.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/InterestPayment) is removed.</li> </ul>
LIQU	LiquidationDividendOrPayment	<p>Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>This code(seev.036.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/LiquidationDividendOrPayment) is removed.</li> </ul>
MRGR	Merger	<p>Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>This code(seev.036.001.17/CorporateActionDetails/FollowingEvent-</li> </ul>

Code	Name	Definition
		<b>TypeIndicator/Code/Merger) is removed.</b>
PRIO	OpenOfferRights	<p>Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/OpenOffer-Rights) is removed.</li> </ul>
SOFF	SpinOff	<p>Distribution of subsidiary stock to the shareholders.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/SpinOff) is removed.</li> </ul>
SPLF	StockSplit	<p>Also known as change in nominal value or subdivision.</p> <p>Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/StockSplit) is removed.</li> </ul>

Code	Name	Definition
UKWN	Unknown	<p>Intermediate securities are distributed before the use of them has been established.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/Unknown) is removed.</li> </ul>

**Used in element(s)**

"Code" on page 200

**Restricts**

"IntermediateSecurityDistributionTypeV2Code" on page 428

## 7.45 IntermediateSecurityDistributionTypeV2Code

*Definition:* Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".

*Type:* CodeSet

Code	Name	Definition
BIDS	ReverseRights	Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
BONU	BonusRights	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.

Code	Name	Definition
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXRI	SubscriptionRights	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
PRIO	OpenOfferRights	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
SOFF	SpinOff	Distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision.  Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
UKWN	Unknown	Intermediate securities are distributed before the use of them has been established.

Is restricted by

"IntermediateSecurityDistributionType6Code" on page 423

## 7.46 IssuerTaxability2Code

*Definition:* Specifies whether the corporate action proceeds are taxable at issuer level.

*Type:* CodeSet

Code	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

**Used in element(s)**

["Code" on page 201](#)

**Restricts**

["IssuerTaxabilityV2Code" on page 430](#)

## 7.47 IssuerTaxabilityV2Code

*Definition:* Specifies whether the corporate action proceeds are taxable at issuer level.

*Type:* CodeSet

Code	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

**Is restricted by**

["IssuerTaxability2Code" on page 430](#)

## 7.48 LotteryType1Code

*Definition:* Specifies the type of lottery.

*Type:* CodeSet

Code	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

**Used in element(s)**

["Code" on page 202](#)

**Restricts**

["LotteryTypeCode" on page 430](#)

## 7.49 LotteryTypeCode

*Definition:* Specifies the type of lottery.

Type: CodeSet

Code	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

Is restricted by

["LotteryType1Code" on page 430](#)

## 7.50 MICIdentifier

*Definition:* Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}

Used in element(s)

["MarketIdentifierCode" on page 203](#)

## 7.51 MarketType2Code

*Definition:* Specifies the type of market in which transactions take place, for example, primary.

Type: CodeSet

Code	Name	Definition
EXCH	StockExchange	The place is a stock exchange.
OTCO	OverTheCounter	The place is over the counter.
PRIM	PrimaryMarket	The place is a primary market.
SECM	SecondaryMarket	The place is a secondary market.
VARI	Various	Various places.

Used in element(s)

["Code" on page 205](#)

Restricts

["MarketTypeCode" on page 431](#)

## 7.52 MarketTypeCode

**Definition:** Specifies the type of place where a trade was executed, a price was sourced from, an instrument is listed.

**Type:** CodeSet

Code	Name	Definition
COUN	Counter	Specified type of market is counter market.
EXCH	StockExchange	The place is a stock exchange.
FOUM	FourthMarket	The place is a fourth market.
FUND	Fund	The place is a fund (transfer agent, fund itself, etc.).
INBA	InterBank	Specified type of market is inter bank market.
LMAR	LocalMarket	The place is a local market.
OTCO	OverTheCounter	The place is over the counter.
PRIM	PrimaryMarket	The place is a primary market.
SCAS	SmartContractAutomatedPricingSystem	Source of price quotation is a smart contract automated pricing system.
SECM	SecondaryMarket	The place is a secondary market.
THEO	Theoretical	The place is theoretical.
THIM	ThirdMarket	The place is a third market.
VARI	Various	Various places.
VEND	Vendor	The place is a vendor.

**Is restricted by**

"MarketType2Code" on page 431

## 7.53 Max140Text

**Definition:** Specifies a character string with a maximum length of 140 characters.

**Type:** Text

**Format**

maxLength	140
minLength	1

**Used in element(s)**

"Identification" on page 48

## 7.54 Max16Text

**Definition:** Specifies a character string with a maximum length of 16 characters.

**Type:** Text



**Format**

maxLength	16
minLength	1

**Used in element(s)**

"BuildingNumber" on page 234, "PostCode" on page 234, "Suffix" on page 216

## 7.55 Max30DecimalNumber

*Definition:* Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

*Type:* Quantity

**Format**

fractionDigits	29
totalDigits	30

**Used in element(s)**

"DigitalTokenUnit" on page 171

## 7.56 Max350Text

*Definition:* Specifies a character string with a maximum length of 350 characters.

*Type:* Text

**Format**

maxLength	350
minLength	1

**Used in element(s)**

"PlaceAndName" on page 329

## 7.57 Max35Text

*Definition:* Specifies a character string with a maximum length of 35 characters.

*Type:* Text

**Format**

maxLength	35
minLength	1

**Used in element(s)**

["BeneficialOwnerReference" on page 331](#), ["CountrySubDivision" on page 235](#), ["Issuer" on page 174](#), ["SchemeName" on page 174](#), ["TaxReclaimDocumentationReference" on page 331](#), ["TaxVoucherReference" on page 330](#), ["TownName" on page 235](#)

## 7.58 Max4AlphaNumericText

*Definition:* Specifies an alphanumeric string with a maximum length of 4 characters.

*Type:* Text

**Format**

maxLength	4
minLength	1
pattern	[a-zA-Z0-9]{1,4}

**Used in element(s)**

["Issuer" on page 175](#), ["Issuer" on page 187](#), ["Issuer" on page 189](#), ["Issuer" on page 248](#), ["Issuer" on page 245](#), ["SchemeName" on page 180](#), ["SchemeName" on page 187](#), ["SchemeName" on page 190](#), ["SchemeName" on page 249](#), ["SchemeName" on page 245](#)

## 7.59 Max5NumericText

*Definition:* Specifies a numeric string with a maximum length of 5 digits.

*Type:* Text

**Format**

pattern	[0-9]{1,5}
---------	------------

**Used in element(s)**

["PageNumber" on page 217](#)

## 7.60 Max70Text

*Definition:* Specifies a character string with a maximum length of 70characters.

*Type:* Text

**Format**

maxLength	70
minLength	1

**Used in element(s)**

["AddressLine" on page 234](#), ["Name" on page 48](#), ["StreetName" on page 234](#)

## 7.61 NetDividendRateType6Code

*Definition:* Specifies the type of dividend rate.

*Type:* CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

**Used in element(s)**

["Code" on page 281](#)

**Restricts**

["DividendRateTypeV2Code" on page 408](#)

## 7.62 NetDividendRateType7Code

*Definition:* Specifies the type of dividend rate.

*Type:* CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.

Code	Name	Definition
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

**Used in element(s)**

"Code" on page 283

**Restricts**

"DividendRateTypeV2Code" on page 408

## 7.63 NewSecuritiesIssuanceType6Code

*Definition:* Specifies the type of securities proceeds; whether they are defeased or non-defeased.

*Type:* CodeSet

Code	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.

Code	Name	Definition
		<p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/DefeasedSecurityIndicator</li> </ul> <p><b>Synonym (DTCC):</b> Defeased Indicator</p>
NDEF	NonDefeasedSecurityIndicator	<p>Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/NonDefeasedSecurityIndicator</li> </ul> <p><b>Synonym (DTCC):</b> Defeased Indicator</p>
NREF	NonRefundedSecurityIndicator	<p>New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/NonRefundedSecurityIndicator</li> </ul> <p><b>Synonym (DTCC):</b> Refunded Indicator</p>

Code	Name	Definition
REFU	RefundedSecurityIndicator	<p>New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionConfirmationDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/RefundedSecurityIndicator</li> </ul> <p><b>Synonym (DTCC):</b> Refunded Indicator</p>

**Used in element(s)**

"NewSecuritiesIssuanceIndicator" on page 308

**Restricts**

"NewSecuritiesIssuanceTypeCode" on page 438

## 7.64 NewSecuritiesIssuanceTypeCode

*Definition:* Specifies the type of securities proceeds; whether they are newly issued or not, defeased or non-defeased.

*Type:* CodeSet

Code	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
NEIS	NewIssue	Securities proceeds are newly issued.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.

Code	Name	Definition
REFU	RefundedSecurityIndicator	New security issued that has been re-funded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.

Is restricted by

"NewSecuritiesIssuanceType6Code" on page 436

## 7.65 OptionFeatures14Code

*Definition:* Specifies the feature of an option.

*Type:* CodeSet

Code	Name	Definition
COND	Conditional	<p>Feature whereby the holder can elect to place a condition on the acceptance of the option.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/OptionFeatures/Code/Conditional) is removed.</li> </ul>
MAXC	MaximumCash	<p>Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/OptionFeatures/Code/MaximumCash) is removed.</li> </ul>
MAXS	MaximumSecurities	<p>Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/Op-</li> </ul>

Code	Name	Definition
		tionFeatures/Code/MaximumSecurities) is removed.
OPLF	OddLotPreference	<p>Tender or exchange with the odd lot preference.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/OptionFeatures/Code/OddLotPreference) is removed.</li> </ul>
PROR	Proration	<p>Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.036.001.17/CorporateActionConfirmationDetails/OptionFeatures/Code/Proration</li> </ul> <p><b>Comment:</b> For Reorganization Events only</p>
RGRS	GrossDividendReinvestment	<p>Gross dividend is reinvested.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/OptionFeatures/Code/GrossDividendReinvestment) is removed.</li> </ul>
RNET	NetDividendReinvestment	<p>Dividend reinvestment is processed net of withholding tax.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/OptionFeatures/Code/NetDividendReinvestment) is removed.</li> </ul>



Code	Name	Definition
VVPR	ReducedWithholdingTax	<p>Reduced withholding tax rate applies to the option.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.036.001.17/CorporateActionConfirmationDetails/OptionFeatures/Code/ReducedWithholdingTax) is removed.</li> </ul>

**Used in element(s)**

"Code" on page 211

**Restricts**

"OptionFeaturesCode" on page 441

## 7.66 OptionFeaturesCode

*Definition:* Specifies the features that may apply to a corporate action option.

*Type:* CodeSet

Code	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).

Code	Name	Definition
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
QOVE	OverAndAbove	Feature whereby the holder can elect a quantity to receive over and above normal ensured entitlement.
QREC	QuantityToReceive	Feature whereby the holder can elect a quantity to receive.
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.

**Is restricted by**

"OptionFeatures14Code" on page 439

## 7.67 OptionNumber1Code

*Definition:* Code identifying special corporate action option numbers.

*Type:* CodeSet

Code	Name	Definition
UNSO	Unsolicited	The corporate action instruction is unsolicited, that is, the instruction has not been preceded by a corporate action notification.

**Used in element(s)**

"Code" on page 212

**Restricts**

"OptionNumberCode" on page 442

## 7.68 OptionNumberCode

*Definition:* Code identifying special corporate action option numbers.

*Type:* CodeSet

Code	Name	Definition
UNSO	Unsolicited	The corporate action instruction is unsolicited, that is, the instruction has not been preceded by a corporate action notification.

**Is restricted by**

"OptionNumber1Code" on page 442

## 7.69 ParentCurrencyCode

*Definition:* Code allocated to a currency, by a maintenance agency, under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds". Valid currency codes are registered with the ISO 4217 Maintenance Agency, and consist of three contiguous letters.

*Type:* CodeSet

**Format**

pattern [A-Z]{3,3}

**Is restricted by**

"ActiveCurrencyCode" on page 335

## 7.70 Payment1Code

*Definition:* Specifies the type of payment.

*Type:* CodeSet

Code	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

**Used in element(s)**

"ContractualPaymentIndicator" on page 53

**Restricts**

"PaymentCode" on page 443

## 7.71 PaymentCode

**Definition:** Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

**Type:** CodeSet

Code	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

**Is restricted by**

"Payment1Code" on page 443

## 7.72 Percentage14Rate

**Definition:** Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7% with 13 fractional digits maximum and 14 total digits.

**Type:** Rate

**Format**

fractionDigits	13
totalDigits	14

**Used in element(s)**

"ApplicableRate" on page 132, "FinancialTransactionTaxRate" on page 133, "FiscalStamp" on page 132, "FiscalStamp" on page 261, "MaximumAllowedOversubscriptionRate" on page 138, "Price-Value" on page 233, "Rate" on page 198, "Rate" on page 269, "Rate" on page 294, "Rate" on page 271, "Rate" on page 274, "Rate" on page 328, "Rate" on page 272, "Rate" on page 295, "Rate" on page 275, "Rate" on page 276, "TaxOnProfits" on page 267, "TaxReclaimRate" on page 268

## 7.73 PriceRateType3Code

**Definition:** Specifies the type of price rate.

**Type:** CodeSet

Code	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.

Code	Name	Definition
YIEL	Yield	Price expressed as a yield.

**Used in element(s)**

"PercentagePriceType" on page 233

**Restricts**

"PriceValueTypeCode" on page 445

## 7.74 PriceValueTypeCode

*Definition:* Price will not be paid.

*Type:* CodeSet

Code	Name	Definition
ABSO	Absolute	Price is expressed as absolute.
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
NEGA	NegativeActualAmount	Price must be interpreted as a negative amount of currency per unit or per share.
NILP	NilPayment	Price will not be paid.
OPEN	OpenDated	Price has not been established.
PARV	Par	Price is the face amount.
PEUN	PerUnit	Price expressed per unit.
PRCT	Percentage	Price expressed as a percentage of par.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
SPRE	Spread	Difference between a market maker's bid and asked price.
TBSP	ToBeSpecified	Price to be specified by account owner.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.

Code	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.
UNSP	Unspecified	Price is not required to be specified by account owner.
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
YIEL	Yield	Price expressed as a yield.

**Is restricted by**

"PriceRateType3Code" on page 444

## 7.75 ProcessingPosition3Code

*Definition:* Specifies the processing position.

*Type:* CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

**Used in element(s)**

"Code" on page 244

**Restricts**

"ProcessingPositionCode" on page 446

## 7.76 ProcessingPositionCode

*Definition:* Specifies when an transaction/instruction is to be executed relative to a linked transaction/instruction.

*Type:* CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

**Is restricted by**

"ProcessingPosition3Code" on page 446

## 7.77 RateStatus1Code

*Definition:* Specifies the type of rate.

*Type:* CodeSet

Code	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

**Used in element(s)**

"Code" on page 277, "RateStatus" on page 35

**Restricts**

"RateStatusCode" on page 447

## 7.78 RateStatusCode

*Definition:* Specifies the type of rate.

*Type:* CodeSet

Code	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

**Is restricted by**

"RateStatus1Code" on page 447

## 7.79 RateType14Code

*Definition:* Specifies the type of rate.

*Type:* CodeSet

Code	Name	Definition
JLID	IssuerDiscretion	Indicates that there will not be a uniform proration rate that applies to all instructions or accounts.
UKWN	Unknown	Rate is unknown by the sender or has not been established.

**Used in element(s)**

["NotSpecifiedRate" on page 276](#)

**Restricts**

["RateTypeCode" on page 449](#)

## 7.80 RateType5Code

*Definition:* Specifies the type of rate.

*Type:* CodeSet

Code	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

**Used in element(s)**

["NotSpecifiedRate" on page 275](#)

**Restricts**

["RateTypeCode" on page 449](#)

## 7.81 RateType7Code

*Definition:* Specifies the type of rate.

*Type:* CodeSet

Code	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.  <div style="background-color: #ffffcc; padding: 10px;"> <b>Usage Guideline restrictions for this code</b> <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionConfirmation-Details/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsed-ForPayment/RateTypeAn-</li> </ul> </div>



Code	Name	Definition
		<b>dAmountAndRateStatus/Rate-Type/Code/Scheduled</b>  <b>– Market Practice Implementation Rule:</b>  Used when the event type is Interest.
USCD	Unscheduled	Rate of the unscheduled payment.  <b>Usage Guideline restrictions for this code</b>  <ul style="list-style-type: none"> <li>on seev.036.001.17/CorporateActionConfirmation-Details/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsed-ForPayment/RateTypeAndAmountAndRateStatus/Rate-Type/Code/Unscheduled</li> </ul> <b>– Market Practice Implementation Rule:</b>  Used when the event type is not Interest.

**Used in element(s)**

"Code" on page 277

**Restricts**

"InterestRateTypeCode" on page 422

## 7.82 RateTypeCode

*Definition:* Any and all rate is sought.

*Type:* CodeSet

Code	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought.
ATAX	AdditionalTax	Rate used for additional tax that cannot be categorised.
CHAR	Charges	Rate used to calculate the amount of the charges/fees that cannot be categorised.
CINL	CashInLieuOfSecurities	Rate used to calculate the cash disbursement in lieu of a fractional quantity of, for example, equity.

Code	Name	Definition
FIXE	Fixed	Rate is fixed.
FORF	Forfeit	No specific repurchase rate applies to the transaction Repo, only a forfeit.
GRSS	Gross	Cash dividend per equity before deductions or allowances have been made.
IMPU	Imputed	Imputed tax.
INCE	CashIncentive	Rate of the cash premium made available if the securities holder consents or participates to an event, for example, consent fees.
JLID	IssuerDiscretion	Indicates that there will not be a uniform proration rate that applies to all instructions or accounts.
LIDT	LocalTax	Interest liable for interest down payment tax (proportion of gross interest per unit/ interim profits that is not covered by the credit in the interest pool).
NETT	Net	Relates to the netting of settlement instructions.
NILP	NilPayment	Rate will not be paid.
OPEN	Open	Rate has not been established.
PREC	Precompte	Rate is a precompte.
SCHD	Scheduled	Rate of the scheduled payment.
SOFE	Sollicitation	Cash rate made available in an offer in order to encourage participation in the offer.
STAM	StampDuty	Financial instrument has not been stamped and/or duly signed.
STEX	StockExchangeTax	Rate of stock exchange tax.
TAXR	WithholdingTax	Relates to a tax refund from the authorities on the associated corporate action event.
TIER	OneTierTax	Rate is a one tier tax.
TRAN	TransferTax	Transaction has been generated due to transformation following a corporate action.
TRAX	TransactionTax	Rate used to calculate the amount of transaction tax.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFeeAmount	Rate relating to the underlying security which is not taxable.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
USCD	Unscheduled	Rate of the unscheduled payment.

Code	Name	Definition
VARI	Variable	Rate is variable.
WITF	WithholdingOfForeignTax	Rate at which the income will be withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.
WITL	WithholdingOfLocalTax	Rate at which the income will be withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.

Is restricted by

"RateType14Code" on page 447, "RateType5Code" on page 448

## 7.83 RestrictedFINActiveCurrencyAnd13Decimal-Amount

*Definition:* A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 335

### Format

fractionDigits	13
minInclusive	0
totalDigits	14

### Used in element(s)

"Amount" on page 192, "Amount" on page 34, "Amount" on page 288, "Amount" on page 208, "Amount" on page 290, "Amount" on page 199, "Amount" on page 284, "Amount" on page 269, "Amount" on page 271, "Amount" on page 286, "Amount" on page 32, "Amount" on page 274, "Amount" on page 38, "Amount" on page 194, "Amount" on page 291, "Amount" on page 328, "Amount" on page 210, "Amount" on page 293, "Amount" on page 272, "Amount" on page 287, "Amount1" on page 41, "Amount2" on page 41, "EqualisationRate" on page 268, "PriceValue" on page 36, "PriceValue" on page 36, "PriceValue" on page 39, "PriceValue" on page 38

## 7.84 RestrictedFINActiveCurrencyAndAmount

*Definition:* A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 335

#### Format

fractionDigits	5
minInclusive	0
totalDigits	14

#### Rules

##### R43 CurrencyAmount ✓

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00007
- *Error Text:* Invalid currency code or too many decimal digits

#### Used in element(s)

"AccruedInterestAmount" on page 84, "AdditionalTaxAmount" on page 77, "AdjustedSubscriptionAmount" on page 89, "BackUpWithholdingTaxAmount" on page 86, "BuyUpAmount" on page 90, "CapitalGain" on page 71, "CashAmountBroughtForward" on page 80, "CashAmountCarriedForward" on page 81, "CashInLieuOfShare" on page 71, "ChargesAmount" on page 80, "DeemedAmount" on page 87, "DeemedDividendAmount" on page 88, "DeemedFundAmount" on page 88, "DeemedInterestAmount" on page 89, "DeemedRoyaltiesAmount" on page 89, "EUTaxRetentionAmount" on page 84, "EqualisationAmount" on page 85, "ExecutingBrokerAmount" on page 78, "FATCATaxAmount" on page 85, "FiscalStampAmount" on page 78, "ForeignIncomeAmount" on page 88, "FullyFrankedAmount" on page 73, "GrossAmount" on page 69, "IncomePortion" on page 83, "IndemnityAmount" on page 72, "InterestAmount" on page 72, "LocalBrokerCommissionAmount" on page 79, "ManufacturedDividendPaymentAmount" on page 73, "MarketClaimAmount" on page 72, "NRATaxAmount" on page 86, "NetAmount" on page 70, "NotionalDividendPayableAmount" on page 81, "NotionalTaxAmount" on page 81, "OriginalAmount" on page 82, "PayingAgentCommissionAmount" on page 78, "PostingAmount" on page 68, "PrincipalOrCorpus" on page 82, "RedemptionPremiumAmount" on page 83, "RefundedSubscriptionAmount" on page 90, "RegulatoryFeesAmount" on page 79, "ReinvestmentAmount" on page 73, "ResultingAmount" on page 172, "SecondLevelTaxAmount" on page 66, "SecondLevelTaxAmount" on page 77, "ShippingFeesAmount" on page 79, "SolicitationFees" on page 70, "StampDutyAmount" on page 76, "StockExchangeTax" on page 84, "SundryOrOtherAmount" on page 74, "TaxArrearsAmount" on page 82, "TaxCreditAmount" on page 76, "TaxDeferredAmount" on page 75, "TaxFreeAmount" on page 74, "TaxOnIncomeAmount" on page 86, "TaxReclaimAmount" on page 76, "TransactionTax" on page 87, "UnfrankedAmount" on page 74, "ValueAddedTaxAmount" on page 75, "WithholdingTaxAmount" on page 66, "WithholdingTaxAmount" on page 77

## 7.85 RestrictedFINDecimalNumber

*Definition:* Number of objects represented as a decimal number, for example, 0.75 or 45.6.

*Type:* Quantity

#### Format

fractionDigits	14
totalDigits	14

#### Used in element(s)

["IndexPoints" on page 239](#), ["IndexPoints" on page 241](#), ["Quantity" on page 246](#), ["Quantity" on page 245](#), ["Quantity" on page 33](#), ["Quantity1" on page 256](#), ["Quantity2" on page 257](#), ["Unit" on page 153](#)

## 7.86 RestrictedFINExact2Text

*Definition:* Specifies a character string with an exact length of 2 characters that must has a pattern XX|TS.

*Type:* Text

#### Format

length	2
pattern	XX TS

#### Used in element(s)

["Proprietary" on page 195](#)

## 7.87 RestrictedFINImpliedCurrencyAndAmount

*Definition:* Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

*Type:* Amount

#### Format

fractionDigits	5
minInclusive	0
totalDigits	14

#### Used in element(s)

["AmortisedValue" on page 165](#), ["AmortisedValue" on page 214](#), ["AmortisedValue" on page 213](#), ["FaceAmount" on page 159](#), ["FaceAmount" on page 214](#), ["FaceAmount" on page 213](#)

## 7.88 RestrictedFINMax35Text

*Definition:* Specifies a character string with a maximum length of 35 characters. It has a pattern `([^\r/]+)([^\r/]+)([^\r/]+)` that disables the use of slash "/" at the beginning and end of line and double slash "/" within the line.

*Type:* Text

**Format**

maxLength	35
minLength	1
pattern	<code>([^\r/]+)([^\r/]+)([^\r/]+)</code>

**Used in element(s)**

["MarketInfrastructureTransactionIdentification" on page 334](#)

## 7.89 RestrictedFINX2Max34Text

*Definition:* Specifies a character string with a maximum length of 34 characters limited to character set X, that is, a-z A-Z / - ? : ( ) . , ' + .

*Type:* Text

**Format**

maxLength	34
minLength	1
pattern	<code>[0-9a-zA-Z/\-?\:\(\)\.,'\+]{1,34}</code>

**Used in element(s)**

["Proprietary" on page 50](#), ["Proprietary" on page 49](#)

## 7.90 RestrictedFINXMax140Text

*Definition:* Specifies a character string with a maximum length of 140 characters limited to character set X, that is, a-z A-Z / - ? : ( ) . , ' + .

*Type:* Text

**Format**

maxLength	140
minLength	1
pattern	<code>[0-9a-zA-Z/\-?\:\(\)\.,\n\r,'\+]{1,140}</code>

**Used in element(s)**

["BlockChainAddressOrWallet" on page 27](#), ["BlockChainAddressOrWallet" on page 227](#), ["Description" on page 321](#), ["Name" on page 205](#)

## 7.91 RestrictedFINXMax16Text

*Definition:* Specifies a character string with a maximum length of 16 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : ( ) . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

*Type:* Text

#### Format

maxLength	16
minLength	1
pattern	<code>([0-9a-zA-Z\-\?\:\(\)\.,'\+ ]([0-9a-zA-Z\-\?\:\(\)\.,'\+ ]*([0-9a-zA-Z\-\?\:\(\)\.,'\+ ])?)*)</code>

#### Used in element(s)

["AccountOwnerDocumentIdentification" on page 150](#), ["AccountServicerDocumentIdentification" on page 150](#), ["ClassActionNumber" on page 113](#), ["CorporateActionEventIdentification" on page 112](#), ["Identification" on page 147](#), ["Identification" on page 151](#), ["LinkedCorporateActionIdentification" on page 108](#), ["LinkedOfficialCorporateActionEventIdentification" on page 108](#), ["OfficialCorporateActionEventIdentification" on page 113](#), ["ProcessingIdentification" on page 223](#), ["ProcessingIdentification" on page 227](#), ["ProcessingIdentification" on page 230](#), ["ProcessingIdentification" on page 232](#)

## 7.92 RestrictedFINXMax30Text

*Definition:* Specifies a character string with a maximum length of 30 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : ( ) . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

*Type:* Text

#### Format

maxLength	30
minLength	1
pattern	<code>([0-9a-zA-Z\-\?\:\(\)\.,'\+ ]([0-9a-zA-Z\-\?\:\(\)\.,'\+ ]*([0-9a-zA-Z\-\?\:\(\)\.,'\+ ])?)*)</code>

#### Used in element(s)

["AlternateIdentification" on page 31](#), ["Description" on page 203](#), ["Identification" on page 186](#), ["Identification" on page 303](#), ["Identification" on page 192](#), ["Identification" on page 304](#)

## 7.93 RestrictedFINXMax31Text

*Definition:* Specifies a character string with a maximum length of 31 characters limited to character set X, that is, a-z A-Z / - ? : ( ) . , ' + .

*Type:* Text

#### Format

maxLength	31
minLength	1

pattern	[0-9a-zA-Z\-\?:\(\)\.,'\+ ]{1,31}
---------	-----------------------------------

**Used in element(s)**

["Identification" on page 215](#)

## 7.94 RestrictedFINXMax34Text

*Definition:* Specifies a character string with a maximum length of 34 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : ( ) . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

*Type:* Text

**Format**

maxLength	34
minLength	1
pattern	(([0-9a-zA-Z\-\?:\(\)\.,'\+ ]([0-9a-zA-Z\-\?:\(\)\.,'\+ ]*([0-9a-zA-Z\-\?:\(\)\.,'\+ ])?*))

**Used in element(s)**

["Identification" on page 187](#)

## 7.95 RestrictedFINXMax350Text

*Definition:* Specifies a character string with a maximum length of 350 characters limited to character set X, that is, a-z A-Z / - ? : ( ) . , ' + .

*Type:* Text

**Format**

maxLength	350
minLength	1
pattern	[0-9a-zA-Z\-\?:\(\)\.,'\n\r,\+ ]{1,350}

**Used in element(s)**

["AdditionalText" on page 116](#), ["NarrativeVersion" on page 117](#), ["PartyContactNarrative" on page 117](#), ["TaxationConditions" on page 117](#)

## 7.96 RestrictedFINXMax35Text

*Definition:* Specifies a character string with a maximum length of 35 characters limited to character set X, that is, a-z A-Z / - ? : ( ) . , ' + .

*Type:* Text

**Format**

maxLength	35
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minLength 1  
 pattern [0-9a-zA-Z/\-!\?:\(\)\.,\'+ ]{1,35}

**Used in element(s)**

["SafekeepingAccount" on page 26](#), ["SafekeepingAccount" on page 226](#)

## 7.97 SafekeepingPlace1Code

*Definition:* Specifies the type of place of safekeeping.

*Type:* CodeSet

Code	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

**Used in element(s)**

["SafekeepingPlaceType" on page 302](#)

**Restricts**

["SafekeepingPlaceCode" on page 458](#)

## 7.98 SafekeepingPlace2Code

*Definition:* Specifies the type of place of safekeeping.

*Type:* CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

**Used in element(s)**

["SafekeepingPlaceType" on page 303](#)

**Restricts**

["SafekeepingPlaceCode" on page 458](#)

## 7.99 SafekeepingPlace3Code

*Definition:* Specifies the type of place of safekeeping.

*Type:* CodeSet

Code	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

**Used in element(s)**

"SafekeepingPlaceType" on page 304

**Restricts**

"SafekeepingPlaceCode" on page 458

## 7.100 SafekeepingPlaceCode

*Definition:* Specifies the type of place of safekeeping.

*Type:* CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

**Is restricted by**

"SafekeepingPlace1Code" on page 457, "SafekeepingPlace2Code" on page 457, "SafekeepingPlace3Code" on page 458

## 7.101 ShortLong1Code

*Definition:* Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

*Type:* CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

**Used in element(s)**

["ShortLongPosition" on page 326](#), ["ShortLongPosition" on page 325](#), ["ShortLongPosition" on page 214](#), ["ShortLongPosition" on page 245](#)

**Restricts**

["ShortLongCode" on page 459](#)

## 7.102 ShortLongCode

*Definition:* Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

*Type:* CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

**Is restricted by**

["ShortLong1Code" on page 458](#)

## 7.103 TypeOfIdentification1Code

*Definition:* Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

*Type:* CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

**Used in element(s)**"Code" on page 196**Restricts**"TypeOfIdentificationCode" on page 460

## 7.104 TypeOfIdentificationCode

*Definition:* Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

*Type:* CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

**Is restricted by**"TypeOfIdentification1Code" on page 459

## 7.105 WithholdingTaxRateType1Code

*Definition:* Specifies the type of withholding tax rate.

*Type:* CodeSet

Code	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.

Code	Name	Definition
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

**Used in element(s)**

"Code" on page 279

**Restricts**

"WithholdingTaxRateTypeCode" on page 461

## 7.106 WithholdingTaxRateTypeCode

*Definition:* Specifies the type of withholding tax rate.

*Type:* CodeSet

Code	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

**Is restricted by**

"WithholdingTaxRateType1Code" on page 460

## 7.107 YesNoIndicator

*Definition:* Indicates a "Yes" or "No" type of answer for an element.

*Type:* Indicator

*Meaning When True:* Yes

*Meaning When False:* No

**Used in element(s)**

"LastPageIndicator" on page 217, "TemporaryIndicator" on page 331

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